Fundamental Algorithms and Implementation Techniques for Computing with Regular Chains

Marc Moreno Maza (Ontario Research Center for Computer Algebra) (Univ. of Western Ontario)

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Let K be an algebraically closed field, say C, and k be a subfield of K, say Q. Consider n variables x<sub>1</sub> < · · · < x<sub>n</sub>.

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- ► A subset  $V \subset \mathbf{K}^n$  is a **(affine) variety over k** if there exists  $F \subset \mathbf{k}[x_1, \dots, x_n]$  such that V = V(F) where

$$V(F) := \{ z \in \mathbf{K}^n \mid f(z) = 0 \ (\forall f \in F) \}.$$

The variety V is **irreducible** if for all varieties  $V_1, V_2 \subset \mathbf{K}^n$ 

 $V = V_1 \cup V_2 \quad \Rightarrow \quad V = V_1 \text{ or } V = V_2.$ 

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► Theorem (E. Lasker) For each variety V ⊂ K<sup>n</sup> there exist finitely many irreducible varieties V<sub>1</sub>,..., V<sub>e</sub> ⊂ K<sup>n</sup> such that

 $V = V_1 \cup \cdots \cup V_e.$ 

Moreover, if  $V_i \not\subseteq V_j$  for  $1 \leq i < j \leq e$  then  $\{V_1, \ldots, V_e\}$  is unique. This is the irreducible decomposition of V.

# How did regular chains emerge? (2/3)

▶ **Theorem** (J.F. Ritt) Let  $V \subset \mathbf{K}^n$  be an irreducible non-empty variety and let  $F \subset \mathbf{k}[x_1, \dots, x_n]$  s.t. V = V(F). Then, one can compute a (reduced) triangular set  $T \subset \langle F \rangle$  s.t.

 $(\forall g \in \langle F \rangle) \operatorname{prem}(g, T) = 0.$ 

Combined with algebraic factorization one can (in theory) compute irreducible decompositions.

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Theorem (W.T. Wu) Let V ⊂ K<sup>n</sup> be a variety and let F ⊂ k[x<sub>1</sub>, · · · , x<sub>n</sub>] s.t. V = V(F). Then, one can compute a (reduced) triangular set T ⊂ ⟨F⟩ s.t.

 $(\forall g \in F) \operatorname{prem}(g, T) = 0.$ 

This leads to a factorization free algorithm for decomposing varieties (but not into irreducible components).

### How did regular chains emerge? (3/3)

• **Example.** Applying the charset procedure to  $F = \{x_2^2 - x_1, x_1x_3^2 - 2x_2x_3 + 1, (x_2x_3 - 1)x_4^2 + x_2^2\}$  produces T = F. However  $V(F) = \emptyset$ . Indeed

$$x_1x_3^2 - 2x_2x_3 + 1 \equiv (x_2x_3 - 1)^2 \mod x_2^2 - x_1.$$

Thus, the initial  $(x_2x_3 - 1)$  is a zero-divisor modulo  $\langle x_2^2 - x_1, x_1x_3^2 - 2x_2x_3 + 1 \rangle$ .

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The notion of a regular chain (Lu Yang, Jingzhong Zhang 91) (Michael Kalkbrener 91) solves this difficulty: for any input F ⊆ k[x<sub>1</sub>,...,x<sub>n</sub>] one can compute regular chains T<sub>1</sub>,..., T<sub>e</sub> such that a point z ∈ K<sup>n</sup> is a zero of F if and only if z is a zero of one of the T<sub>1</sub>,..., T<sub>e</sub> (in some technical sense). (Dong Ming Wang 2000) (Marc Moreno Maza 2000)

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- Regular chains
- Normal Forms
- ► Regular GCDs
- Regularity test
- The RegularChains library

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- Normal Forms : using fast polynomial arithmetic
- Regular GCDs : using modular techniques
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The RegularChains library

# Part I: The Notion of a Regular Chain

- Regular chain, saturated ideal
- Algorithmic properties
- Zero-dimensional case (as many equations as variables)

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Let T ⊂ k[x<sub>1</sub> < · · · < x<sub>n</sub>] \ k be a triangular set, hence the polynomials of T have pairwise distinct main variables.

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- ▶ Let  $\operatorname{mvar}(T) := {\operatorname{mvar}(t) | t \in T}$ ,  $\operatorname{init}(t) := \operatorname{lc}(t, \operatorname{mvar}(t))$ for all  $t \in T$ , and  $h_T := \prod_{t \in T} \operatorname{init}(t)$ .

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T is a regular chain if for each v ∈ mvar(T) the initial of T<sub>v</sub> is regular modulo sat(T<sub><v</sub>) (Michael Kalkbrener 91).

