

### Adaptive Treatment Strategies

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### Adaptive Treatment Strategies

- "...are individually *tailored sequences* of treatments, with treatment type and dosage adapted to the patient."
- "Adaptive"
  - Decisions are tailored to individual patients at the time of treatment
- "Strategy"
  - A sequence of treatment decisions unfolding over time

### Adaptive Treatment Strategies?

- Sounds like "Clinical Practice"
  - Doctors tailor treatments to individual patients, and often have a long-range strategy in mind.
- We want to operationalize this
  - We can then construct adaptive treatment strategies from data.

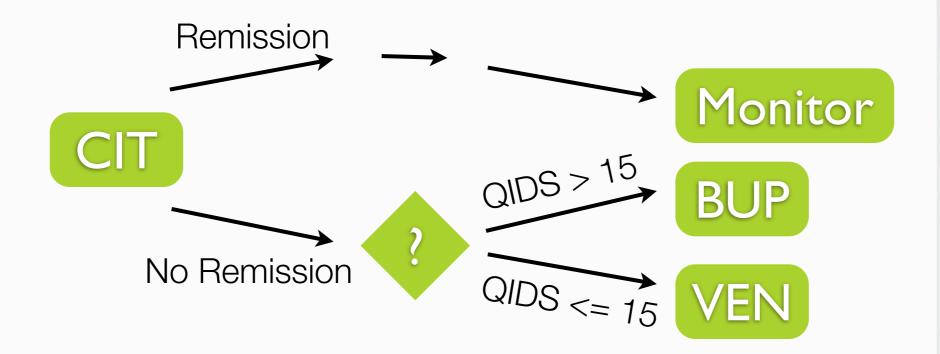
# Why Adaptive Treatment Strategies?

- When do doctors use them? Chronic illness.
- Adaptive (tailoring)
  - What works for one patient may not work for another.
- Strategy (sequencing)
  - What works now may not work later.
  - There may be cycles of remission/relapse.
  - Treatments provide both therapeutic and diagnostic benefit.

### Example: Depression\*

- Provide Citalopram for up to 12 weeks.
  - If the patient **remits**, defined by a QIDS-SR depression rating score <= 5, continue to provide Citalopram and **monitor**.
  - Otherwise if the patient does not remit,
    - If the patient's QIDS-SR score is > 15, switch treatment to **Buproprion**.
    - If the patient's QIDS-SR score is <= 15, switch treatment to Venlafaxine.
- Here, treatments are adapted using QIDS-SR as a tailoring variable, and the strategy has up to two treatments

\*I am not a psychiatrist! This is a made-up example. Don't try this at home.



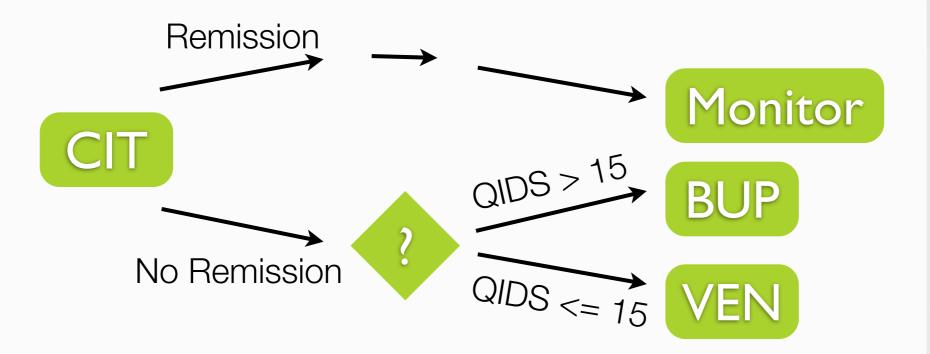
- Provide CITalopram for up to 12 weeks.
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# How would we develop such a treatment strategy?

