

# **Triangular Decompositions of Polynomial Systems: From Theory to Practice**

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**ISSAC tutorial, 9 July 2006**

## *Why a tutorial on triangular decompositions?*

- The theory is mature:
  - the objects are well understood,
  - the interactions with other theories also,
  - notions and terminologies are unifying.
- The algorithms are evolving very quickly:
  - modular algorithms are available now,
  - complexity estimates also,
  - fast polynomial and matrix arithmetic start to be used.
- The implementation effort is growing
  - triangular decompositions are available in major computer algebra systems,
  - implementation techniques are a priority.

## *Where are triangular decompositions used?*

- Books and Papers, for instance:

- differential algebra (**Ritt, 1932**), (**Kolchin, 1973**), (**Boulier, Lazard, Ollivier & Petitot, 1995**), (**Kondratieva, Levin, Mikhalev & Pankratiev, 1999**) (**Hubert, 2003**) (**Sit, 2002**) (**Golubinsky, 2004**) (**Ovchinnikov, 2004**)
- difference polynomial systems (**Gao & Luo, 2004**)
- polynomial systems (**Wang, 2001**)
- automatic theorem proving (**Wu, 1984**), (**Chou, 1988**)
- geometric computation (**Chen & Wang, 2004**)
- primary decomposition (**Shimoyama & Yokoyama, 1994**)
- isolating real roots (**Riboo, 1992**), (**Aubry, Rouillier & Safey El Din, 2001**)
- structured polynomial systems (**Boulier, Lemaire & M<sup>3</sup>, 2001**), (**Dahan, Jin, M<sup>3</sup> & Schost, 2006**)
- cryptology (**Schost & Gaudry, 2003**)

- symbolic-numeric computations (**M<sup>3</sup>, Reid, Scott & Wu, 2005**)
- theoretical physics (**Foursov & M<sup>3</sup>, 2001**)
- classification problems in geometry (**Kogan & M<sup>3</sup>, 2002**).
- ...

- Software, for instance:

- *Diffalg* by Boulier and Hubert in MAPLE
- *Dynamic Evaluation* by Duval and Gómez Díaz in AXIOM
- *RealClosure* by Rioboo in AXIOM
- *RAG'lib* by Safey El Din in MAPLE
- *Epsilon* by Wang in MAPLE
- *Discoverer* by Xia in MAPLE
- for primary decomposition in MAGMA and SINGULAR
- RegularChains by Lemaire, M<sup>3</sup> and Xie in MAPLE

- triangular decompositions in AXIOM and ALDOR by M<sup>3</sup>
  - *Elimino* parallel implementation by Wu, Liao, Lin, and Wang in C
  - *ParallelTriade* by M<sup>3</sup> and Xie in ALDOR.
- Related concepts
    - resultants
    - Gröbner bases
    - geometric resolutions
    - comprehensive Gröbner bases.
    - ...

## *Acknowledgments*

- The ISSAC Tutorial Chair, Stephen M. Watt, and ISSAC organizers.
- My PhD students: Yuzhen Xie and Xin Li.
- My colleagues at UWO: Robert M. Corless, David J. Jeffrey, Gregory J. Reid, Éric Schost and Stephen M. Watt.
- My current collaborators on the subject of *triangular decompositions*:
  - François Boulier & François Lemaire (Univ. Lille 1, France)
  - Xavier Dahan and Éric Schost (École Polytechnique, France)
  - Jurgen Gerhard and Michael Cherkassoff (Maplesoft)
  - Oleg Golubitsky (Queen's Univ., Canada)
  - Marina V. Kondratieva (Moscow State Univ., Russia)
  - Alexey Ovchinnikov (North Carolina State Univ., USA)

## *An overview of this tutorial*

- **Main objective:** an introduction for non-experts.
- **Prerequisites:** some familiarity with Gröbner bases would be useful, but not necessary.
- **Outline:**
  - an informal introduction of the key ideas
  - the case of polynomial systems with finitely many solutions: Lazard triangular sets
  - the general case: triangular sets, characteristic sets, Wu's method
  - regular chains, reduction to dimension zero
  - the **Triade** algorithm, its parallel implementation
  - implementation issues
  - the **RegularChains** library in MAPLE.