#### Algorithmic Properties

Let p ∈ k[x<sub>1</sub> < · · · < x<sub>n</sub>] and T ⊂ k[x<sub>1</sub> < · · · < x<sub>n</sub>] be a triangular set. If T is empty then, the *iterated resultant* of p w.r.t. T is res(T, p) = p. Otherwise, writing T = T<sub><w</sub> ∪ T<sub>w</sub>

$$\operatorname{res}(T,p) = \begin{cases} p & \text{if } \deg(p,w) = 0\\ \operatorname{res}(T_{< w}, \operatorname{res}(T_w, p, w)) & \text{otherwise} \end{cases}$$

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► T is a regular chain iff

 $\operatorname{res}(T, h_T) \neq 0$ 

(Lu Yang, Jingzhong Zhang 91).

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► T is a regular chain iff

$$\{p \mid \operatorname{prem}(p, T) = 0\} = \operatorname{sat}(T)$$

(Philippe Aubry, Daniel Lazard, Marc Moreno Maza 97).

• Let  $T \subset \mathbf{k}[x_1, \ldots, x_n]$  be a regular chain such that |T| = n.

- Let  $T \subset \mathbf{k}[x_1, \dots, x_n]$  be a regular chain such that |T| = n.
- ► Then each init(t) for t ∈ T is invertible modulo (T) (using GCD computations)

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- Let N be the regular chain obtained from T by normalization: multiplying each t ∈ T by the inverse of init(t) modulo (T).
- ► Let *G* be the regular chain obtained from *N* by auto-reduction in Gröbner basis sense. Then *G* is a reduced Gröbner basis.

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Example:

$$T = \{x_1^2 + 1, x_1x_2^2 + 1\} \Rightarrow G = \{x_1^2 + 1, x_2^2 - x_1\}.$$

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- ► Example:

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► Unless k is finite, normalization blows up coefficients.

# Part II: Normal Forms

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- Ideal membership, normal form computation
- The fast division trick
- ▶ FFT-based multiplication
- ▶ Fast Normal form computation

▶ Let  $T \subset \mathbf{k}[x_1 < \cdots < x_n]$  be a regular chain s.t. |T| = n,  $h_T = 1$  and T is auto-reduced. Hence T is a Gröbner basis.

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► A naive ascending approach rem(··· , rem(rem(rem(p, T<sub>x1</sub>), T<sub>x2</sub>), T<sub>x1</sub>), ··· T<sub>x1</sub>) blows up algebraic complexity

## The fast division trick (1/2)

Let a, b ∈ A[x] with n := deg(a) ≥ m := deg(b) > 0, b monic and A any commutative ring with 1.

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- Replacing x by 1/x and multiplying the equation by x<sup>n</sup>:

$$x^{n} a(1/x) = (x^{n-m}q(1/x)) (x^{m} b(1/x)) + x^{n-m+1} (x^{m-1} r(1/x))$$

That is:

 $\operatorname{rev}_n(a) = \operatorname{rev}_{n-m}(q) \operatorname{rev}_m(b) + x^{n-m+1} \operatorname{rev}_{m-1}(r)$ 

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 Computing (rev<sub>m</sub>(b))<sup>-1</sup> mod x<sup>n-m+1</sup> is a truncated inverse of a power series. (S. Cook, 1966) (H. T. Kung, 1974) and (M. Sieveking, 1972)

# The fast division trick (2/2)

```
Input: f \in A[x] such that f(0) = 1 and \ell \in \mathcal{N}.

Output: g \in A[x] such that f g \equiv 1 \mod x^{\ell}

g_0 := 1

r := \lceil \log_2(\ell) \rceil

for i = 1 \cdots r repeat

g_i := (2g_{i-1} - f g_{i-1}^2) \mod x^{2^i}

return g_r
```

- This algorithm runs in  $3M(\ell) + O(\ell)$  operations in A.
- Improved versions run in  $2M(\ell) + O(\ell)$  operations in A.
- Finally, the quotient q and the remainder r are computed in  $3 M(n-m) + M(\max(n-m,m)) + O(n)$  operations in A
- Modern Computed Algebra (Gathen Gerhard 99)

## FFT-based multiplication

M(d) number of coefficient operations in degree less than d.

Classical Multiplication	$M(d) = 2d^2$
Karatsuba Multiplication	$M(d) = 9d^{1.59}$
FFT over appropriate ring	$M(d) = 9/2d \log d + 3d$

**Input:**  $f, g \in \mathbf{k}[x]$  and  $\omega$  a *s*-primitive root of unity for  $s > \deg(f) + \deg(g)$  and *s* is a power of 2. **Output:** the product fg

- (1) Evaluate f and g at  $\omega^i$  for  $i = 0 \cdots s 1$
- (2) Evaluate fg at  $\omega^i$  for  $i = 0 \cdots s 1$
- (3) Interpolate and return fg

See (M.M.M. Yuzhen Xie 2009) for implementation techniques.

