

***CS434a/541a: Pattern Recognition***  
***Prof. Olga Veksler***

**Lecture 12**

# *Announcements*

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- Assignment 4 posted on the Web, due Dec. 1
- Course evaluations will be conducted Dec. 1 at the end of the lecture

# *Today*

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- Multilayer Neural Networks
  - Inspiration from Biology
  - History
  - Perceptron
  - Multilayer perceptron

# Brain vs. Computer

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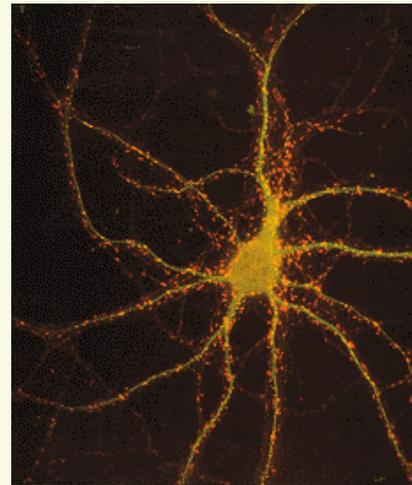
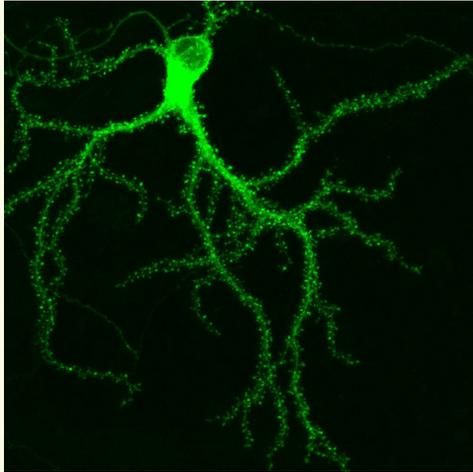


- Designed to solve logic and arithmetic problems
  - Can solve a gazillion arithmetic and logic problems in an hour
  - absolute precision
  - Usually one very fast processor
  - high reliability
- Evolved (in a large part) for pattern recognition
  - Can solve a gazillion of PR problems in an hour
  - Huge number of parallel but relatively slow and unreliable processors
  - not perfectly precise
  - not perfectly reliable

***Seek an inspiration from human brain for PR?***

# Neuron: Basic Brain Processor

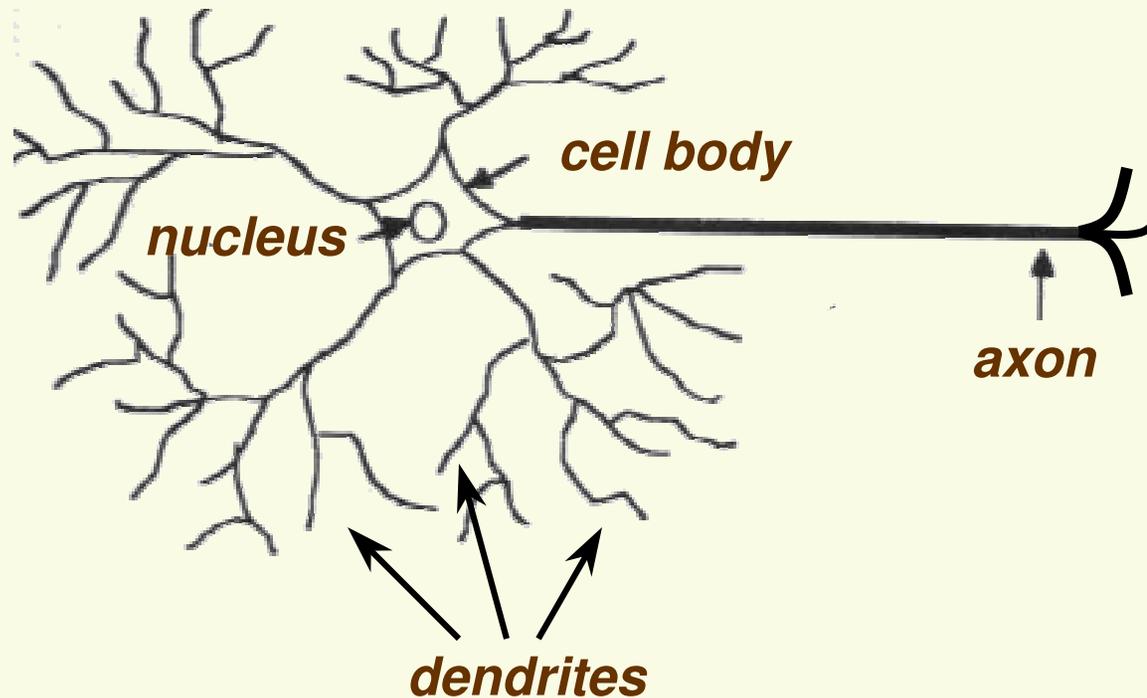
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- Neurons are nerve cells that transmit signals to and from brains at the speed of around 200mph
- Each neuron cell communicates to anywhere from 1000 to 10,000 other neurons, muscle cells, glands, so on
- Have around  $10^{10}$  neurons in our brain (network of neurons)
- Most neurons a person is ever going to have are already present at birth