## How triangular decompositions look like?

For the following input polynomial system:

$$F : \begin{cases} x^2 + y + z = 1 \\ x + y^2 + z = 1 \\ x + y + z^2 = 1 \end{cases}$$

One possible triangular decompositions of the solution set of  $F$  is:

$$\left\{ \begin{array}{l} z = 0 \\ y = 1 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z = 0 \\ y = 0 \\ x = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z = 1 \\ y = 0 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z^2 + 2z - 1 = 0 \\ y = z \\ x = z \end{array} \right.$$

Another one is:

$$\left\{ \begin{array}{l} z = 0 \\ y^2 - y = 0 \\ x + y = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z^3 + z^2 - 3z = -1 \\ 2y + z^2 = 1 \\ 2x + z^2 = 1 \end{array} \right.$$

## An example in positive dimension

- Every prime ideal  $\mathcal{P} = \langle F \rangle$  in a polynomial ring  $\mathbb{K}[x_1, \dots, x_n]$  may be represented by a **triangular set**  $T$  encoding the **generic zeros** of  $\mathcal{P}$ .

$$F = \begin{cases} ax + by - c \\ dx + ey - f \\ gx + hy - i \end{cases} \simeq T = \begin{cases} gx + hy - i \\ (hd - eg)y - id + fg \\ (ie - fh)a + (ch - ib)d + (fb - ce)g \end{cases}$$

- All the **common zeros** of every polynomial system can be decomposed into **finitely many** triangular sets.

$$\begin{aligned} \mathbf{V}(\mathcal{P}) &= \mathbf{W}(T) \cup \mathbf{W} \left\{ \begin{array}{l} dx + ey - f \\ hy - i \\ (ie - fh)a + (-ib + ch)d \\ g \end{array} \right\} \cup \mathbf{W} \left\{ \begin{array}{l} gx + hy - i \\ (ha - bg)y - ia + cg \\ hd - eg \\ ie - fh \end{array} \right\} \\ &\quad \cup \mathbf{W} \left\{ \begin{array}{l} x \\ (hd - eg)y - id + fg \\ fb - ce \\ ie - fh \end{array} \right\} \cup \mathbf{W} \left\{ \begin{array}{l} ax + by - c \\ hy - i \\ d \\ g \\ ie - fh \end{array} \right\} \cup \dots \end{aligned}$$

where  $\mathbf{W}(T)$  denotes the generic zeros of  $T$ . We have :  $\mathbf{W}(T) \subseteq \mathbf{V}(T)$ .

## Structured examples: implicitization, ranking conversions

- For  $\mathcal{R} = x > y > z > s > t$  and  $\overline{\mathcal{R}} = t > s > z > y > x$  we have:

$$\text{convert} \left( \begin{array}{l} x - t^3 \\ y - s^2 - 1 \\ z - s t \end{array}, \mathcal{R}, \overline{\mathcal{R}} \right) = \begin{cases} s t - z \\ (x y + x) s - z^3 \\ z^6 - x^2 y^3 - 3x^2 y^2 - 3x^2 y - x^2 \end{cases}$$

- For  $\mathcal{R} = \dots > v_{xx} > v_{xy} > \dots > u_{xy} > u_{yy} > v_x > v_y > u_x > u_y > v > u$  and  $\overline{\mathcal{R}} = \dots u_x > u_y > u > \dots > v_{xx} > v_{xy} > v_{yy} > v_x > v_y > v$  we have:

$$\text{convert} \left( \begin{array}{l} v_{xx} - u_x \\ 4 u v_y - (u_x u_y + u_x u_y u) \\ u_x^2 - 4 u \\ u_y^2 - 2 u \end{array}, \mathcal{R}, \overline{\mathcal{R}} \right) = \begin{cases} u - v_{yy}^2 \\ v_{xx} - 2 v_{yy} \\ v_y v_{xy} - v_{yy}^3 + v_{yy} \\ v_{yy}^4 - 2 v_{yy}^2 - 2 v_y^2 + 1 \end{cases}$$

## How to compute triangular decompositions?

- Consider again solving the system  $F$  for  $x > y > z$ :

$$F : \begin{cases} x^2 + y + z = 1 \\ x + y^2 + z = 1 \\ x + y + z^2 = 1 \end{cases}$$

- Eliminating  $x$  leads to  $\begin{cases} y^2 + (-1 + 2z^2)y - 2z^2 + z + z^4 = 0 \\ y^2 + z - y - z^2 = 0 \end{cases}$

- Eliminating  $y^2$  and then  $y$  we can arrive to  $r(z) = 0$  with  
 $r(z) = z^8 - 4z^6 + 4z^5 - z^4.$

- Factorizing  $r(z)$  leads to  $z^4(z^2 + 2z - 1)(z - 1)^2 = 0$  and thus to  $z = 0, z = 1$  or  $z^2 + 2z = 1$ . In each case, it is easy to conclude either by substitution, or by GCD computation in  $(\mathbb{Q}[z]/\langle z^2 + 2z - 1 \rangle)[y]$ .