▶ Let A and B in  $\mathbf{k}[x_1, \ldots, x_n]$  reduced w.r.t.  $T := \{T_1, \ldots, T_n\}$ 0-dimensional, reduced and all  $\operatorname{init}(T_i) = 1$ .

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- The size of input is  $\delta_{\mathbf{T}} = \deg(T_1, x_1) \cdots \deg(T_n, x_n)$ .
- One can compute AB mod (T<sub>1</sub>,..., T<sub>n</sub>} in O<sup>~</sup>(4<sup>n</sup>δ<sub>T</sub>) operations in k (Xin Li, M.M.M., É. Schost 07).

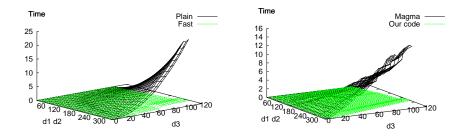
- Let A and B in  $\mathbf{k}[x_1, \dots, x_n]$  reduced w.r.t.  $T := \{T_1, \dots, T_n\}$ 0-dimensional, reduced and all  $init(T_i) = 1$ .
- The size of input is  $\delta_{\mathbf{T}} = \deg(T_1, x_1) \cdots \deg(T_n, x_n)$ .
- One can compute  $AB \mod \langle T_1, \ldots, T_n \rangle$  in  $O^{\sim}(4^n \delta_T)$ operations in k (Xin Li, M.M.M., É. Schost 07).
- Three key ideas: using the fast division trick and avoid mod  $\langle T_1, \ldots, T_n \rangle$  as much as possible and reduce to multiplying polynomials over the base field  $\mathbf{k}$  using FFT.

 $\frac{\mathsf{ModMul}(A, B, \{T_1, \dots, T_n\})}{1 \ D := AB \text{ computed in } \mathbf{k}[x_1, \dots, x_n]}$ 

2 **return** NormalForm<sub>n</sub>(D, { $T_1$ ,..., $T_n$ })

NormalForm<sub>1</sub>( $A : R[x_1], \{T_1 : R[x_1]\}$ ) 1  $S_1 := \operatorname{Rev}(T_1)^{-1} \mod x_1^{\deg(A) - \deg(T_1) + 1}$  $2 D := \operatorname{Rev}(A)S_1 \mod x_1^{\deg(A) - \deg(\mathcal{T}_1) + 1}$  $3 D := T_1 \operatorname{Rev}(D)$ 4 return A - DNormalForm<sub>2</sub>( $A : R[x_1, x_2], \{T_1 : R[x_1], T_2 : R[x_1, x_2]\}$ ) 1  $A := \max(\operatorname{NormalForm}_1, \operatorname{Coeffs}(A, x_2), \{T_1\})$ 2  $S_2 := \operatorname{Rev}(T_2)^{-1} \mod T_1, x_2^{\operatorname{deg}(A, x_2) - \operatorname{deg}(T_2, x_2) + 1}$ 3  $D := \operatorname{Rev}(A)S_2 \mod x_2^{\deg(A,x_2) - \deg(T_2,x_2) + 1}$ 4  $D := map(NormalForm_1, Coeffs(D, x_2), \{T_1\})$ 5  $D := T_2 \operatorname{Rev}(D)$ 6  $D := map(NormalForm_1, Coeffs(D, x_2), \{T_1\})$ 7 return A - D

- [left] comparison of classical (plain) and asymptotically fast strategies.
- [right] comparison with MAGMA.



- Asymptotically fast strategy dominates the classical one.
- Our fast implementation is better than Magma's one (the best known implementation).

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### Part III: Regular GCDs

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- Plane curve intersection
- The notion of a regular GCD
- Subresultants
- Regular GCDs via subresultants
- Complexity estimates
- Experimental results

#### Plane curve intersection

A historical application of the resultant is to compute the intersection of two plane curves. Up to details, there are two steps:

- eliminate one variable by computing a resultant,
- compute a GCD modulo this resultant.

Example (From Modern Computer Algebra, Chapter 6) . Let  $P = (y^2 + 6) (x - 1) - y (x^2 + 1)$  and  $Q = (x^2 + 6) (y - 1) - x (y^2 + 1)$   $\blacktriangleright \operatorname{res}(P, Q, y) = 2 (x^2 - x + 4) (x - 2)^2 (x - 3)^2.$   $\blacktriangleright \operatorname{gcd}(P, Q, x - 2 = 0) = (y - 2)(y - 3).$   $\blacktriangleright \operatorname{gcd}(P, Q, x^2 - x + 4 = 0) = (y - 2)(y - 3).$  $\blacktriangleright \operatorname{gcd}(P, Q, x^2 - x + 4 = 0) = (2x - 1)y - 7 - x.$ 

## Regular GCD

- ▶ Let  $\mathbb{B}$  be a commutative ring with units. Let  $P, Q \in \mathbb{B}[y]$  be non-constant with regular leading coefficients.
- ►  $G \in \mathbb{B}[y]$  is a *regular GCD* of P, Q if we have: (*i*) lc(G, y) is a regular element of  $\mathbb{B}$ , (*ii*)  $G \in \langle P, Q \rangle$  in  $\mathbb{B}[y]$ , (*iii*)  $deg(G, y) > 0 \Rightarrow prem(P, G, y) = prem(Q, G, y) = 0$ .

