

Doing Algebraic Geometry with the RegularChains Library

Parisa Alvandi¹ Changbo Chen² Steffen Marcus³
Marc Moreno Maza¹ Éric Schost¹ Paul Vrbik¹

¹University of Western Ontario

²Chinese Academy of Science

³The College of New Jersey

ACA @ Fordham University, NY, USA
9-12 July 2014

On Fulton's Algorithm for Computing Intersection Multiplicities in Higher Dimension

Parisa Alvandi¹ Changbo Chen² Steffen Marcus³
Marc Moreno Maza¹ Éric Schost¹ Paul Vrbik¹

¹University of Western Ontario

²Chinese Academy of Science

³The College of New Jersey

ACA @ Fordham University, NY, USA
9-12 July 2014

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,
- is not natively computable by MAPLE,

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,
- is not natively computable by MAPLE,
- while it is computable by SINGULAR and MAGMA only when all coordinates of p are in k .

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,
- is not natively computable by MAPLE,
- while it is computable by SINGULAR and MAGMA only when all coordinates of p are in k .

We are interested in removing this algorithmic limitation.

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,
- is not natively computable by MAPLE,
- while it is computable by SINGULAR and MAGMA only when all coordinates of p are in k .

We are interested in removing this algorithmic limitation.

- We will combine Fulton's Algorithm approach and the theory of regular chains.

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,
- is not natively computable by MAPLE,
- while it is computable by SINGULAR and MAGMA only when all coordinates of p are in k .

We are interested in removing this algorithmic limitation.

- We will combine Fulton's Algorithm approach and the theory of regular chains.
- Our algorithm is complete in the bivariate case.

Driving application

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n) \subset \bar{k}[x_1, \dots, x_n]$ is zero-dimensional. The intersection multiplicity $I(p; f_1, \dots, f_n)$ at $p \in V(f_1, \dots, f_n)$

- in the projective plane, specifies the *weights* of the weighted sum in Bézout's Theorem,
- is not natively computable by MAPLE,
- while it is computable by SINGULAR and MAGMA only when all coordinates of p are in k .

We are interested in removing this algorithmic limitation.

- We will combine Fulton's Algorithm approach and the theory of regular chains.
- Our algorithm is complete in the bivariate case.
- We propose algorithmic criteria for reducing the case of n variables to the bivariate one. Experimental results are also reported.

The case of two plane curves

Given an arbitrary field k and two bivariate polynomials $f, g \in k[x, y]$, consider the affine algebraic curves $C := V(f)$ and $D := V(g)$ in $\mathbb{A}^2 = \bar{k}^2$, where \bar{k} is the algebraic closure of k . Let p be a point in the intersection.

Definition

The **intersection multiplicity** of p in $V(f, g)$ is defined to be

$$I(p; f, g) = \dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^2, p} / \langle f, g \rangle)$$

where $\mathcal{O}_{\mathbb{A}^2, p}$ and $\dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^2, p} / \langle f, g \rangle)$ are the local ring at p and the dimension of the vector space $\mathcal{O}_{\mathbb{A}^2, p} / \langle f, g \rangle$.

The case of two plane curves

Given an arbitrary field k and two bivariate polynomials $f, g \in k[x, y]$, consider the affine algebraic curves $C := V(f)$ and $D := V(g)$ in $\mathbb{A}^2 = \bar{k}^2$, where \bar{k} is the algebraic closure of k . Let p be a point in the intersection.

Definition

The **intersection multiplicity** of p in $V(f, g)$ is defined to be

$$I(p; f, g) = \dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^2, p} / \langle f, g \rangle)$$

where $\mathcal{O}_{\mathbb{A}^2, p}$ and $\dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^2, p} / \langle f, g \rangle)$ are the local ring at p and the dimension of the vector space $\mathcal{O}_{\mathbb{A}^2, p} / \langle f, g \rangle$.

Remark

As pointed out by Fulton in his book *Algebraic Curves*, the intersection multiplicities of the plane curves C and D satisfy a series of 7 properties which **uniquely** define $I(p; f, g)$ at each point $p \in V(f, g)$.

Moreover, the **proof** is **constructive**, which leads to an algorithm.

Fulton's Properties

The intersection multiplicity of two plane curves at a point **satisfies and is uniquely determined by** the following.

(2-1) $I(p; f, g)$ is a non-negative integer for any C, D , and p such that C and D have no common component at p . We set $I(p; f, g) = \infty$ if C and D have a common component at p .

(2-2) $I(p; f, g) = 0$ if and only if $p \notin C \cap D$.

(2-3) $I(p; f, g)$ is invariant under affine change of coordinates on \mathbb{A}^2 .