- Alternatively, one can directly perform GCD computation in  $(\mathbb{Q}[z]/\langle r(z) \rangle)[y]$ . But this is unusual since  $\mathbb{Q}[z]/\langle r(z) \rangle$  is not a field! Let us see this now.

## Computing a polynomial GCD over a ring with zero-divisors (I)

- Let us consider again the polynomials

$$\begin{cases} f_1 = y^2 + (2z^2 - 1)y - 2z^2 + z + z^4 \\ f_2 = y^2 + z - y - z^2 \end{cases}$$

- Let us compute their GCD in  $\mathbb{L}[y]$  with  $\mathbb{L} = \mathbb{Q}[z]/\langle s(z) \rangle$  where  $s(z) = z(z^2 + 2z - 1)(z - 1)$  is the squarefree part of  $r(z)$ . (Replacing  $r(z)$  with  $s(z)$  makes the story simpler.)
- We proceed **as if  $\mathbb{L}$  were a field** and run the **Euclidean Algorithm in  $\mathbb{L}[y]$** . Of course, before dividing by an element of  $\mathbb{L}$  we check whether it is a zero-divisor. We pretend we are not aware of the factorization of  $s(z)$ .

- Dividing  $f_1$  by  $f_2$  is no problem since  $f_2$  is monic. We obtain:
- $$\begin{array}{c|cc} f_1 & f_2 \\ \hline f_3 & 1 \end{array}$$
- with
- $$f_3 = 2z^2y - z^2 + 2z^2 - z.$$

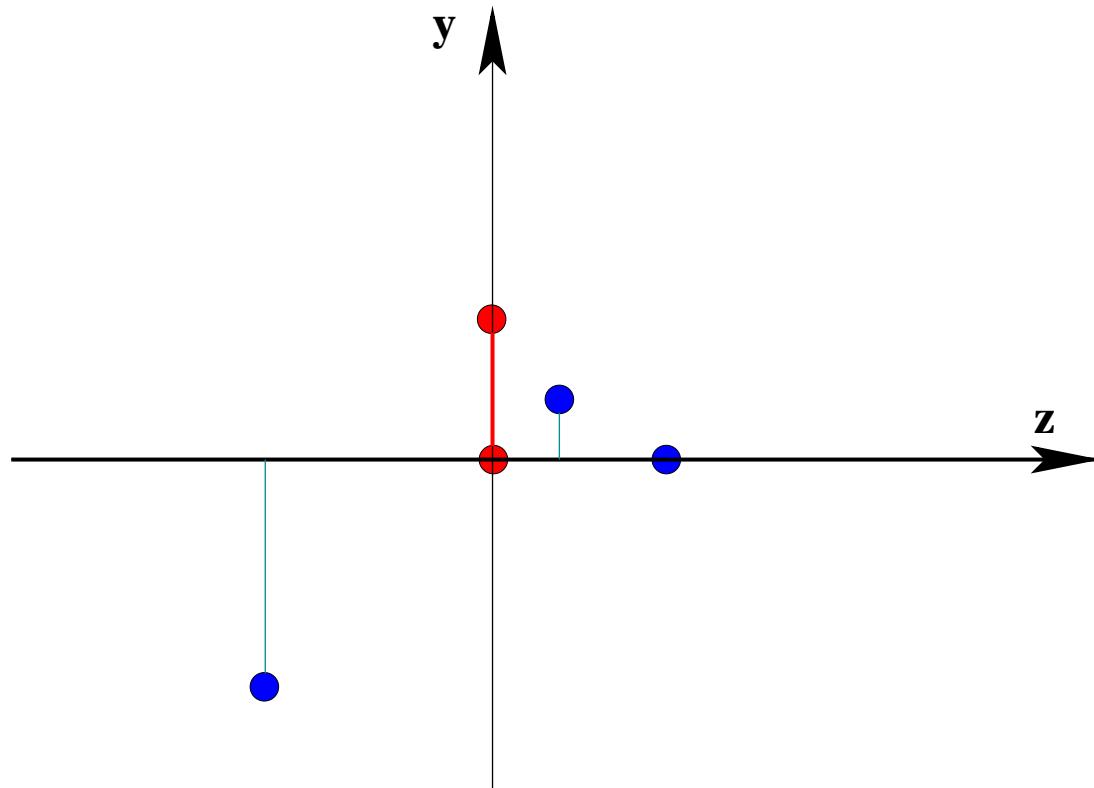
## Computing a polynomial GCD over a ring with zero-divisors (II)