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- In practice B = k[x<sub>1</sub>,...,x<sub>n</sub>]/sat(T), with T being a regular chain.
- Such a regular GCD may not exist. However one can compute  $I_i = \operatorname{sat}(T_i)$  and non-zero polynomials  $G_i$  such that

$$\sqrt{\mathcal{I}} = \bigcap_{i=0}^{e} \sqrt{\mathcal{I}_i}$$
 and  $G_i$  regular GCD of  $P, Q \mod \mathcal{I}_i$ 

#### Regularity test

Regularity test is a fundamental operation:

$$\operatorname{Regularize}(p,\mathcal{I}) \longmapsto (\mathcal{I}_1,\ldots,\mathcal{I}_e)$$

such that:

$$\sqrt{\mathcal{I}} = \cap_{i=0}^{e} \sqrt{\mathcal{I}_{i}} \text{ and } p \in \mathcal{I}_{i} \text{ or } p \text{ regular modulo } \mathcal{I}_{i}$$

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Regularity test reduces to regular GCD computation.

### Related work

- ▶ This notion of a regular GCD was proposed in (M. M. 2000)
- In previous work (Kalkbrener 1993) and (Rioboo & M. M. 1995), other regular GCDs modulo regular chains were introduced, but with limitations.
- In other work (Wang 2000), (Yang etc. 1995) and (Jean Della Dora, Claire Dicrescenzo, Dominique Duval 85), related techniques are used to construct triangular decompositions.
- Regular GCDs modulo regular chains generalize GCDs over towers of field extensions for which specialized algorithms are available, (van Hoeij and Monagan 2002 & 2004).
- Asymptotically fast algorithms (when sat(T) is zero-dimensional and radical) appear in (Xavier Dahan, M. M., Éric Schost, Yuzhen Xie, 2006)
- ► The next results appear in (Xin Li, M. M. , Wei Pan, 2009).

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- (Chee K. Yap 1993) (Lionel Ducos 1997) (M'hammed El Kahoui, 2003)

#### **Example.**

The Chain of  $P = X_2^4 + X_1X_2 + 1$  and  $Q = 4X_2^3 + X_1$  in  $(\mathbb{Q}[X_1])[X_2]$  produces the following sequence of polynomials:

$$S_4 = X_2^4 + X_1X_2 + 1$$
  

$$S_3 = 4X_2^3 + X_1$$
  

$$S_2 = -4(3X_1X_2 + 4)$$
  

$$S_1 = -12X_1(3X_1X_2 + 4)$$
  

$$S_0 = -27X_1^4 + 256$$

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#### Example.

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$$S_0 = -27X_1^4 + 256$$

• Let  $\Phi$  be a homomorphism from  $\mathbf{k}[x_1, x_2]$  to  $\mathbf{K}[x_2]$ . Assume  $\Phi(a) \neq 0$  where  $a = lc(P, X_2)$ . Then we have the specialization property of subresultants:

$$\Phi(sres_i(P,Q)) = \Phi(a)^{n-k}sres_i(\Phi(P),\Phi(Q))$$
  
here  $n = \deg(Q, x_2)$  and  $k = \deg(\Phi(Q), x_2)$ .

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- Let  $P, Q \in \mathbf{k}[\mathbf{x}][y]$  with mvar(P) = mvar(Q) = y.
- Define R = res(P, Q, y).

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- Define  $R = \operatorname{res}(P, Q, y)$ .
- Let T ⊂ k[x<sub>1</sub>,...,x<sub>n</sub>] be a regular chain such that
   R ∈ sat(T),
  - init(P) and init(Q) are regular modulo sat(T).

• Let  $P, Q \in \mathbf{k}[\mathbf{x}][y]$  with mvar(P) = mvar(Q) = y.

• Define 
$$R = res(P, Q, y)$$
.

- Let T ⊂ k[x<sub>1</sub>,...,x<sub>n</sub>] be a regular chain such that
   R ∈ sat(T),
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- For 0 ≤ j ≤ mdeg(Q), we write S<sub>j</sub> for the j-th subresultant of P, Q in A[y].

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- ▶ Recall that S<sub>d</sub> regular GCD of P, Q modulo sat(T) means
   (i) lc(S<sub>d</sub>, y) is a regular element of B,
   (ii) S<sub>d</sub> ∈ ⟨P, Q⟩ in B[y],
   (iii) deg(S<sub>d</sub>, y) > 0 ⇒ prem(P, S<sub>d</sub>, y) = prem(Q, S<sub>d</sub>, y) = 0.