(2-4) $I(p; f, g) = I(p; g, f)$

(2-5) $I(p; f, g)$ is greater or equal to the product of the multiplicity of p in f and g , with equality occurring if and only if C and D have no tangent lines in common at p .

(2-6) $I(p; f, gh) = I(p; f, g) + I(p; f, h)$ for all $h \in k[x, y]$.

(2-7) $I(p; f, g) = I(p; f, g + hf)$ for all $h \in k[x, y]$.

Fulton's Algorithm

Algorithm 1: $\text{IM}_2(p; f, g)$

Input: $p = (\alpha, \beta) \in \mathbb{A}^2(\mathbf{k})$ and $f, g \in \mathbf{k}[y \succ x]$ such that $\text{gcd}(f, g) \in \mathbf{k}$

Output: $l(p; f, g) \in \mathbb{N}$ satisfying (2-1)–(2-7)

if $f(p) \neq 0$ or $g(p) \neq 0$ **then**

return 0;

$r, s = \text{deg}(f(x, \beta)), \text{deg}(g(x, \beta));$ **assume** $s \geq r.$

if $r = 0$ **then**

write $f = (y - \beta) \cdot h$ and $g(x, \beta) = (x - \alpha)^m (a_0 + a_1(x - \alpha) + \dots);$

return $m + \text{IM}_2(p; h, g);$

$$\text{IM}_2(p; (y - \beta) \cdot h, g) = \text{IM}_2(p; (y - \beta), g) + \text{IM}_2(p; h, g)$$

$$\text{IM}_2(p; (y - \beta), g) = \text{IM}_2(p; (y - \beta), g(x, \beta)) = \text{IM}_2(p; (y - \beta), (x - \alpha)^m) = m$$

if $r > 0$ **then**

$h \leftarrow \text{monic}(g) - (x - \alpha)^{s-r} \text{monic}(f);$

return $\text{IM}_2(p; f, h);$

Our goal: extending Fulton's Algorithm

Limitations of Fulton's Algorithm

Fulton's Algorithm

- does not generalize to $n > 2$, that is, to n polynomials $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ since $k[x_1, \dots, x_{n-1}]$ is no longer a PID.
- is limited to computing the IM at a single point with rational coordinates, that is, with coordinates in the base field k . (Approaches based on standard or Gröbner bases suffer from the same limitation)

Our goal: extending Fulton's Algorithm

Limitations of Fulton's Algorithm

Fulton's Algorithm

- does not generalize to $n > 2$, that is, to n polynomials $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ since $k[x_1, \dots, x_{n-1}]$ is no longer a PID.
- is limited to computing the IM at a single point with rational coordinates, that is, with coordinates in the base field k . (Approaches based on standard or Gröbner bases suffer from the same limitation)

Our contributions

- We adapt Fulton's Algorithm such that it can work at any point of $V(f_1, f_2)$, rational or not.
- For $n > 2$, we propose an algorithmic criterion to reduce the n -variate case to that of $n - 1$ variables.

A first algorithmic tool: *regular chains* (1/2)

Definition

$T \subset k[x_n > \cdots > x_1]$ is a **triangular set** if $T \cap k = \emptyset$ and $\text{mvar}(p) \neq \text{mvar}(q)$ for all $p, q \in T$ with $p \neq q$.

For all $t \in T$ write $\text{init}(t) := \text{lc}(t, \text{mvar}(t))$ and $h_T := \prod_{t \in T} \text{init}(t)$. The **saturated ideal** of T is:

$$\text{sat}(T) = \langle T \rangle : h_T^\infty.$$

Theorem (J.F. Ritt, 1932)

Let $V \subset \bar{k}^n$ be an **irreducible** variety and $F \subset k[x_1, \dots, x_n]$ s.t. $V = V(F)$. Then, one can compute a (reduced) triangular set $T \subset \langle F \rangle$ s.t.

$$(\forall g \in \langle F \rangle) \text{prem}(g, T) = 0.$$

Therefore, we have

$$V = V(\text{sat}(T)).$$

A first algorithmic tool: *regular chains* (2/2)

Definition (M. Kalkbrner, 1991 - L. Yang, J. Zhang 1991)

T is a **regular chain** if $T = \emptyset$ or $T := T' \cup \{t\}$ with $\text{mvar}(t)$ maximum s.t.