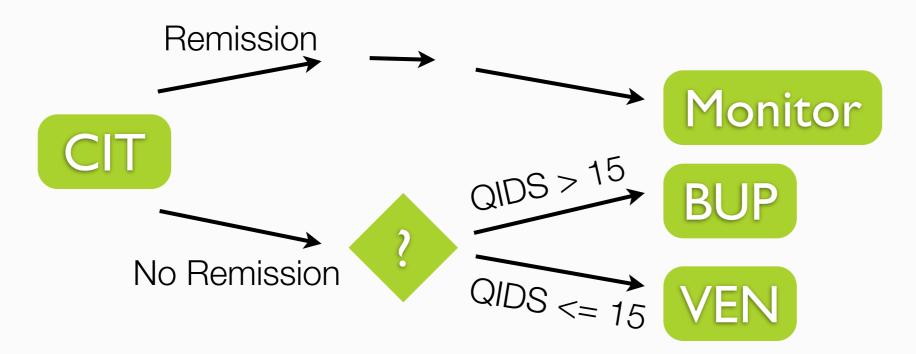
- From Data
  - Longitudinal data collected from patients as they follow different paths through a proposed set of possible treatment strategies
- Sequenced Multiple Assignment Randomized Trials
  - Pinpoint a *small number* of critical decisions per patient to investigate
  - A randomization takes place at each critical decision (multiple randomizations for each patient)
  - Goal is to inform the construction of an adaptive treatment strategy.

### SMART Design Principles

- At each stage, restrict class of treatments only by ethical, feasibility or strong scientific considerations. **Use a summary instead of complicated intermediate outcomes** to restrict class of next treatments.
- **But collect intermediate outcomes** that might be useful in ascertaining for whom each treatment works best. This information might enter into the adaptive treatment strategy.