- In order to divide  $f_2$  by  $f_3$ , we need to check whether  $2z^2$  divides zero in  $\mathbb{L}$ . This is done by computing  $\gcd(s(z), 2z^2)$  in  $\mathbb{Q}[z]$ , which is  $z$ .
- Hence  $s(z)$  writes  $z(z^3 + z^2 - 3z + 1)$  and we split the computations into two cases:  $z = 0$  and  $z^3 + z^2 - 3z = 1$ .
- **Case  $z = 0$ .** Then  $f_3 = 0$  and  $f_2 = y^2 - y$  is the GCD.
- **Case  $z^3 + z^2 - 3z = -1$ .** Since  $S(z)$  is square-free,  $2z^2$  has an inverse in this case, namely  $i(z) = -(3/2)z^2 - 2z + 4$ .
- Thus, the polynomial  $\tilde{f}_3 = i(z)f_3 = y + (1/2)z^2 - (1/2)$  is monic. So, we can compute
$$\begin{array}{c|c} f_2 & \tilde{f}_3 \\ \hline 0 & y - (1/2)z^2 - (1/2) \end{array}.$$

- Finally  $\gcd(f_1, f_2, \mathbb{L}[y]) = \begin{cases} y^2 - y & \text{if } z = 0 \\ 2y + z^2 - 1 & \text{if } z^3 + z^2 - 3z = -1 \end{cases}$

## How those triangular sets look like? (I)

- Let us consider again the system 
$$\begin{cases} y^2 + (-1 + 2z^2)y - 2z^2 + z + z^4 = 0 \\ y^2 + z - y - z^2 = 0 \end{cases}$$
- Let  $\alpha_1$  and  $\alpha_2$  be the roots of  $z^2 + 2z - 1 = 0$ . After dropping multiplicities, we obtain  $(z, y) \in \{(0, 0), (0, 1), (\alpha_1, \alpha_1), (\alpha_2, \alpha_2), (1, 0)\}$ .



## How to pass from one triangular decomposition to another?

$$\left\{ \begin{array}{l} z = 0 \\ y = 1 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z = 0 \\ y = 0 \\ x = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z = 1 \\ y = 0 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z^2 + 2z - 1 = 0 \\ y = z \\ x = z \end{array} \right.$$

$\downarrow \text{ CRT } \downarrow$

$$\left\{ \begin{array}{l} z = 0 \\ y^2 - y = 0 \\ x + y = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z = 1 \\ y = 0 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z^2 + 2z - 1 = 0 \\ y = z \\ x = z \end{array} \right.$$

$\downarrow \text{ CRT } \downarrow$

$$\left\{ \begin{array}{l} z = 0 \\ y^2 - y = 0 \\ x + y = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z^3 + z^2 - 3z = -1 \\ 2y + z^2 = 1 \\ 2x + z^2 = 1 \end{array} \right.$$

## From a lexicographical Gröbner basis to a triangular decomposition (I)

- Let us consider again (last time) the polynomials

$$\begin{cases} f_1 = y^2 + (2z^2 - 1)y - 2z^2 + z + z^4 \\ f_2 = y^2 + z - y - z^2 \end{cases}$$

- It is natural to ask how we could obtain a triangular decomposition from the reduced lexicographical Gröbner basis of  $\{f_1, f_2\}$  for  $y > z$ . This basis is:

$$\begin{cases} g_1 = z^6 - 4z^4 + 4z^3 - z^2 \\ g_2 = 2z^2y + z^4 - z^2 \\ g_3 = y^2 - y - z^2 + z \end{cases}$$

- We initialize  $T := \{g_1\}$ . We would **add**  $g_2$  into  $T$  provided that  $\text{lc}(g_2, y)$  is a **unit**.

## From a lexicographical Gröbner basis to a triangular decomposition (II)

- So, we compute  $\gcd(2z^2, g_1, \mathbb{Q}[z]) = z^2$ . This shows  $g_1 = z^2(z^4 - 4z^2 + 4z - 1)$  and splits the computations into two cases.
- $z^2 = 0$ . In this case  $g_2$  **vanishes** and  $g_3 = y^2 - y + z$ , leading to  $T^1 := \{z^2, y^2 - y + z\}$
- $z^4 - 4z^2 + 4z - 1$ . In this case  $\text{lc}(g_2, y)$  has  $2z^3 + (1/2)z^2 - 8z + 6$  for **inverse**. Multiplying  $g_2$  by this inverse leads to  $\tilde{g}_2 = y + (1/2)z^2 - (1/2)$ . Then,

we observe that

$$\begin{array}{c|c} g_3 & \tilde{g}_2 \\ \hline 0 & y - (1/2)z^2 - (1/2) \end{array}$$

$$T^2 := \{z^4 - 4z^2 + 4z - 1, 2y + 1z^2 - 1\}.$$

- For more details: **(Gianni, 1987), (Kalkbrener, 1987), (Lazard, 1992)**.