- T' is a regular chain,
- $\text{init}(t)$ is regular modulo $\text{sat}(T')$

Kalkbrener triangular decomposition

For all $F \subset k[x_1, \dots, x_n]$, one can compute a family of regular chains T_1, \dots, T_e of $k[x_1, \dots, x_n]$, called a **Kalkbrener triangular decomposition** of $V(F)$, such that we have

$$V(F) = \cup_{i=1}^e V(\text{sat}(T_i)).$$

A second algorithmic tool: *the D5 Principle*

Original version (Della Dora, Discrescenzo & Duval)

Let $f, g \in k[x_1]$ such that f is squarefree. Without using irreducible factorization, one can compute $f_1, \dots, f_e \in k[x_1]$ such that

- $f = f_1 \dots f_e$ holds and,
- for each $i = 1 \dots e$, either $g \equiv 0 \pmod{f_i}$ or g is invertible modulo f_i .

Multivariate version

Let $T \subset k[x_1, \dots, x_n]$ be a regular chain such that $\text{sat}(T)$ is zero-dimensional, thus $\text{sat}(T) = \langle T \rangle$ holds. Let $f \in k[x_1, \dots, x_n]$.

The operation **Regularize** (f, T) computes regular chains

$T_1, \dots, T_e \subset k[x_1, \dots, x_n]$ such that

- $V(T) = V(T_1) \cup \dots \cup V(T_e)$ holds and,
- for each $i = 1 \dots e$, either $V(T_i) \subseteq V(f)$ or $V(T_i) \cap V(f) = \emptyset$ holds.

Moreover, only polynomial GCDs and resultants need to be computed, that is, irreducible factorization is not required.

Dealing with non-rational points

Working with regular chains

To deal with non-rational points, we extend Fulton's Algorithm to compute $\text{IM}_2(T; f_1, f_2)$, where $T \subset k[x_1, x_2]$ is a regular chain such that we have $V(T) \subseteq V(f_1, f_2)$.

- This makes sense thanks to the theorem below, which is **non-trivial** since intersection multiplicity is really a **local property**.
- For an arbitrary zero-dimensional regular chain T , we apply the D5 Principle to Fulton's Algorithm in order to reduce to the case of the theorem.

Theorem 1

Recall that $V(f_1, f_2)$ is zero-dimensional. Let $T \subset k[x_1, x_2]$ be a regular chain such that we have $V(T) \subset V(f_1, f_2)$ and the ideal $\langle T \rangle$ is maximal. Then $\text{IM}_2(p; f_1, f_2)$ is the same at any point $p \in V(T)$.

TriangularizeWithMultiplicity

We specify `TriangularizeWithMultiplicity` for the bivariate case.

Input $f, g \in \mathbf{k}[x, y]$ such that $V(f, g)$ is zero-dimensional.

Output Finitely many pairs $[(T_1, m_1), \dots, (T_\ell, m_\ell)]$ of the form $(T_i :: \text{RegularChain}, m_i :: \text{nonnegint})$ such that for all $p \in V(T_i)$

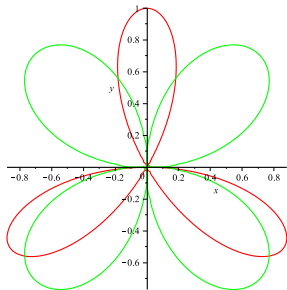
$$I(p; f, g) = m_i \quad \text{and} \quad V(f, g) = V(T_1) \uplus \dots \uplus V(T_\ell).$$

Implementating `TriangularizeWithMultiplicity` is done by

- first calling `Triangularize` (which encode the points of $V(f, g)$ with regular chains, and
- secondly calling `IM2(T; f, g)` for all $T \in \text{Triangularize}(f, g)$.

This approach allows optimizations such that using the Jacobian criterion to quickly discover points of IM equal to 1.

- > $Fs := [(x^2 + y^2)^2 + 3x^2y - y^3, (x^2 + y^2)^3 - 4x^2y^2]$:
- > `plots[implicitplot](Fs,x=-2..2,y=-2..2);`



- > $R := \text{PolynomialRing}([x, y], 101)$:
- > $rcs := \text{Triangularize}(Fs, R, \text{normalized} = \text{'yes'})$:
- > `seq(TriangularizeWithMultiplicity(Fs, T, R), T in rcs):`

$$\left[\left[1, \begin{cases} x - 1 = 0 \\ y + 14 = 0 \end{cases} \right] \right], \left[\left[1, \begin{cases} x + 1 = 0 \\ y + 14 = 0 \end{cases} \right] \right], \left[\left[1, \begin{cases} x - 47 = 0 \\ y - 14 = 0 \end{cases} \right] \right], \\ \left[\left[1, \begin{cases} x + 47 = 0 \\ y - 14 = 0 \end{cases} \right] \right], \left[\left[14, \begin{cases} x = 0 \\ y = 0 \end{cases} \right] \right]$$