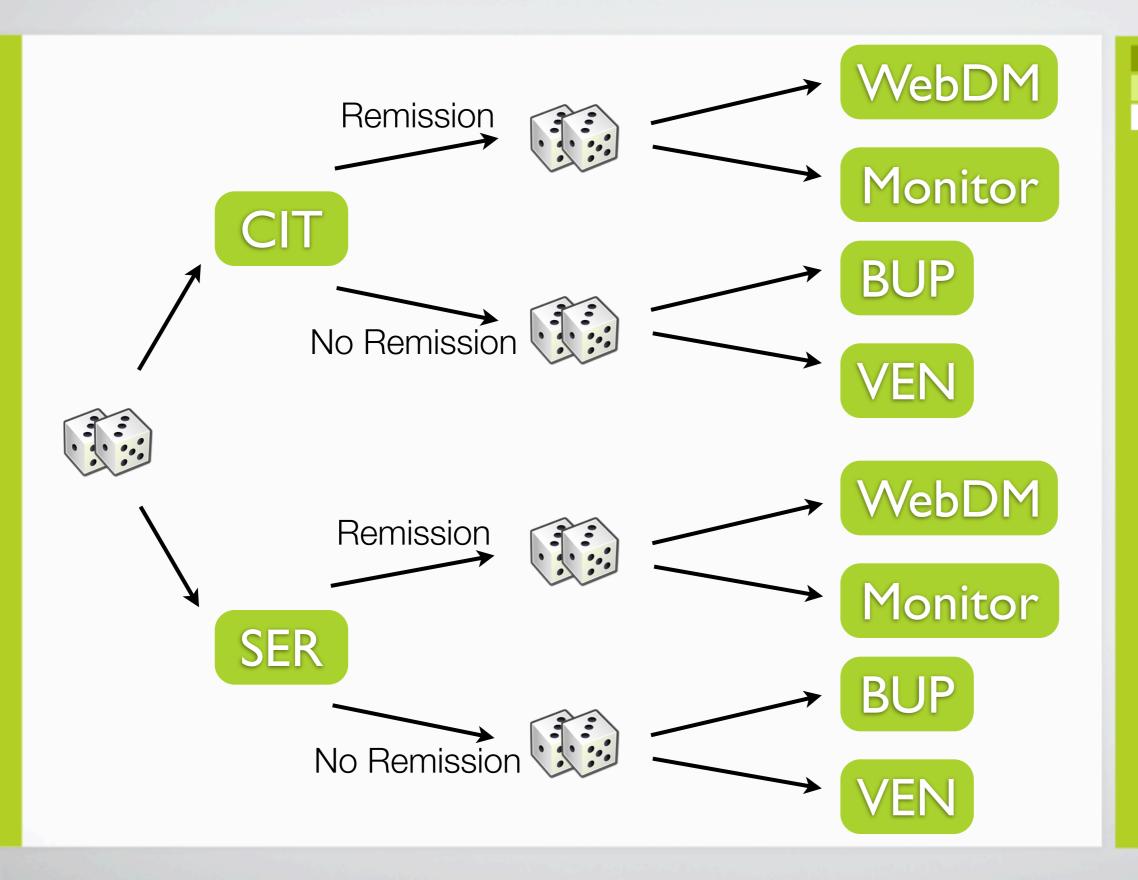


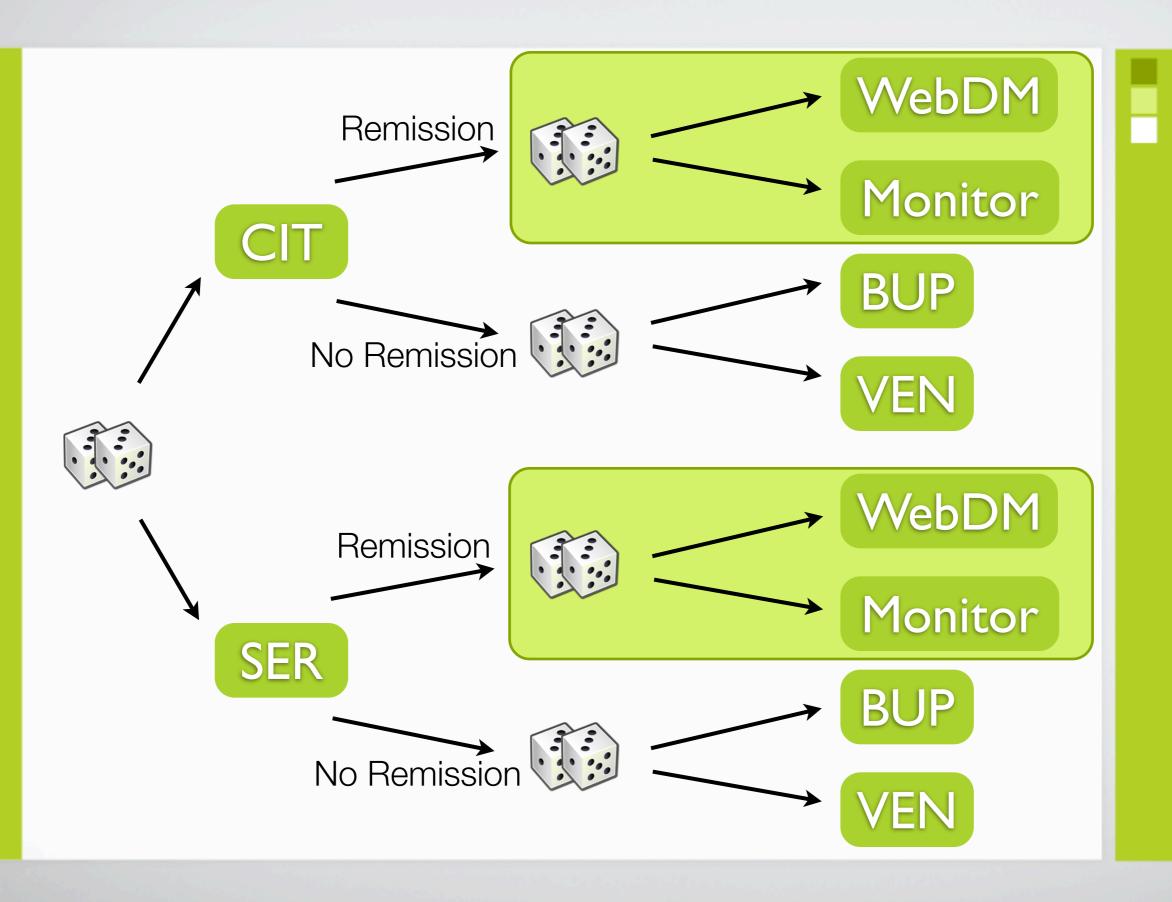
How might we arrive at this strategy?