• Let  $1 \le d \le q$  such that  $S_j \in \operatorname{sat}(T)$  for all  $0 \le j < d$ .

Lemma

If  $lc(S_d, y)$  is regular modulo sat(T), then  $S_d$  is non-defective over k[x].

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If  $lc(S_d, y)$  is regular modulo sat(T), then  $S_d$  is non-defective over k[x].

▶ Consequently,  $S_d$  is the last nonzero subresultant over  $\mathbb{B}$ , and it is also non-defective over  $\mathbb{B}$ .

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- ► Consequently, S<sub>d</sub> is the last nonzero subresultant over B, and it is also non-defective over B.
- If lc(S<sub>d</sub>, x<sub>n</sub>) is not regular modulo sat(T) then S<sub>d</sub> may be defective over B.

• Let  $1 \le d \le q$  such that  $S_j \in \operatorname{sat}(T)$  for all  $0 \le j < d$ .

Lemma

If  $lc(S_d, y)$  is in sat(T), then  $S_d$  is nilpotent modulo sat(T).

• Let  $1 \le d \le q$  such that  $S_j \in \operatorname{sat}(T)$  for all  $0 \le j < d$ .

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If  $lc(S_d, y)$  is in sat(T), then  $S_d$  is nilpotent modulo sat(T).

► Up to sufficient splitting of sat(T), S<sub>d</sub> will vanish on all the components of sat(T).

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► Up to sufficient splitting of sat(T), S<sub>d</sub> will vanish on all the components of sat(T).

► The above two lemmas completely characterize the last non-zero subresultant of P and Q over B.

#### Example

• Consider P and Q in  $\mathbb{Q}[x_1, x_2][y]$ :

$$P = x_2^2 y^2 - x_1^4$$
 and  $Q = x_1^2 y^2 - x_2^4$ .

We have:

$$S_1 = x_1^6 - x_2^6$$
 and  $R = (x_1^6 - x_2^6)^2$ .

• Let  $T = \{R\}$ . Then we observe:

► The last subresultant of P, Q modulo sat(T) is S<sub>1</sub>, which is a defective one.

•  $S_1$  is nilpotent modulo sat(T).

▶ *P* and *Q* do not admit a regular GCD over  $\mathbb{Q}[x_1, x_2]/\text{sat}(T)$ .

# Regular GCDs (5/6)

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• Let  $1 \le d \le q$  such that  $S_j \in \operatorname{sat}(T)$  for all  $0 \le j < d$ .

Proposition

Assume

- $lc(S_d, y)$  is regular modulo sat(T),
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(i) 
$$lc(S_d, y)$$
 is a regular element of  $\mathbb{B}$ ,

(ii) 
$$S_d \in \langle P, Q \rangle$$
 in  $\mathbb{B}[y]$ ,

(iii) 
$$\deg(S_d, y) > 0 \Rightarrow \operatorname{prem}(P, S_d, y) = \operatorname{prem}(Q, S_d, y) = 0.$$

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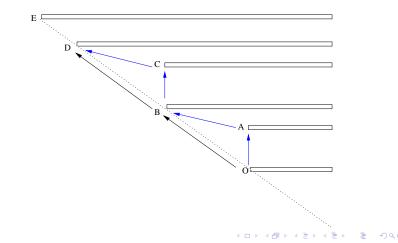
Assume

- $lc(S_d, y)$  is regular modulo sat(T),
- ▶ for all  $d < k \le q$ ,  $\operatorname{coeff}(S_k, y^k)$  is either 0 or regular modulo  $\operatorname{sat}(T)$ .

Then,  $S_d$  is a regular GCD of P, Q modulo sat(T).

# Regular GCDs (6/6)

- Assume that the subresultants  $S_j$  for  $1 \le j < q$  are computed.
- ► Then one can compute a regular GCD of P, Q modulo sat(T) by performing a bottom-up search.



We assume that the the base field  $\mathbf{k}$  supports FFT.

▶ Recall  $P, Q \in \mathbf{k}[x_1, ..., x_n][y]$ . Let  $x_{n+1} := y$ .

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- ► To do so, we need bounds. We consider the Sylvester Matrix. Define d<sub>i</sub> := max(deg(P, x<sub>i</sub>), deg(Q, x<sub>i</sub>)). We have deg(R, x<sub>i</sub>) ≤ b<sub>i</sub> := 2d<sub>i</sub>d<sub>n+1</sub>.

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▶  $B := (b_1 + 1) \cdots (b_n + 1)$  is the number of points at which we need to evaluate P, Q.

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- We interpolate res(P, Q, y) = S₀ in time O(B log(B)) via n-dimensional FFT.