- > $Fs := [x^2 + y + z - 1, x + y^2 + z - 1, x + y + z^2 - 1]$:
- > $R := \text{PolynomialRing}([x, y, z], 101)$:
- > $\text{TriangularizeWithMultiplicity}(Fs, R)$:

$$\left[\left[1, \begin{cases} x - z = 0 \\ y - z = 0 \\ z^2 + 2z - 1 = 0 \end{cases} \right] \right], \left[\left[2, \begin{cases} x = 0 \\ y = 0 \\ z - 1 = 0 \end{cases} \right] \right],$$

$$\left[\left[2, \begin{cases} x = 0 \\ y - 1 = 0 \\ z = 0 \end{cases} \right] \right], \left[\left[2, \begin{cases} x - 1 = 0 \\ y = 0 \\ z = 0 \end{cases} \right] \right]$$

Experiments

System	Degree	Time(Δ ize)	#rc's	Time(rc.im)
$\langle 1, 3 \rangle$	888	9.7	20	19.2
$\langle 1, 4 \rangle$	1456	226.0	8	9.023
$\langle 1, 5 \rangle$	1595	169.4	8	25.4
$\langle 3, 5 \rangle$	1413	22.5	27	28.6
$\langle 4, 5 \rangle$	1781	218.4	9	13.9
$\langle 5, 1 \rangle$	1759	113.0	10	15.8
$\langle 6, 8 \rangle$	1680	99.7	12	37.6
$\langle 6, 9 \rangle$	2560	299.3	10	22.9
$\langle 6, 10 \rangle$	1320	131.9	7	8.4
$\langle 6, 11 \rangle$	1440	59.8	17	27.5
$\langle 7, 8 \rangle$	1152	32.8	12	16.2
$\langle 7, 9 \rangle$	756	18.5	16	11.2
$\langle 7, 10 \rangle$	595	8.1	17	13.0
$\langle 7, 11 \rangle$	648	9.2	25	11.1
$\langle 8, 9 \rangle$	1984	374.5	10	11.3
$\langle 8, 10 \rangle$	1362	232.5	7	9.3
$\langle 8, 11 \rangle$	1256	49.6	17	45.7
$\langle 9, 10 \rangle$	2080	504.9	12	34.812
$\langle 9, 11 \rangle$	1792	115.1	16	17.2
$\langle 10, 11 \rangle$	1180	40.9	17	21.3

Reducing from $\dim n$ to $\dim n - 1$: using transversality (1/2)

Definition

The **intersection multiplicity** of p in $V(f_1, \dots, f_n)$ is given by

$$I(p; f_1, \dots, f_n) := \dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^n, p} / \langle f_1, \dots, f_n \rangle).$$

where $\mathcal{O}_{\mathbb{A}^n, p}$ and $\dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^n, p} / \langle f_1, \dots, f_n \rangle)$ are respectively the local ring at the point p and the dimension of the vector space $\mathcal{O}_{\mathbb{A}^n, p} / \langle f_1, \dots, f_n \rangle$.

The next theorem reduces the n -dimensional case to $n - 1$, under assumptions which state that **f_n does not contribute to $I(p; f_1, \dots, f_n)$** .

Reducing from $\dim n$ to $\dim n - 1$: using transversality (1/2)

Definition

The **intersection multiplicity** of p in $V(f_1, \dots, f_n)$ is given by

$$I(p; f_1, \dots, f_n) := \dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^n, p} / \langle f_1, \dots, f_n \rangle).$$

where $\mathcal{O}_{\mathbb{A}^n, p}$ and $\dim_{\bar{k}}(\mathcal{O}_{\mathbb{A}^n, p} / \langle f_1, \dots, f_n \rangle)$ are respectively the local ring at the point p and the dimension of the vector space $\mathcal{O}_{\mathbb{A}^n, p} / \langle f_1, \dots, f_n \rangle$.

The next theorem reduces the n -dimensional case to $n - 1$, under assumptions which state that **f_n does not contribute to $I(p; f_1, \dots, f_n)$** .

Theorem 2

Assume that $h_n = V(f_n)$ is non-singular at p . Let v_n be its tangent hyperplane at p . Assume that h_n meets each component (through p) of the curve $\mathcal{C} = V(f_1, \dots, f_{n-1})$ transversely (that is, the tangent cone $TC_p(\mathcal{C})$ intersects v_n only at the point p). Let $h \in k[x_1, \dots, x_n]$ be the degree 1 polynomial defining v_n . Then, we have

$$I(p; f_1, \dots, f_n) = I(p; f_1, \dots, f_{n-1}, h).$$

Reducing from $\dim n$ to $\dim n - 1$: using transversality (2/2)

The theorem again:

Theorem

Assume that $h_n = V(f_n)$ is non-singular at p . Let v_n be its tangent hyperplane at p . Assume that h_n meets each component (through p) of the curve $\mathcal{C} = V(f_1, \dots, f_{n-1})$ transversely (that is, the tangent cone $TC_p(\mathcal{C})$ intersects v_n only at the point p). Let $h \in k[x_1, \dots, x_n]$ be the degree 1 polynomial defining v_n . Then, we have

$$I(p; f_1, \dots, f_n) = I(p; f_1, \dots, f_{n-1}, h).$$

How to use this theorem in practise?