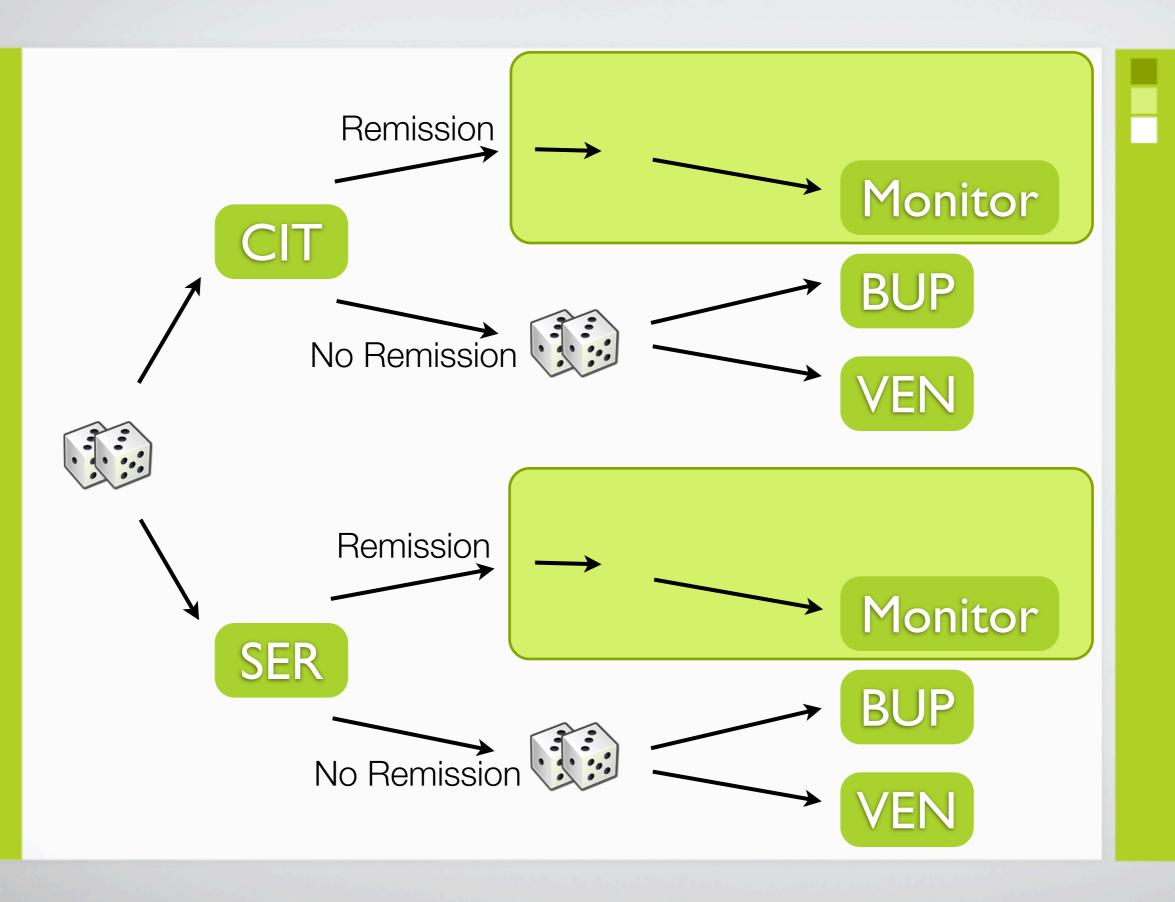


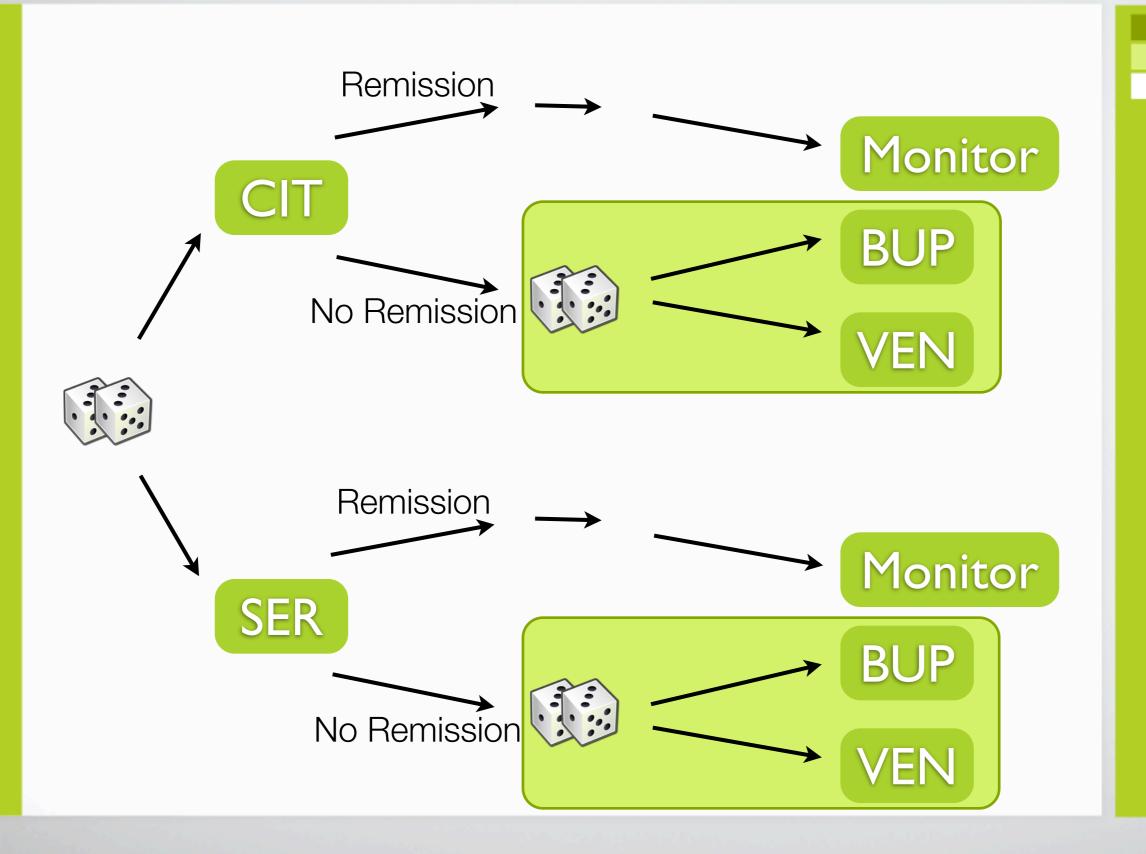
- Run a randomized trial
- Start at the end of the study
- Identify the best final treatment
- Work backwards in time toward the beginning of the study
- Analyses can use patient characteristics/outcomes to provide evidence for a more sophisticated adaptive treatment strategy.