## Some notations before we start the theory (I)

NOTATION. Throughout the talk, we consider a field  $\mathbb{K}$  and an ordered set  $X = x_1 < \dots < x_n$  of  $n$  variables. Typically  $\mathbb{K}$  will be

- a **finite field**, such as  $Z/pZ$  for a prime  $p$ , or
- the field  $\mathbb{Q}$  of **rational numbers**, or
- a field of **rational functions** over  $Z/pZ$  or  $\mathbb{Q}$ .

We will denote by  $\overline{\mathbb{K}}$  an **algebraic closure** of  $\mathbb{K}$ .

NOTATION. We denote by  $\mathbb{K}[x_1, \dots, x_n]$  the ring of the polynomials with coefficients in  $\mathbb{K}$  and variables in  $X$ . For  $F \subset \mathbb{K}[x_1, \dots, x_n]$ , we write  $\langle F \rangle$  and  $\sqrt{\langle F \rangle}$  for the ideal generated by  $F$  in  $\mathbb{K}[x_1, \dots, x_n]$  and its radical, respectively.

NOTATION. For  $F \subset \mathbb{K}[x_1, \dots, x_n]$ , we are interested in

$$V(F) = \{\zeta \in \overline{\mathbb{K}}^n \mid (\forall f \in F) f(\zeta) = 0\},$$

the **zero-set** of  $F$  or **algebraic variety** of  $F$  in  $\overline{\mathbb{K}}^n$ .

REMARK. In some circumstances  $\overline{\mathbb{K}}^n$  will be denoted  $A^n(\overline{\mathbb{K}})$ , especially when we consider several  $n$  at the same time. 18

## Some notations before we start the theory (II)

NOTATION. Let  $i$  and  $j$  be integers such that  $1 \leq i \leq j \leq n$  and let  $V \subseteq A^n(\overline{\mathbb{K}})$  be a variety over  $\mathbb{K}$ . We denote by  $\pi_i^j$  the natural projection map from  $A^j(\overline{\mathbb{K}})$  to  $A^i(\overline{\mathbb{K}})$ , which sends  $(x_1, \dots, x_j)$  to  $(x_1, \dots, x_i)$ . Moreover, we define  $V_i = \pi_i^n(V)$ . Often, we will restrict  $\pi_i^j$  from  $V_i$  to  $V_j$ .

NOTATION. The algebraic varieties in  $\overline{\mathbb{K}}^n$  defined by polynomial sets of  $\mathbb{K}[x_1, \dots, x_n]$  form the **closed sets** of a topology, called **Zariski Topology**. For a subset  $W \subset \overline{\mathbb{K}}^n$ , we denote by  $\overline{W}$  the closure of  $W$  for this topology, that is, the intersection of the  $V(F)$  containing  $W$ , for all  $F \subset \mathbb{K}[x_1, \dots, x_n]$ .

NOTATION. For  $W \subset \overline{\mathbb{K}}^n$ , we denote by  $I(W)$  the ideal of  $\mathbb{K}[x_1, \dots, x_n]$  generated by the polynomials vanishing at every point of  $W$ .

REMARK. When  $\mathbb{K} = \overline{\mathbb{K}}$  and  $W = V(F)$ , for some  $F \subset \mathbb{K}[x_1, \dots, x_n]$ , recall the Hilbert Theorem of Zeros:

$$\sqrt{\langle F \rangle} = I(V(F)).$$

## Lazard triangular sets

DEFINITION. (Lazard, 1992) A subset

$$T = \{T_1, \dots, T_n\} \subset \mathbb{K}[x_1 < \dots < x_n]$$

is a Lazard triangular set if for  $i = 1 \dots n$

$$T_i = \mathbf{1} \mathbf{x}_i^{\mathbf{d}_i} + a_{d_i-1} \mathbf{x}_i^{\mathbf{d}_i-1} + \dots + a_1 \mathbf{x}_i + a_0$$

with

$$a_{d_i-1}, \dots, a_1, a_0 \in \mathbf{k}[x_1, \dots, x_{i-1}].$$

reduced w.r.t  $\langle T_1, \dots, T_{i-1} \rangle$  in the sense of Gröbner bases.

THEOREM. A family  $T$  of  $n$  polynomials in  $\mathbb{K}[x_1 < \dots < x_n]$  is a Lazard triangular set if and only it is the reduced lexicographical Gröbner basis of a zero-dimensional ideal.