Assume that the coefficient of x_n in h is non-zero, thus $h = x_n - h'$, where $h' \in k[x_1, \dots, x_{n-1}]$. Hence, we can rewrite the ideal $\langle f_1, \dots, f_{n-1}, h \rangle$ as $\langle g_1, \dots, g_{n-1}, h \rangle$ where g_i is obtained from f_i by substituting x_n with h' . Then, we have

$$I(p; f_1, \dots, f_n) = I(p|_{x_1, \dots, x_{n-1}}; g_1, \dots, g_{n-1}).$$

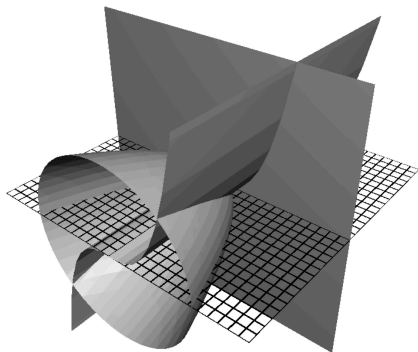
Reducing from dim n to dim $n - 1$: a simple case (1/3)

Example

Consider the system

$$f_1 = x, \quad f_2 = x + y^2 - z^2, \quad f_3 := y - z^3$$

near the origin $o := (0, 0, 0) \in V(f_1, f_2, f_3)$



Reducing from $\dim n$ to $\dim n - 1$: a simple case (2/3)

Example

Recall the system

$$f_1 = x, \quad f_2 = x + y^2 - z^2, \quad f_3 := y - z^3$$

near the origin $o := (0, 0, 0) \in V(f_1, f_2, f_3)$.

Computing the IM using the definition

Let us compute a basis for $\mathcal{O}_{\mathbb{A}^3, o} / \langle f_1, f_2, f_3 \rangle$ as a vector space over \bar{k} .

Setting $x = 0$ and $y = z^3$, we must have $z^2(z^4 + 1) = 0$ in

$$\mathcal{O}_{\mathbb{A}^3, o} = \bar{k}[x, y, z]_{(z, y, z)}.$$

Since $z^4 + 1$ is a unit in this local ring, we see that

$$\mathcal{O}_{\mathbb{A}^3, o} / \langle f_1, f_2, f_3 \rangle = \langle 1, z \rangle$$

as a vector space, so $I(o; f_1, f_2, f_3) = 2$.

Reducing from dim n to dim $n - 1$: a simple case (3/3)

Example

Recall the system again

$$f_1 = x, \quad f_2 = x + y^2 - z^2, \quad f_3 := y - z^3$$

near the origin $o := (0, 0, 0) \in V(f_1, f_2, f_3)$.

Computing the IM using the reduction

We have

$$\mathcal{C} := V(x, x + y^2 - z^2) = V(x, (y - z)(y + z)) = TC_o(\mathcal{C})$$

and we have

$$h = y.$$

Thus \mathcal{C} and $V(f_3)$ intersect transversally at the origin. Therefore, we have

$$l_3(p; f_1, f_2, f_3) = l_2((0, 0); x, x - z^2) = 2.$$

Reducing from dim n to dim $n - 1$: via cylindrification (1/3)

In practise, this reduction from n to $n - 1$ variables does not always apply. For instance, this is the case for *Ojika 2*:

$$x^2 + y + z - 1 = x + y^2 + z - 1 = x + y + z^2 - 1 = 0.$$

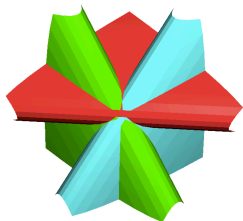


Figure: The real points of $V(x^2 + y + z - 1, x + y^2 + z - 1, x + y + z^2 - 1)$.

Reducing from dim n to dim $n - 1$: via cylindrification (2/3)

Recall the system

$$x^2 + y + z - 1 = x + y^2 + z - 1 = x + y + z^2 - 1 = 0.$$

If one uses the first equation, that is $x^2 + y + z - 1 = 0$, to eliminate z from the other two, we obtain two bivariate polynomials $f, g \in k[x, y]$.