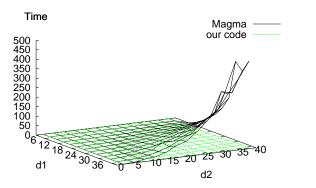
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- Regularity tests (and normal forms) also fit these bounds.
- If a regular GCD is expected to have degree 1 in y all computations fit in O<sup>~</sup>(d<sub>n+1</sub>B).

### Generic Bivariate Systems

- "our code" means BivariateModularTriangularize in MAPLE 13.
- Random generic input systems, thus equiprojectable.
- For the largest examples (having about 5700 solutions), the ratio is about 460/7 in our favor.



### Non-generic Bivariate Systems

- Examples designed to enforce many "splittings" (many equiprojectable components).
- ▶ For the largest examples, the ratio is 5260/80, in our favor.

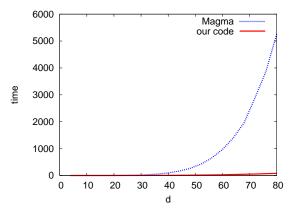


Figure: Non-generic bivariate systems: MAGMA vs. us.

#### Generic Trivariate Systems

► MAPLE means the experimental and fast version of Triangularize to be integrated in MAPLE 14.

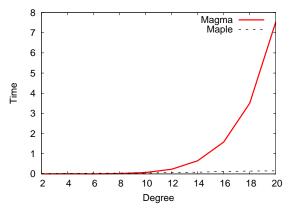


Figure: Generic dense 3-variable.

## Part IV: Regularity test

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- Testing regularity
- Experimental results

## **Regularity Test**

```
For T 0-dim, auto-reduced and with h_T = 1 this procedure returns
T^1, \ldots, T^e such that Q is either zero or invertible modulo T^i.
RegularizeDim0(Q, T) ==
(0) if Q \in \mathbf{k} then return [T]
(1) Results := []; v := mvar(Q)
(2) R := res(Q, T_v, v)
(3)
    for D \in \text{RegularizeDim0}(R, T_{<v}) do
(4) s := \text{NormalForm}(R, D)
(5) if s \neq 0 then
(7)
             Results := \{ \{ D \cup \{ T_v \} \cup T_{>v} \} \} \cup Results
       else for (g, E) \in \text{RegularGcd}(Q, T_v, D) do
(8)
(9)
            g := \text{NormalForm}(g, E)
(11)
             Results := {{E \cup {g} \cup T_{>v}}} \cup Results
            c := \text{NormalForm}(\text{quo}(T_v, g), E)
(12)
            if deg(c, v) > 0 then
(13)
(14)
                  Results := RegularizeDim0(q, E \cup c \cup T_{>}v) \cup Results
(15) return Results
```

# Regularity Test (= Saturation)

$d_1$	<i>d</i> <sub>2</sub>	<i>d</i> <sub>3</sub>	Regularize	Fast Regularize	Magma
2	2	3	0.032	0.004	0.010
3	4	6	0.160	0.016	0.020
4	6	9	0.404	0.024	0.060
5	8	12	>100	0.129	0.330
6	10	15	>100	0.272	1.300
7	12	18	>100	0.704	5.100
8	14	21	>100	1.276	14.530
9	16	24	>100	5.836	40.770
10	18	27	>100	9.332	107.280
11	20	30	>100	15.904	229.950
12	22	33	>100	33.146	493.490

Table: Generic dense 3-variable.

- ▶ In the non-generic case, both gaps are even larger.
- ▶ "Fast Regularize" means RegularizeDim0 in MAPLE 13.

## Conclusions

- Modular methods help reducing expression swell and algebraic complexity.
- Modular methods create opportunities for fast arithmetic and parallelism.
- ► Fast arithmetic reduces algebraic complexity further.
- Performance improvements can come also from other factors: avoiding re-computations, controlling memory traffic
- Controlling expression swell may require to understand the structure of the computed objects.

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## Xie Xie! Thank You!



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Let u = u<sub>1</sub>,..., u<sub>d</sub> be parameters, y = y<sub>1</sub>,..., y<sub>m</sub> be unknowns, Π<sub>U</sub> be the projection from K<sup>m+d</sup> to K<sup>d</sup>.

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- ▶ A regular chain  $T \subset \mathbf{k}[\mathbf{u}, \mathbf{y}]$  specializes well at  $u \in \mathbf{K}^d$  if T(u) is a regular chain in  $\mathbf{K}[\mathbf{y}]$  and  $\operatorname{rank}(T(u)) = \operatorname{rank}(T_{>U_d})$ .