## How those triangular sets look like? (II)

NOTATION. Let  $T = \{T_1, \dots, T_n\} \subset \mathbb{K}[x_1, \dots, x_n]$  be a Lazard triangular set. Let  $V$  be its variety in  $A^n(\overline{\mathbb{K}})$ . Let  $d_1 = \deg(T_1, x_1), \dots, d_n = \deg(T_n, x_n)$ .

NOTATION. For  $1 \leq i < j \leq n$ , recall that

$$\begin{array}{ccc} \pi_i^j : & V_j & \longmapsto & V_i \\ & (x_1, \dots, x_j) & \rightarrow & (x_1, \dots, x_i) \end{array}$$

where  $V_i = \pi_i^n(V)$  and  $V_j = \pi_j^n(V)$ .

PROPOSITION. For a point  $M \in V_i$  the *fiber* (i.e. the pre-image)  $(\pi_i^j)^{-1}(M)$  has cardinality  $d_{i+1} \cdots d_j$ , that is

$$|(\pi_i^j)^{-1}(M)| = d_{i+1} \cdots d_j.$$

## Equiprojective varieties

DEFINITION. Let  $i$  and  $j$  be integers such that  $1 \leq i < j \leq n$  and let  $V \subseteq A^j(\overline{\mathbb{K}})$  be a variety over  $\mathbb{K}$ . The set  $V$  is said

- (1) **equiprojective on**  $V_i$ , its projection on  $A^i(\overline{\mathbb{K}})$ , if there exists an integer  $c$  such that for every  $M \in V_i$  the cardinality of  $(\pi_i^j)^{-1}(V_i)$  is  $c$ .
- (2) **equiprojective** if  $V$  is equiprojective on  $V_1, \dots, V_{j-1}$ .

THEOREM. (Aubry & Valibouze, 2000) Assume  $\mathbb{K}$  is **perfect** and let  $V \subset A^n(\overline{\mathbb{K}})$  be finite. Assume that there exists  $F \subset \mathbb{K}[x_1, \dots, x_n]$  such that  $V = V(F)$ . Then, the following conditions are equivalent:

- (1)  $V$  is equiprojective,
- (2) There exists a Lazard Triangular set  $T \subset \mathbb{K}[x_1, \dots, x_n]$  whose zero-set in  $A^n(\overline{\mathbb{K}})$  is exactly  $V$ .

**PROOF** ▷ For proving (1)  $\Rightarrow$  (2) one can use the **interpolation formulas** of (Dahan & Schost, 2004) to construct a Lazard triangular set in  $\overline{\mathbb{K}}[x_1, \dots, x_n]$ . To conclude, one uses the hypothesis  $\mathbb{K}$  perfect,  $V = V(F)$  together with the Hilbert Theorem of Zeros. ◁

## The interpolation formulas: sketch (I)

- Let  $V \subset A^n(\overline{\mathbb{K}})$  be (finite and) equiprojective. Let  $\mathbf{K}$  be a field, with  $\mathbb{K} \subseteq \mathbf{K} \subseteq \overline{\mathbb{K}}$  such that every point of  $V$  has its coordinates in  $\mathbf{K}$ .
- We have  $T_1 = \prod_{\alpha \in V_1} (x_1 - \alpha)$ . Let  $1 \leq \ell < n$ . We give interpolation formulas for  $T_{\ell+1}$  from the coordinates (in  $\mathbf{K}$ ) of the points of  $V_{\ell+1}$ , for  $1 \leq \ell < n$ .
- Let  $\alpha = (\alpha_1, \dots, \alpha_\ell) \in V_\ell$ . We define the varieties

$$\begin{aligned}
 V_\alpha^1 &= \{ \beta = (\beta_1, \dots, \beta_\ell, \beta_{\ell+1}) \in V_{\ell+1} \mid \beta_1 \neq \alpha_1 \} \\
 V_\alpha^2 &= \{ \beta = (\alpha_1, \beta_2, \dots, \beta_\ell, \beta_{\ell+1}) \in V_{\ell+1} \mid \beta_2 \neq \alpha_2 \} \\
 &\cdots \quad \cdots \quad \cdots \quad \cdots \quad \cdots \\
 V_\alpha^\ell &= \{ \beta = (\alpha_1, \dots, \alpha_{\ell-1}, \beta_\ell, \beta_{\ell+1}) \in V_{\ell+1} \mid \beta_\ell \neq \alpha_\ell \} \\
 V_\alpha^{\ell+1} &= \{ \beta = (\alpha_1, \dots, \alpha_\ell, \beta_{\ell+1}) \in V_{\ell+1} \}
 \end{aligned}$$

The sets  $V_\alpha^1, V_\alpha^2, V_\alpha^3, \dots, V_\alpha^\ell, V_\alpha^{\ell+1}$  form a partition of  $V_{\ell+1}$ .