# Neuron: Basic Brain Processor

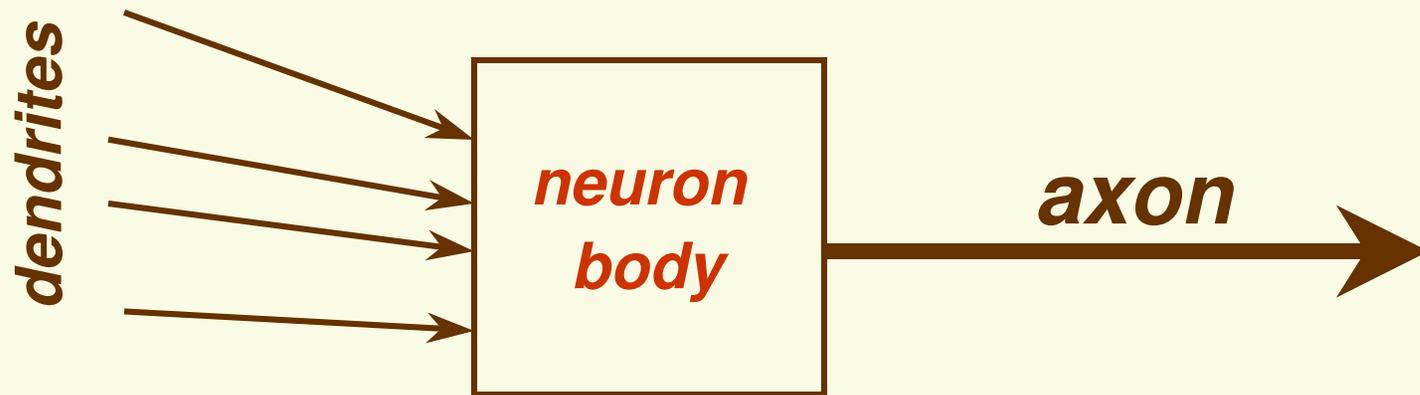
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- Main components of a neuron
  - **Cell body** which holds DNA information in **nucleus**
  - **Dendrites** may have thousands of dendrites, usually short
  - **axon** long structure, which splits in possibly thousands branches at the end. May be up to 1 meter long