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#### **Related Work**

On a projection theorem of quasi-varieties in elimination theory (Wen-Tsün Wu 90). (Xiao-Shan Gao, Shang-Ching Chou 92) (Dongming Wang 00 & 01) (Lu Yang, Xiaorong Hou, Bican Xia 01) (Xiao-Shan Gao, Ding-Kang Wang 03) (Changbo Chen, Oleg Golubitsky, François Lemaire, Marc Moreno Maza, Wei Pan 07)

## Equiprojectable Decomposition (1/2)

$$C \begin{vmatrix} C_2 = y^2 + 6yx^2 + 2y + x \\ C_1 = x^3 + 6x^2 + 5x + 2 \end{vmatrix}, D \begin{vmatrix} D_2 = y + 6 \\ D_1 = x + 6 \end{vmatrix}$$

$$\downarrow \text{ Split C : GCD } \downarrow$$

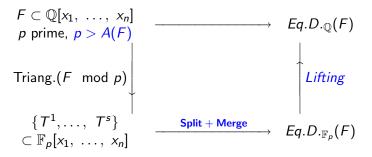
$$E \begin{vmatrix} C_2' = y^2 + x \\ C_1' = x^2 + 5 \end{vmatrix}, F \begin{vmatrix} C_2'' = y^2 + y + 1 \\ C_1'' = x + 6 \end{vmatrix}, D \begin{vmatrix} D_2 = y + 6 \\ D_1 = x + 6 \end{vmatrix}$$

$$\downarrow \text{ Merge F and D : CRT } \downarrow$$

$$E \begin{vmatrix} C_2' = y^2 + x \\ C_1' = x^2 + 5 \end{vmatrix}, G \begin{vmatrix} G_2 = y^3 + 6 \\ G_1 = x + 6 \end{vmatrix}$$

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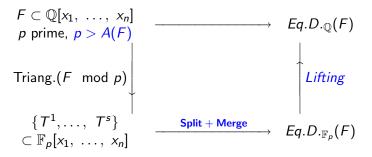
### Equiprojectable Decomposition (2/2)



A(F) := 2n<sup>2</sup>d<sup>2n+1</sup>(3h + 7log(n + 1) + 5n log d + 10) where h and d upper bound coeff. sizes and total degrees for f ∈ F. Assumes F square and generates a 0-dimensional radical ideal.

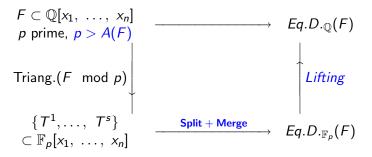
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- If p ∦A(F), the equiprojectable decomposition specializes well mod p.
- In practice we choose p much smaller with a probability of success, i.e. > 99% with p ≈ ln(A(F)) (Xavier Dahan, M. M. M., Éric Schost, Wenyuan Wu, Yuzhen Xie 05).

#### Incremental Solving

▶ Let  $F \subset \mathbf{k}[\mathbf{x}]$ ,  $f \in \mathbf{k}[\mathbf{x}]$ ,  $T, T^m \dots, T^e \subset \mathbf{k}[\mathbf{x}]$  reg. chains. Assume we have *solved* F as  $V(F) = W(T^i) \cup \dots \cup W(T^e)$ .

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- Assume that we have an operation (f, T) → Intersect(f, T) = (C<sub>1</sub>,..., C<sub>d</sub>) such that

 $V(f) \cap W(T) \subseteq \cup_i W(C_i) \subseteq V(f) \cap \overline{W(T)}.$ 

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#### **Related Work**

(D. Lazard 91) proposes the principle. (M. M. M. 00) introduces regular GCDs and gives a complete incremental algorithm which, in addition, generates components by decreasing order of dimension.

#### The notion of a Regular GCD

▶ Let  $P, Q, G \in \mathbf{k}[x_1 < \cdots < x_n][y]$  and  $T \subset \mathbf{k}[x_1 < \cdots < x_n]$ reg. chain. G is a regular GCD of P, Q modulo sat(T) if (i) lc(G, y) is a regular modulo sat(T), (ii)  $G \in \langle P, Q \rangle$  modulo sat(T), (iii)  $deg_y(G) > 0 \Rightarrow prem_y(P, G), prem_y(Q, G) \in sat(T).$ 

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- If both T ∪ P and T ∪ Q are regular chains and if G is a GCD of P, Q modulo sat(T) with deg<sub>v</sub>(G) > 0 then we have

 $W(T \cup P) \cap V(Q) \subseteq W(T \cup G) \cup W(T \cup P) \cap V(Q, h_G) \subseteq \overline{W(T \cup P)} \cap V(Q).$ 

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- $\begin{array}{lll} W(T \cup P) \ \cap \ V(Q) \subseteq & W(T \cup G) \cup \\ & W(T \cup P) \ \cap \ V(Q, h_G) \subseteq & \overline{W(T \cup P)} \ \cap \ V(Q). \end{array}$ 
  - One can compute T<sup>1</sup>,..., T<sup>e</sup> and G<sub>1</sub>,..., G<sub>e</sub> such that G<sub>i</sub> is a reg. GCD of P, Q mod sat(T<sub>i</sub>) and √sat(T) = ∩<sup>e</sup><sub>i=0</sub>√sat(T<sup>i</sup>).