- The intermediate goal is to build  $T_{\alpha, \ell+1} = T_i(\alpha_1, \dots, \alpha_\ell, x_{\ell+1}) \in \mathbf{K}[x_{\ell+1}]$ .

## The interpolation formulas: sketch (II)

- We consider also the projections

$$\begin{aligned}
 v_\alpha^1 &= \pi_1^{\ell+1}(V_\alpha^1) = \{(\beta_1) \in V_1 \mid \beta_1 \neq \alpha_1\} \\
 v_\alpha^2 &= \pi_2^{\ell+1}(V_\alpha^2) = \{(\alpha_1, \beta_2) \in V_2 \mid \beta_2 \neq \alpha_2\} \\
 \dots &\quad \dots \quad \dots \quad \dots \quad \dots \quad \dots \quad \dots \\
 v_\alpha^\ell &= \pi_\ell^{\ell+1}(V_\alpha^\ell) = \{(\alpha_1, \dots, \alpha_{\ell-1}, \beta_\ell) \in V_\ell \mid \beta_\ell \neq \alpha_\ell\}
 \end{aligned}$$

- For  $1 \leq i \leq \ell$ , define  $e_{\alpha,i} := \prod_{\beta \in v_\alpha^i} (x_i - \beta_i) \in \mathbf{K}[x_i]$  and

$$E_\alpha := \prod_{1 \leq i \leq \ell} e_{\alpha,i} \in \mathbf{K}[x_1, \dots, x_\ell].$$

- Then, we have:

$$\begin{aligned}
 T_{\alpha,\ell+1} &= \prod_{\beta \in V_\alpha^{\ell+1}} (x_{\ell+1} - \beta_{\ell+1}) \\
 T_{\ell+1} &= \sum_{\alpha \in V_\ell} \frac{E_\alpha T_{\alpha,\ell+1}}{E_\alpha(\alpha)}
 \end{aligned}$$

- Related work: **(Abbot, Bigatti, Kreuzer & Robbiano, 1999), ...**

## Direct product of fields, the D5 Principle (I)

PROPOSITION. Let  $f \in \mathbb{K}[x]$  be a non-constant and **square-free** univariate polynomial. Then  $\mathbb{L} = \mathbb{K}[x]/\langle f \rangle$  is a direct product of fields (DPF).

PROOF  $\triangleright$  The factors of  $f$  are **pairwise coprime**. Then, apply the

**Chinese Remaindering Theorem.** (If  $f = f_1 f_2$  then

$$\mathbb{L} \simeq \mathbb{K}[x]/\langle f_1 \rangle \times \mathbb{K}[x]/\langle f_2 \rangle. \triangleleft$$

PRINCIPLE. (**Della Dora, Dicrescenzo & Duval, 1985**) If  $\mathbb{L}$  is a DPF, then one can compute with  $\mathbb{L}$  as **if it were a field**: it suffices to **split** the computations into cases whenever a **zero-divisor** is met.

PROPOSITION. Let  $\mathbb{L}$  be a DPF and  $f \in \mathbb{L}[x]$  be a non-constant monic polynomial such that  $f$  and its derivative generate  $\mathbb{L}[x]$ , that is,  $\langle f, f' \rangle = \mathbb{L}[x]$ . Then  $\mathbb{L}[x]/\langle f \rangle$  is another DPF.

PROOF  $\triangleright$  It is convenient to establish the following more general theorem: *A Noetherian ring is isomorphic with a direct product of fields if and only if every non-zero element is either a unit or a non-nilpotent zero-divisor.*  $\triangleleft$

## Direct product of fields, the D5 Principle (II)

PROPOSITION. Let  $T \subset \mathbb{K}[x_1, \dots, x_n]$  be a Lazard triangular set such that  $\langle T \rangle$  is **radical**. Then, we have

- $\mathbb{K}[x_1, \dots, x_n]/\langle T \rangle$  is a DPF,
- if  $\mathbb{K}$  is **perfect** then  $\overline{\mathbb{K}}[x_1, \dots, x_n]/\langle T \rangle$  is a DPF.

REMARK. **Recall the trap!** Consider  $\mathbb{F} = Z/pZ(t)$ , for a prime  $p$ . Consider the polynomial  $f = x^p - t \in \mathbb{F}[x]$  and  $\overline{\mathbb{F}}$  an algebraic closure of  $\mathbb{F}$ .