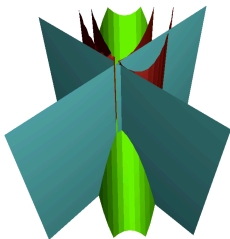


Figure: The real points of $V(x^2 + y + z - 1, x + y^2 - x^2 - y, x - y + x^4 + 2x^2y - 2x^2 + y^2)$ near the origin.

Reducing from $\dim n$ to $\dim n - 1$: via cylindrification (3/3)

At any point of $p \in V(h, f, g)$ the tangent cone of the curve $V(f, g)$ is independent of z ; in some sense it is “vertical”. On the other hand, at any point of $p \in V(h, f, g)$ the tangent space of $V(h)$ is **not** vertical.

Thus, the previous theorem applies without computing **any** tangent cones.

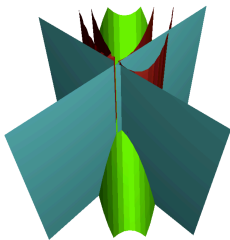
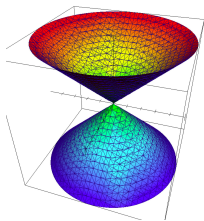
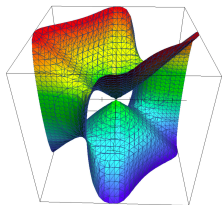


Figure: The real points of $V(x^2 + y + z - 1, x + y^2 - x^2 - y, x - y + x^4 + 2x^2y - 2x^2 + y^2)$ near the origin.

Tangent cone computation without standard bases



Assume $\bar{k} = \mathbb{C}$ and none of the $V(f_i)$ is singular at p . For each component \mathcal{G} through p of $\mathcal{C} = V(f_1, \dots, f_{n-1})$,

- There exists a neighborhood B of p such that $V(f_i)$ is not singular at all $q \in (B \cap \mathcal{G}) \setminus \{p\}$, for $i = 1, \dots, n-1$.
- Let $v_i(q)$ be the tangent hyperplane of $V(f_i)$ at q . Regard $v_1(q) \cap \dots \cap v_{n-1}(q)$ as a parametric variety with q as parameter.
- Then, $TC_p(\mathcal{G}) = v_1(q) \cap \dots \cap v_{n-1}(q)$ when q approaches p , which we compute by a variable elimination process.

Finally, $TC_p(\mathcal{C})$ is the union of all the $TC_p(\mathcal{G})$. This approach avoids standard basis computation and extends easily for working with $V(T)$ instead of p .

Tangent cone computation with regular chains (1/2)

Algorithm principle

- Let $m(x_1, \dots, x_n)$ be a point on the curve $\mathcal{C} = V(f_1, \dots, f_{n-1})$,
- Let \vec{u} be a unit vector directing the line (pm)
- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$

Step 1

- Let T be a 0-dim regular chain defining the point p ; rename its variables to y_1, \dots, y_n .

Tangent cone computation with regular chains (1/2)

Algorithm principle

- Let $m(x_1, \dots, x_n)$ be a point on the curve $\mathcal{C} = V(f_1, \dots, f_{n-1})$,
- Let \vec{u} be a unit vector directing the line (pm)
- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$

Step 1

- Let T be a 0-dim regular chain defining the point p ; rename its variables to y_1, \dots, y_n .
- Consider the polynomial system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.

Tangent cone computation with regular chains (1/2)

Algorithm principle

- Let $m(x_1, \dots, x_n)$ be a point on the curve $\mathcal{C} = V(f_1, \dots, f_{n-1})$,
- Let \vec{u} be a unit vector directing the line (pm)
- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$

Step 1

- Let T be a 0-dim regular chain defining the point p ; rename its variables to y_1, \dots, y_n .
- Consider the polynomial system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- This is a 1-dim system in the variables $y_1, \dots, y_n, x_1, \dots, x_n$.

Tangent cone computation with regular chains (1/2)

Algorithm principle

- Let $m(x_1, \dots, x_n)$ be a point on the curve $\mathcal{C} = V(f_1, \dots, f_{n-1})$,
- Let \vec{u} be a unit vector directing the line (pm)
- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$

Step 1

- Let T be a 0-dim regular chain defining the point p ; rename its variables to y_1, \dots, y_n .
- Consider the polynomial system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- This is a 1-dim system in the variables $y_1, \dots, y_n, x_1, \dots, x_n$.
- Let R_1, \dots, R_e be regular chains decomposing the zero set V of (S) .