### Regularity test

Regularity test is a fundamental operation:

$$\operatorname{Regularize}(p,\mathcal{I}) \longmapsto (\mathcal{I}_1,\ldots,\mathcal{I}_e)$$

such that:

$$\sqrt{\mathcal{I}} = \cap_{i=0}^{e} \sqrt{\mathcal{I}_{i}} \text{ and } p \in \mathcal{I}_{i} \text{ or } p \text{ regular modulo } \mathcal{I}_{i}$$

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Regularity test reduces to regular GCD computation.

## Related work

- ▶ This notion of a regular GCD was proposed in (M. M. 2000)
- In previous work (Kalkbrener 1993) and (Rioboo & M. M. 1995), other regular GCDs modulo regular chains were introduced, but with limitations.
- In other work (Wang 2000), (Yang etc. 1995) and (Jean Della Dora, Claire Dicrescenzo, Dominique Duval 85), related techniques are used to construct triangular decompositions.
- Regular GCDs modulo regular chains generalize GCDs over towers of field extentions for which specialized algorithms are available, (van Hoeij and Monagan 2002 & 2004).
- Asymptotically fast algorithms (when sat(T) is zero-dimensional and radical) appear in (Xavier Dahan, M. M., Éric Schost, Yuzhen Xie, 2006)
- ▶ The next results appear in (Xin Li, M. M. , Wei Pan, 2009).

### Regular GCDs: Bottom-up or Top-down?

▶ Let  $P, Q \in \mathbf{k}[x_1 < \cdots < x_n][y]$  and  $T \subset \mathbf{k}[x_1 < \cdots < x_n]$  reg. chain. How to compute a *regular GCD* of  $P, Q \mod \operatorname{sat}(T)$ ?

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- ► (M. M. M. and R. Rioboo 95) assume sat(T) radical + 0-dimensional and use the subresultant chain of P, Q directly in k[x<sub>1</sub> < ··· < x<sub>n</sub>][y] mod sat(T). Better but removing the assumptions removes the efficiency.

### Subresultants (3/3

- ▶ Let  $P, Q \in \mathbb{B}[y]$  with  $p = \deg(P) \ge \deg(Q) = q > 0$ .
- For 0 ≤ d < q let S<sub>d</sub> = S<sub>d</sub>(P, Q) be the d-th subresultant of P and Q. Let s<sub>d</sub> = coeff(S<sub>d</sub>, x<sup>d</sup>). If s<sub>d</sub> = 0 we say S<sub>d</sub> is defective, otherwise we say S<sub>d</sub> is non-defective.
- ▶ Let d = q 1, ..., 1. Assume  $S_d, S_{d-1}$  nonzero, with resp. degrees d and e. Assume  $s_d$  regular in  $\mathbb{B}$ . Then we have

$$lc(S_{d-1})^{d-e-1}S_{d-1} = s_d^{d-e-1}S_e.$$

• Moreover, there exists  $C_d \in \mathbb{B}[X]$  such that we have:

$$(-1)^{d-1} \operatorname{lc}(S_{d-1}) s_e S_d + C_d S_{d-1} = s_d^2 S_{e-1}.$$

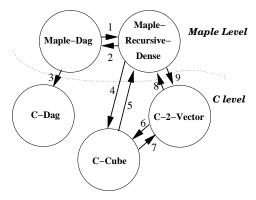
In addition  $S_{d-2} = S_{d-3} = \cdots = S_{e+1} = 0$  also holds.

► (Yap 1993) (Ducos 1997) (El Kahoui, 2003)

#### The RegularChains library in MAPLE

- 80,000 lines of MAPLE code, 36,000 lines of C code, 121 Commands, 6 modules ChainTools, MatrixTools, ConstructibleSetTools. ParametricSystemTools, SemiAlgebraicSetTools, FastArithmeticTools.
- Main new commnands in MAPLE 13: IsPrimitive, ComplexRootClassification, RealRootClassification, RealRootIsolate RealRootCounting, BorderPolynomial, + those of FastArithmeticTools (see demo).
- Current contributors: Changbo Chen, Francçois Lemaire, Liyun Li, Xin Li, M.M.M., Wei Pan, Bican Xia, Rong Xiao, Yuzhen Xie.

# The $\operatorname{MODPN}$ library



- C-Dag for straight-line program.
- C-Cube for FFT-based computations.
- C-2-Vector for compact dense representation.
- Maple-Dag for calling RegularChains library.
- *Maple-Recursive-Dense* for calling RECDEN library.

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### Generic Bivariate Systems

- "our code" means BivariateModularTriangularize in MAPLE 13.
- Random generic input systems, thus equiprojectable.
- For the largest examples (having about 5700 solutions), the ratio is about 460/7 in our favor.