# *Neuron in Action (simplified)*

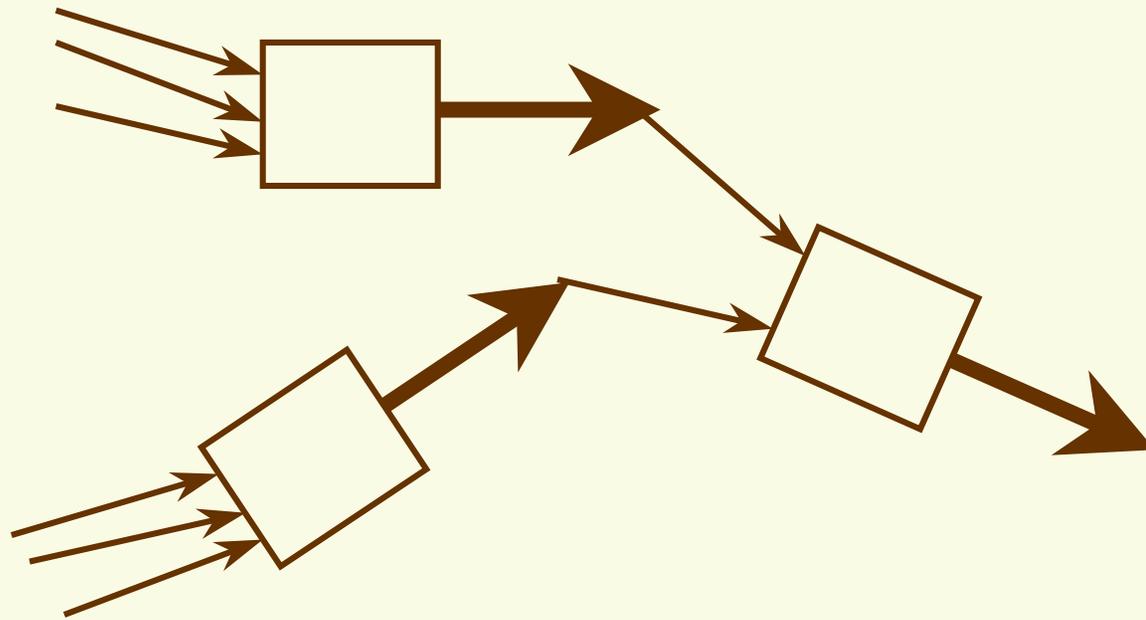
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- ***Input*** : neuron collects signals from other neurons through dendrites, may have thousands of dendrites
- ***Processor***: Signals are accumulated and processed by the cell body
- ***Output***: If the strength of incoming signals is large enough, the cell body sends a signal (a spike of electrical activity) to the axon

# Neural Network

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# *ANN History: Birth*

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- 1943, famous paper by W. McCulloch (neurophysiologist) and W. Pitts (mathematician)
  - Using only math and algorithms, constructed a model of how neural network may work
  - Showed it is possible to construct any computable function with their network
  - Was it possible to make a model of thoughts of a human being?
  - Considered to be the birth of AI
- 1949, D. Hebb, introduced the first (purely psychological) theory of learning
  - Brain learns at tasks through life, thereby it goes through tremendous changes
  - If two neurons fire together, they strengthen each other's responses and are likely to fire together in the future

# ***ANN History: First Successes***

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- 1958, F. Rosenblatt,
  - perceptron, oldest neural network still in use today
  - Algorithm to train the perceptron network (training is still the most actively researched area today)
  - Built in hardware
  - Proved convergence in linearly separable case
- 1959, B. Widrow and M. Hoff
  - Madaline
  - First ANN applied to real problem (eliminate echoes in phone lines)
  - Still in commercial use

# *ANN History: Stagnation*

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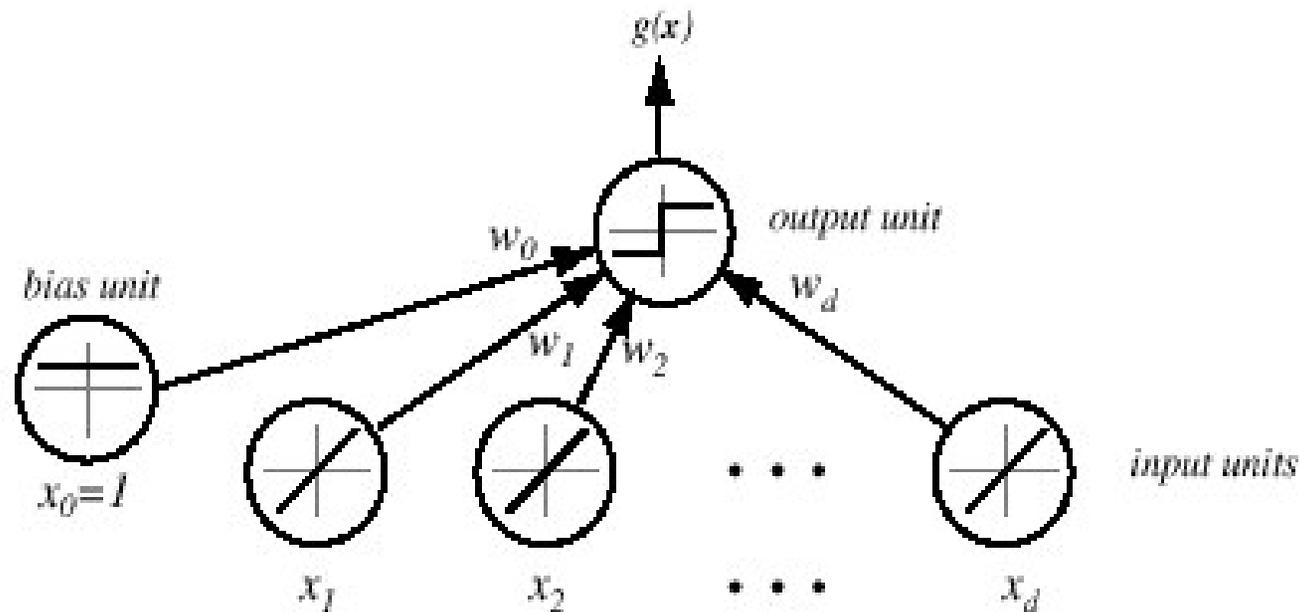
- Early success lead to a lot of claims which were not fulfilled
- 1969, M. Minsky and S. Pappert
  - Book “Perceptrons”
  - Proved that perceptrons can learn only linearly separable classes
  - In particular cannot learn very simple XOR function
  - Conjectured that multilayer neural networks also limited by linearly separable functions
- No funding and almost no research (at least in North America) in 1970’s as the result of 2 things above