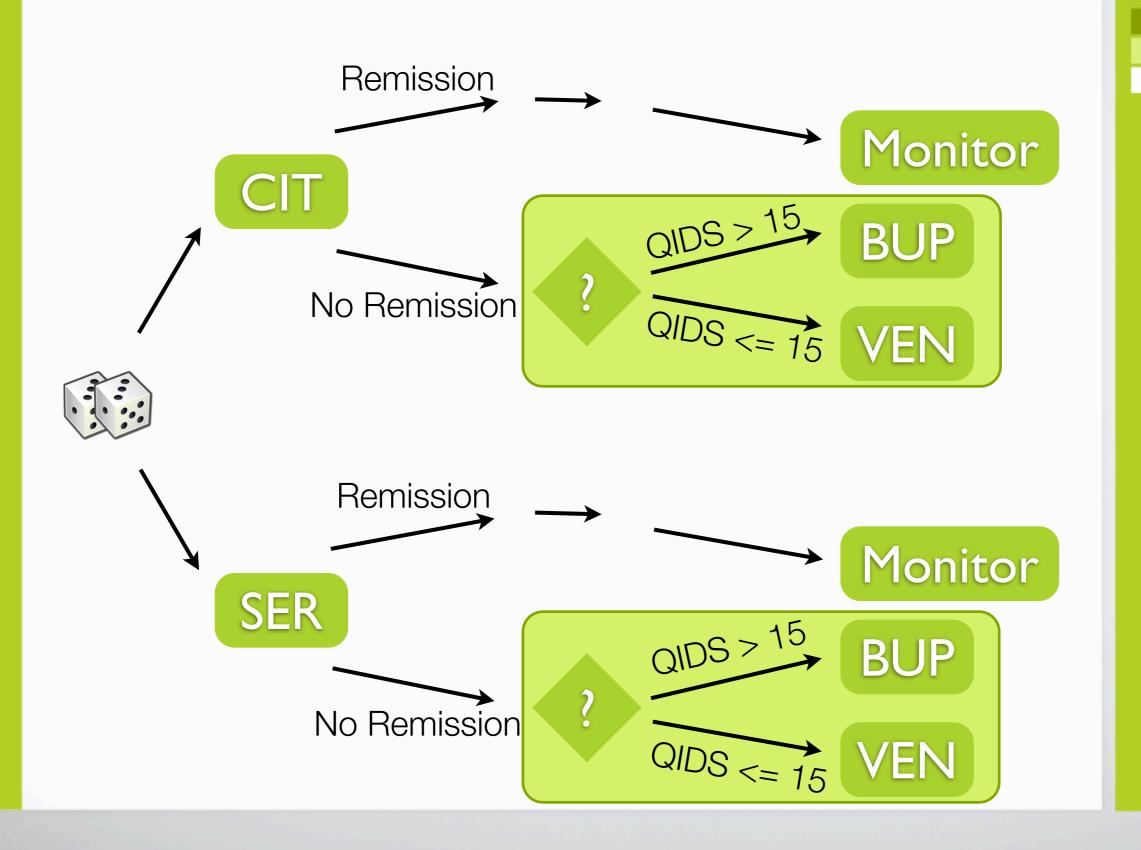
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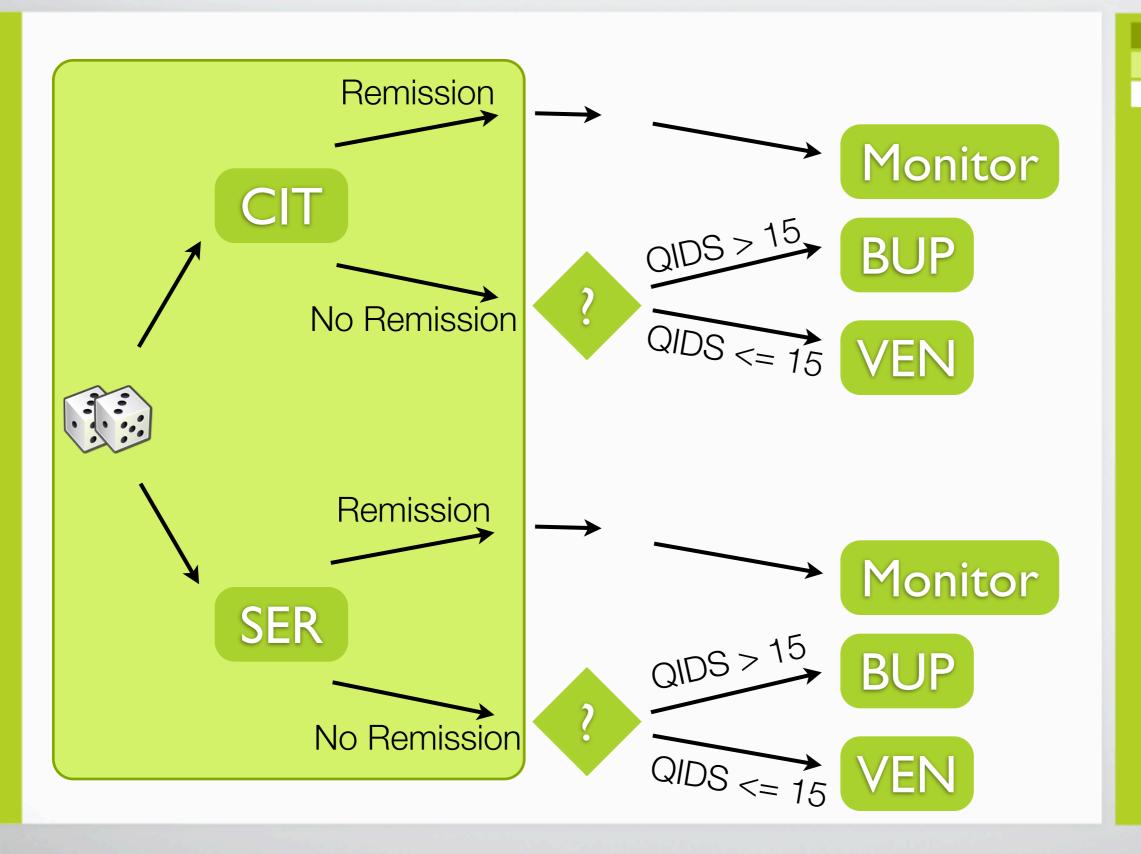