Tangent cone computation with regular chains (2/2)

Recall

- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$
- Consider the system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- Let R_1, \dots, R_e be regular chains decomposing the zero set V of (S) .

Step 2

- We divide each component of $p\vec{m}$ by $x_1 - y_1$. This works only if $x_1 - y_1$ **vanishes finitely many times** in V .

Tangent cone computation with regular chains (2/2)

Recall

- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$
- Consider the system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- Let R_1, \dots, R_e be regular chains decomposing the zero set V of (S) .

Step 2

- We divide each component of $p\vec{m}$ by $x_1 - y_1$. This works only if $x_1 - y_1$ **vanishes finitely many times** in V .
- Fix $i = 1 \dots e$. If $x_1 - y_1$ is regular modulo the saturated ideal of R_i , then each compliant of $p\vec{m}$ can be divided by $x_1 - y_1$.

Tangent cone computation with regular chains (2/2)

Recall

- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$
- Consider the system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- Let R_1, \dots, R_e be regular chains decomposing the zero set V of (S) .

Step 2

- We divide each component of $p\vec{m}$ by $x_1 - y_1$. This works only if $x_1 - y_1$ **vanishes finitely many times** in V .
- Fix $i = 1 \dots e$. If $x_1 - y_1$ is regular modulo the saturated ideal of R_i , then each component of $p\vec{m}$ can be divided by $x_1 - y_1$.
- Assume $x_1 - y_1$ is regular modulo the saturated ideal of R_i . Define $s_j = \frac{x_j - y_j}{x_1 - y_1}$. We have $\vec{u} = (1, s_2, \dots, s_n)$.

Tangent cone computation with regular chains (2/2)

Recall

- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$
- Consider the system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- Let R_1, \dots, R_e be regular chains decomposing the zero set V of (S) .

Step 2

- We divide each component of $p\vec{m}$ by $x_1 - y_1$. This works only if $x_1 - y_1$ **vanishes finitely many times** in V .
- Fix $i = 1 \dots e$. If $x_1 - y_1$ is regular modulo the saturated ideal of R_i , then each component of $p\vec{m}$ can be divided by $x_1 - y_1$.
- Assume $x_1 - y_1$ is regular modulo the saturated ideal of R_j . Define $s_j = \frac{x_i - y_i}{x_1 - y_1}$. We have $\vec{u} = (1, s_2, \dots, s_n)$.
- Let s_2, \dots, s_n be variables; **extend R_j** with the polynomials $s_2(x_1 - y_1) - (x_2 - y_2), \dots, s_n(x_1 - y_1) - (x_n - y_n)$ **to a chain S_j** .

Tangent cone computation with regular chains (2/2)

Recall

- The set $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ describes $TC_p(\mathcal{C})$
- Consider the system (S) defined by T and $f_1 = \dots = f_{n-1} = 0$.
- Let R_1, \dots, R_e be regular chains decomposing the zero set V of (S) .

Step 2

- We divide each component of $p\vec{m}$ by $x_1 - y_1$. This works only if $x_1 - y_1$ **vanishes finitely many times** in V .
- Fix $i = 1 \dots e$. If $x_1 - y_1$ is regular modulo the saturated ideal of R_i , then each component of $p\vec{m}$ can be divided by $x_1 - y_1$.
- Assume $x_1 - y_1$ is regular modulo the saturated ideal of R_i . Define $s_j = \frac{x_j - y_j}{x_1 - y_1}$. We have $\vec{u} = (1, s_2, \dots, s_n)$.
- Let s_2, \dots, s_n be variables; **extend R_j** with the polynomials $s_2(x_1 - y_1) - (x_2 - y_2), \dots, s_n(x_1 - y_1) - (x_n - y_n)$ **to a chain S_j** .
- Finally $\{\lim_{m \rightarrow p, m \neq p} \vec{u}\}$ is given by the **limit points** of the S_j 's, that is, the sets $\overline{W(S_j)} \setminus W(S_j)$.

Limit points of a quasi-component

Input

- Let $R \subset \mathbb{C}[X_1, \dots, X_s]$ be a regular chain.
- Let h_R be the product of initials of polynomials of R .
- Let $W(R)$ be the quasi-component of R , that is $V(R) \setminus V(h_R)$.

Desired output

The non-trivial limit points of $W(R)$, that is

$$\lim(W(R)) := \overline{W(R)}^Z \setminus W(R).$$

Puiseux expansions of a regular chain

Notation

- Let $R := \{r_1(X_1, X_2), \dots, r_{s-1}(X_1, \dots, X_s)\} \subset \mathbb{C}[X_1 < \dots < X_s]$ be a 1-dim regular chain.
- Assume R is strongly normalized, that is, $\text{init}(R) \in \mathbb{C}[X_1]$.
- Let $\mathbf{k} = \mathbb{C}(\langle X_1^* \rangle)$.
- Then R generates a zero-dimensional ideal in $\mathbf{k}[X_2, \dots, X_s]$.
- Let $V^*(R)$ be the zero set of R in \mathbf{k}^{s-1} .