# *ANN History: Revival*

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- Revival of ANN in 1980's
- 1982, J. Hopfield
  - New kind of networks (Hopfield's networks)
  - Bidirectional connections between neurons
  - Implements associative memory
- 1982 joint US-Japanese conference on ANN
  - US worries that it will stay behind
- Many examples of multilayer NN appear
- 1982, discovery of backpropagation algorithm
  - Allows a network to learn not linearly separable classes
  - Discovered independently by
    1. Y. Lecunn
    2. D. Parker
    3. Rumelhart, Hinton, Williams

# ANN: Perceptron



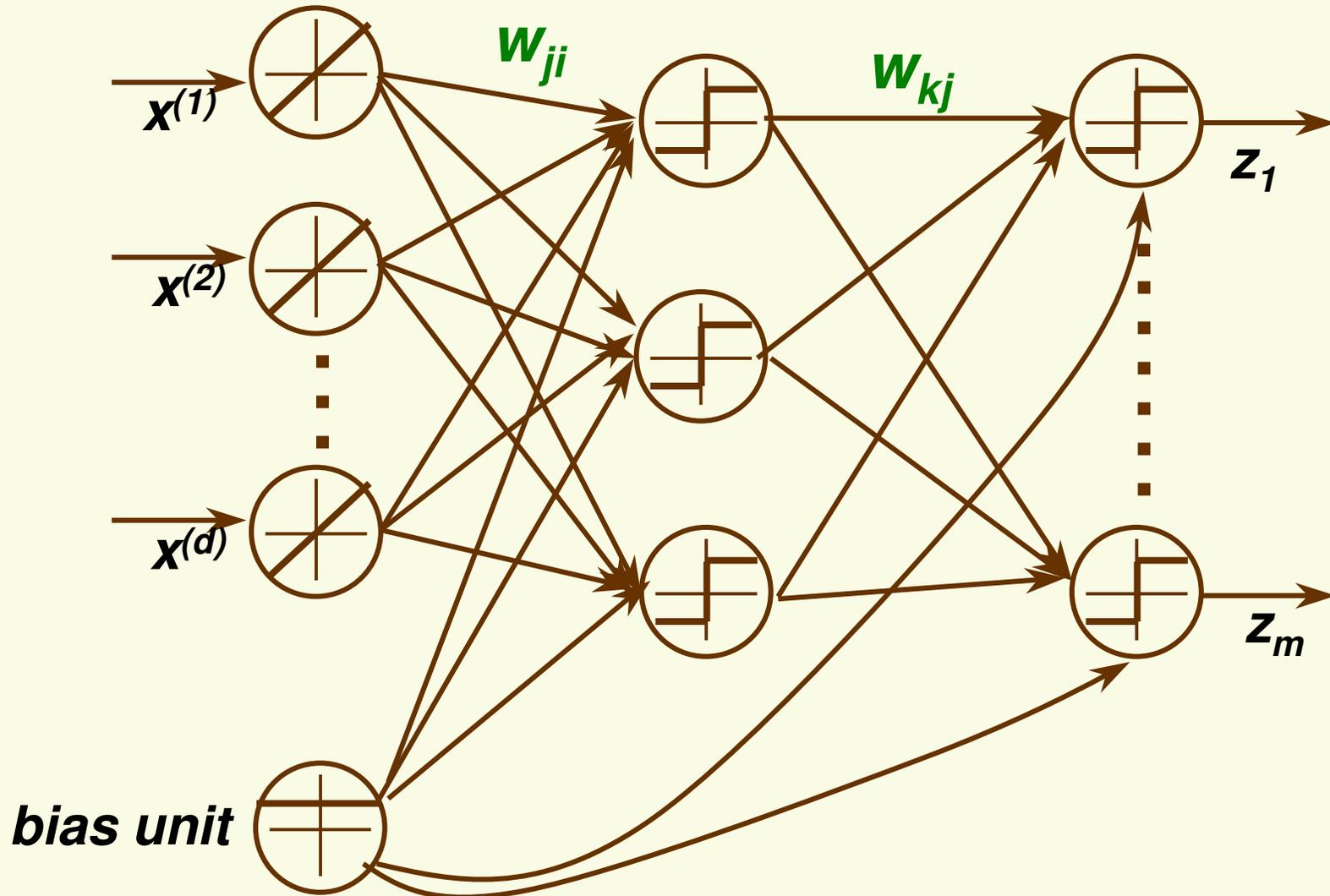
- Input and output layers
- $\mathbf{g}(\mathbf{x}) = \mathbf{w}^t \mathbf{x} + w_0$
- Limitation: can learn only linearly separable classes