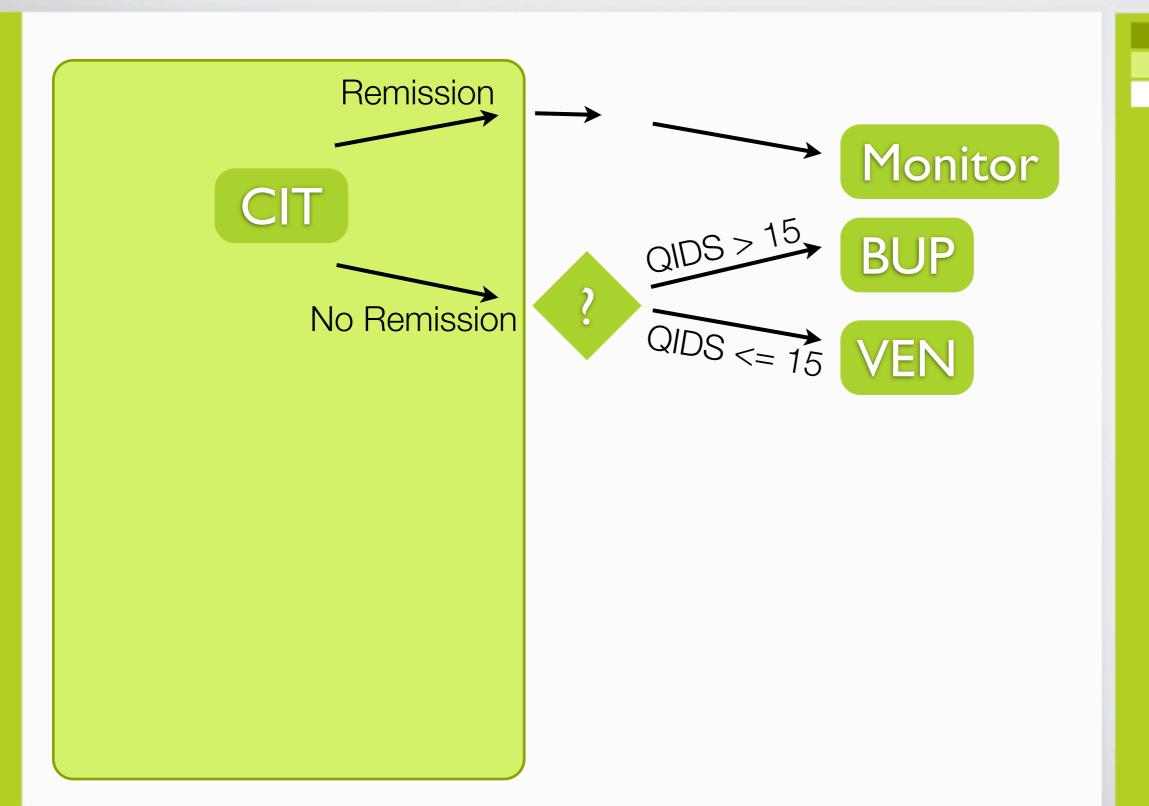


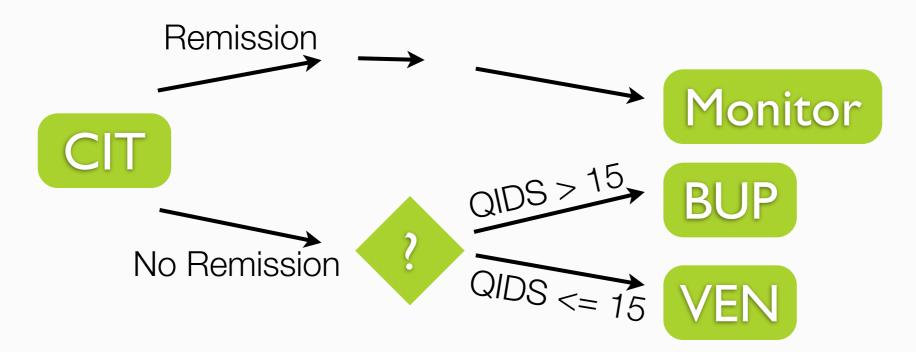












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#### Analyses To Date

- STAR\*D: Sequenced Treatment Alternatives to Relive Depression
  - NIMH-funded, ~4000 patients, ~4 stages of treatment
- CATIE: Clinical Antipsychotic Trials of Intervention Effectiveness
  - NIMH-funded, ~1600 patients, ~3 phases of treatment
- Both of these predate SMART, and are quite complicated.
- We have done preliminary analyses of these studies.

### Ongoing Research

- How do we know if we've really constructed a good strategy?
  - Eric Laber and I are working on measures of confidence for strategy quality.
- Can the data inform us about which quantities are useful for selecting treatments?
  - Peng Zhang is working on selecting covariates for decision points.
- What if we are interested in an objective that is censored?
  - Zhiguo Li is working on incorporating survival analysis techniques.

#### Thank You

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