Definition

We call *Puiseux expansions* of R the elements of $V^*(R)$.

Remarks

- The *strongly normalized assumption* is only for presentation ease.
- Generically, The 1-dim assumption extends to dimension $d \leq 2$.
- Higher dimension requires the Jung-Abhyankar theorem.

An example

A regular chain R

$$R := \begin{cases} X_1 X_3^2 + X_2 \\ X_1 X_2^2 + X_2 + X_1 \end{cases}$$

Puiseux expansions of R

$$\begin{cases} X_3 = 1 + O(X_1^2) \\ X_2 = -X_1 + O(X_1^2) \end{cases} \quad \begin{cases} X_3 = -1 + O(X_1^2) \\ X_2 = -X_1 + O(X_1^2) \end{cases}$$

$$\begin{cases} X_3 = X_1^{-1} - \frac{1}{2}X_1 + O(X_1^2) \\ X_2 = -X_1^{-1} + X_1 + O(X_1^2) \end{cases} \quad \begin{cases} X_3 = -X_1^{-1} + \frac{1}{2}X_1 + O(X_1^2) \\ X_2 = -X_1^{-1} + X_1 + O(X_1^2) \end{cases}$$

Relation between $\lim_0(W(R))$ and Puiseux expansions of R

Theorem

For $W \subseteq \mathbb{C}^s$, denote

$$\lim_0(W) := \{x = (x_1, \dots, x_s) \in \mathbb{C}^s \mid x \in \lim(W) \text{ and } x_1 = 0\},$$

and define

$$V_{\geq 0}^*(R) := \{\Phi = (\Phi^1, \dots, \Phi^{s-1}) \in V^*(R) \mid \text{ord}(\Phi^j) \geq 0, j = 1, \dots, s-1\}.$$

Then we have

$$\lim_0(W(R)) = \cup_{\Phi \in V_{\geq 0}^*(R)} \{(X_1 = 0, \Phi(X_1 = 0))\}.$$

$$V_{\geq 0}^*(R) := \begin{cases} X_3 = 1 + O(X_1^2) \\ X_2 = -X_1 + O(X_1^2) \end{cases} \cup \begin{cases} X_3 = -1 + O(X_1^2) \\ X_2 = -X_1 + O(X_1^2) \end{cases}$$

Thus the limit points are $\lim_0(W(R)) = \{(0, 0, 1), (0, 0, -1)\}$.

Limit points of a quasi-component

```
> with(AlgebraicGeometryTools):  
> R := PolynomialRing([x, y, t]);  
> F := [t*y^2 + y + 1, (t + 2)*t*x^2 + (y + 1)* (x + 1)];  
> C := Chain(F, Empty(R), R);  
> lm := LimitPoints(C, R, false, true);  
> Display(lm, R);
```

R := polynomial_ring

F := [t y² + y + 1, (t + 2) t x² + (y + 1) (x + 1)]

C := regular_chain

lm := [regular_chain, regular_chain, regular_chain, regular_chain]

$$\left[\left[\begin{array}{l} x + 1 = 0 \\ y + \frac{1}{2} = 0 \\ t + 2 = 0 \end{array} \right], \left[\begin{array}{l} x + 1 = 0 \\ y - 1 = 0 \\ t + 2 = 0 \end{array} \right], \left[\begin{array}{l} x + \frac{1}{2} = 0 \\ y + 1 = 0 \\ t = 0 \end{array} \right], \left[\begin{array}{l} x - 1 = 0 \\ y + 1 = 0 \\ t = 0 \end{array} \right] \right]$$

Conclusions

Let $f_1, \dots, f_n \in k[x_1, \dots, x_n]$ such that $V(f_1, \dots, f_n)$ is zero-dimensional.

- For $n = 2$, in all cases, and for $n > 2$, under genericity assumptions, we saw how to compute the intersection multiplicity $I(p; f_1, \dots, f_n)$ at any $p \in V(f_1, \dots, f_n)$.
- In some cases, the tangent cone of a curve at a point is computed.
- When this happens, computing limit points of constructible sets may be computed as well.
- All these operations rely on regular chain manipulations instead of standard basis computation.
- They are part of the new module AlgebraicGeometryTools of the next release the RegularChains library, planned for the ICMS 2014 meeting in Korea.
- www.regularchains.org