# Multilayer Perceptron

**input layer:**  
 $d$  features

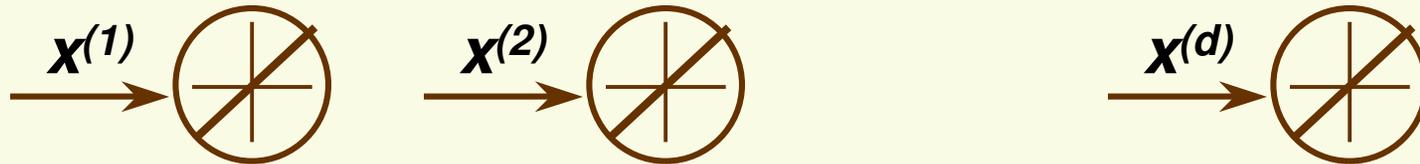
**hidden layer:**

**output layer:**  
 $m$  outputs, one for each class



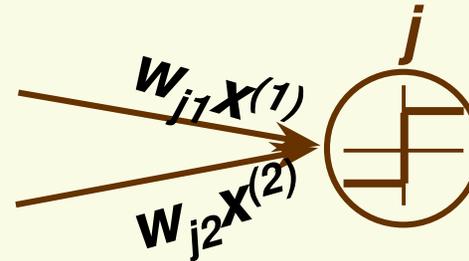
# FeedForward Operation

1. Each sample is presented to the input layer



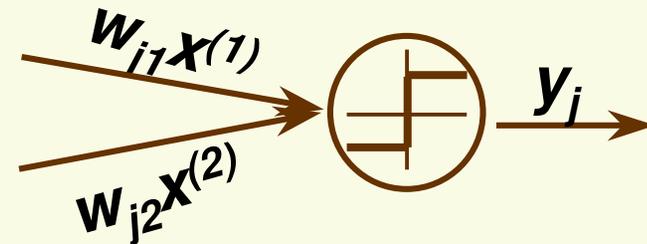
2. Each hidden unit  $j$  computes its net activation
  - dot product of input with incoming weights

$$net_j = \sum_{i=1}^d x^{(k)} w_{ji} + w_{j0}$$



3. Each hidden unit  $j$  emits a nonlinear function of its activation

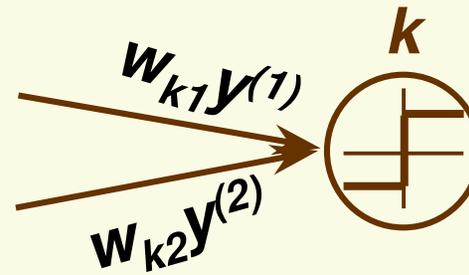
$$y_j = f(net_j) = \begin{cases} 1 & \text{if } net_j \geq 0 \\ -1 & \text{if } net_j < 0 \end{cases}$$



# FeedForward Operation

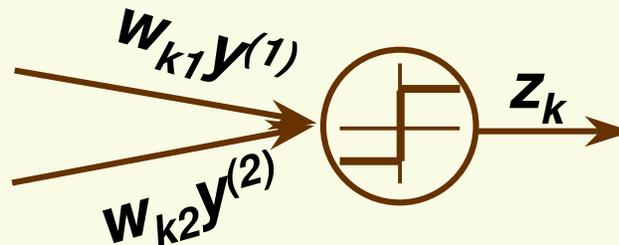
4. Each output unit  $k$  computes its net activation based on the hidden units
- dot product of the hidden units with weights at this output unit

$$net_k = \sum_{j=1}^{N_h} y_j w_{kj} + w_{k0}$$



5. Each output unit  $k$  emits a nonlinear function of its activation

$$z_k = f(net_k) = \begin{cases} 1 & \text{if } net_k \geq 0 \\ -1 & \text{if } net_k < 0 \end{cases}$$



# Discriminant Function

- We can gather all the terms in previous slides in the discriminant function for class  $k$  (the output of the  $k$ th output unit)

$$\begin{aligned} g_k(\mathbf{x}) &= z_k \\ &= f \left( \underbrace{\sum_{j=1}^{N_H} w_{kj} f \left( \underbrace{\sum_{i=1}^d w_{ji} x_i + w_{j0}}_{\text{activation at } j\text{th hidden unit}} \right) + w_{k0}}_{\text{activation at } k\text{th output unit}} \right) \end{aligned}$$

# *Discriminant Function*

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$$g_k(\mathbf{x}) = f\left(\sum_{j=1}^{N_H} \mathbf{w}_{kj} f\left(\sum_{i=1}^d \mathbf{w}_{ji} \mathbf{x}_i + \mathbf{w}_{j0}\right) + \mathbf{w}_{k0}\right)$$

- Given samples  $\mathbf{x}_1, \dots, \mathbf{x}_n$  each of one of the  $m$  classes
- Suppose for each sample  $\mathbf{x}$ , we wish

$$g_k(\mathbf{x}) = \begin{cases} \mathbf{1} & \text{if } \mathbf{x} \text{ is of class } k \\ \mathbf{0} & \text{otherwise} \end{cases}$$

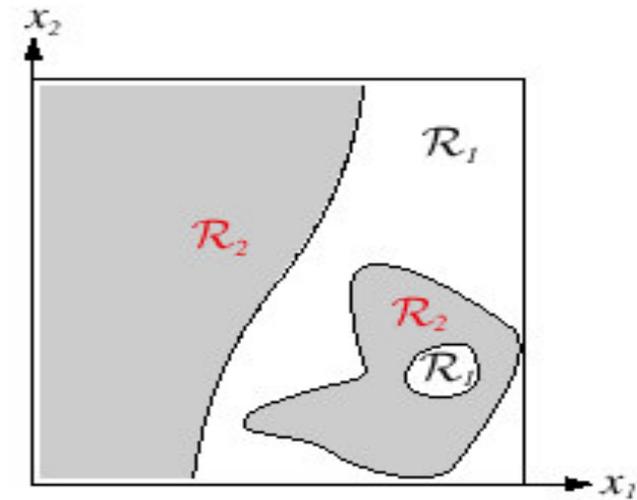
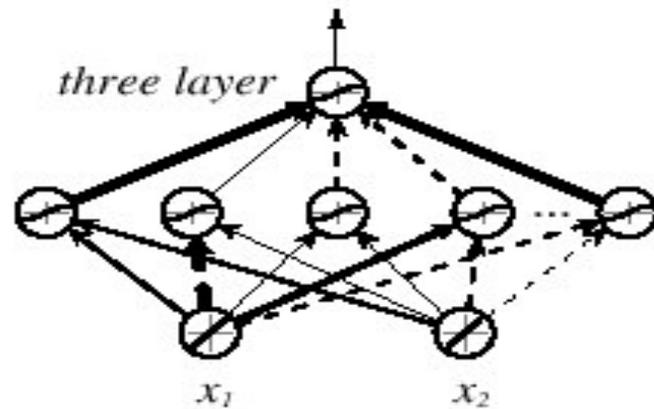
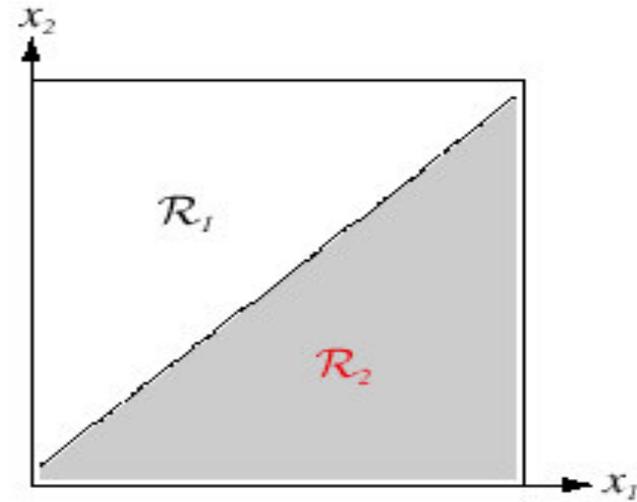
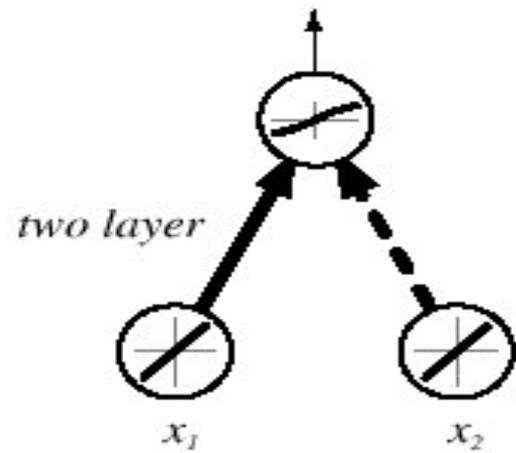
- The goal is to learn (to adjust) weights  $\mathbf{w}_{kj}$  and  $\mathbf{w}_{ji}$  to achieve the desired  $g_k(\mathbf{x})$  for all  $k$

# *Expressive Power of MNN*

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- It can be shown that every **continuous** function from input to output can be implemented with enough hidden units, 1 hidden layer, and proper nonlinear activation functions
- This is more of theoretical than practical interest
  - The proof is not constructive (does not tell us exactly how to construct the MNN)
  - Even if it were constructive, would be of no use since we do not know the desired function anyway, our goal is to learn it through the samples
  - But this result does give us confidence that we are on the right track
    - MNN is general enough to construct the correct decision boundaries, unlike the Perceptron

# Discriminant Function



# *MNN*

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- Can vary
  - number of hidden layers
  - Nonlinear activation function
    - Can use different function for hidden and output layers
    - Can use different function at each hidden and output node

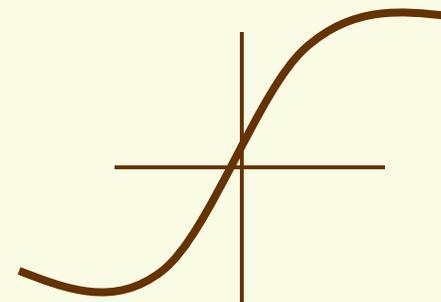
# MNN Activation function

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- Must be nonlinear for expressive power larger than that of perceptron
  - If use linear activation function, can only deal with linearly separable classes
- In previous example, used discontinuous activation function

$$f(\mathit{net}_k) = \begin{cases} 1 & \text{if } \mathit{net}_k \geq 0 \\ -1 & \text{if } \mathit{net}_k < 0 \end{cases} \quad \text{=} \text{ } \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array}$$

- We will use gradient descent for learning, so we need to use continuous activation function



## *Next Time*

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- We will learn how to train a MNN using back propagation algorithm