Since  $f$  is not constant, it has a root  $\alpha \in \overline{\mathbb{F}}$  and we have

$$f = x^p - t = x^p - \alpha^p = (x - \alpha)^p \tag{1}$$

in  $\overline{\mathbb{F}}[x]$ , which is clearly not square-free. However  $f$  is irreducible, and thus squarefree, in  $\mathbb{F}[x]$ .

## Polynomial GCDs over DPF, quasi-inverses (I)

DEFINITION. ( M<sup>3</sup> & Rioboo, 1995) Let  $\mathbb{L}$  be a DPF. The polynomial  $h \in \mathbb{L}[y]$  is a **GCD** of the polynomials  $f, g \in \mathbb{L}[y]$  if the ideals  $\langle f, g \rangle$  and  $\langle h \rangle$  are equal.

REMARK. **Another trap!** Even if  $f, g$  are both **monic**, there **may not exist a monic** polynomial  $h$  in  $\mathbb{L}[y]$  such that  $\langle f, g \rangle = \langle h \rangle$  holds. Consider for instance  $f = y + \frac{a+1}{2}$  (assuming that 2 is invertible in  $\mathbb{L}$ ) and  $g = y + 1$  where  $a \in \mathbb{L}$  satisfies  $a^2 = a$ ,  $a \neq 0$  and  $a \neq 1$ .

REMARK. In practice, polynomial GCDs over DPF are computed via the D5 Principle. Moreover, only monic GCDs are useful. So, we generalize:

DEFINITION. Let  $\mathbb{L}$  be a DPF and  $f, g \in \mathbb{L}[y]$ . A **GCD** of  $f, g$  in  $\mathbb{L}[y]$  is a sequence of pairs  $((h_i, \mathbb{L}_i), 1 \leq i \leq s)$  such that

- $\mathbb{L}_i$  is a DPF, for all  $1 \leq i \leq s$  and the direct product of  $\mathbb{L}_1, \dots, \mathbb{L}_s$  is isomorphic to  $\mathbb{L}$ ,
- $h_i$  is a null or monic polynomial in  $\mathbb{L}_i[y]$ , for all  $1 \leq i \leq s$ ,
- $h_i$  is a GCD (in the above sense) of the projections of  $f, g$  to  $\mathbb{L}_i[y]$ , for all  $1 \leq i \leq s$ .

## Polynomial GCDs over DPF, quasi-inverses (II)

DEFINITION. Let  $\mathbb{L}$  be a DPF and let  $f \in \mathbb{L}$ . A **quasi-inverse** of  $f$  is a sequence of pairs  $((g_i, \mathbb{L}_i), 1 \leq i \leq s)$  such that

- $\mathbb{L}_i$  is a DPF, for all  $1 \leq i \leq s$  and the direct product of  $\mathbb{L}_1, \dots, \mathbb{L}_s$  is isomorphic to  $\mathbb{L}$
- $g_i \in \mathbb{L}_i$ , for all  $1 \leq i \leq s$ ,
- let  $f_i$  be the projection of  $f$  to  $\mathbb{L}_i$ ; either  $f_i = g_i = 0$  or  $f_i g_i = 1$  hold, for all  $1 \leq i \leq s$ .

PROPOSITION. Let  $T \subset \mathbb{K}[x_1, \dots, x_n]$  be a Lazard triangular set such that  $\langle T \rangle$  is **radical**. We define  $\mathbb{L} = \mathbb{K}[x_1, \dots, x_n]/\langle T \rangle$ .

- (1) For all  $f \in \mathbb{K}[x_1, \dots, x_n]$  (reduced w.r.t.  $T$ ) one can compute a **quasi-inverse** in  $\mathbb{L}$  of  $f$  (regarded as an element of  $\mathbb{L}$ ).
- (1) For all  $f, g \in \mathbb{L}[y]$  one can compute a **GCD** of  $f$  and  $g$  in  $\mathbb{L}[y]$ .

## Equiprojective decomposition

REMARK. Not every variety is equiprojective, for instance  $V = \{(0, 1), (0, 0), (1, 0)\}$ .

DEFINITION. Let  $V \subset A^n(\overline{\mathbb{K}})$  be finite. Consider the projection  $\pi : V \longmapsto \overline{\mathbb{K}}^{n-1}$  which forgets  $x_n$ . To every  $x \in V$  we associate

$$N(x) = \#\pi^{-1}(\pi(x)).$$

We write  $V = C_1 \cup \dots \cup C_d$  where  $C_i = \{x \in V \mid N(x) = i\}$ . This splitting process is applied recursively to all varieties  $C_1, \dots, C_d$ .

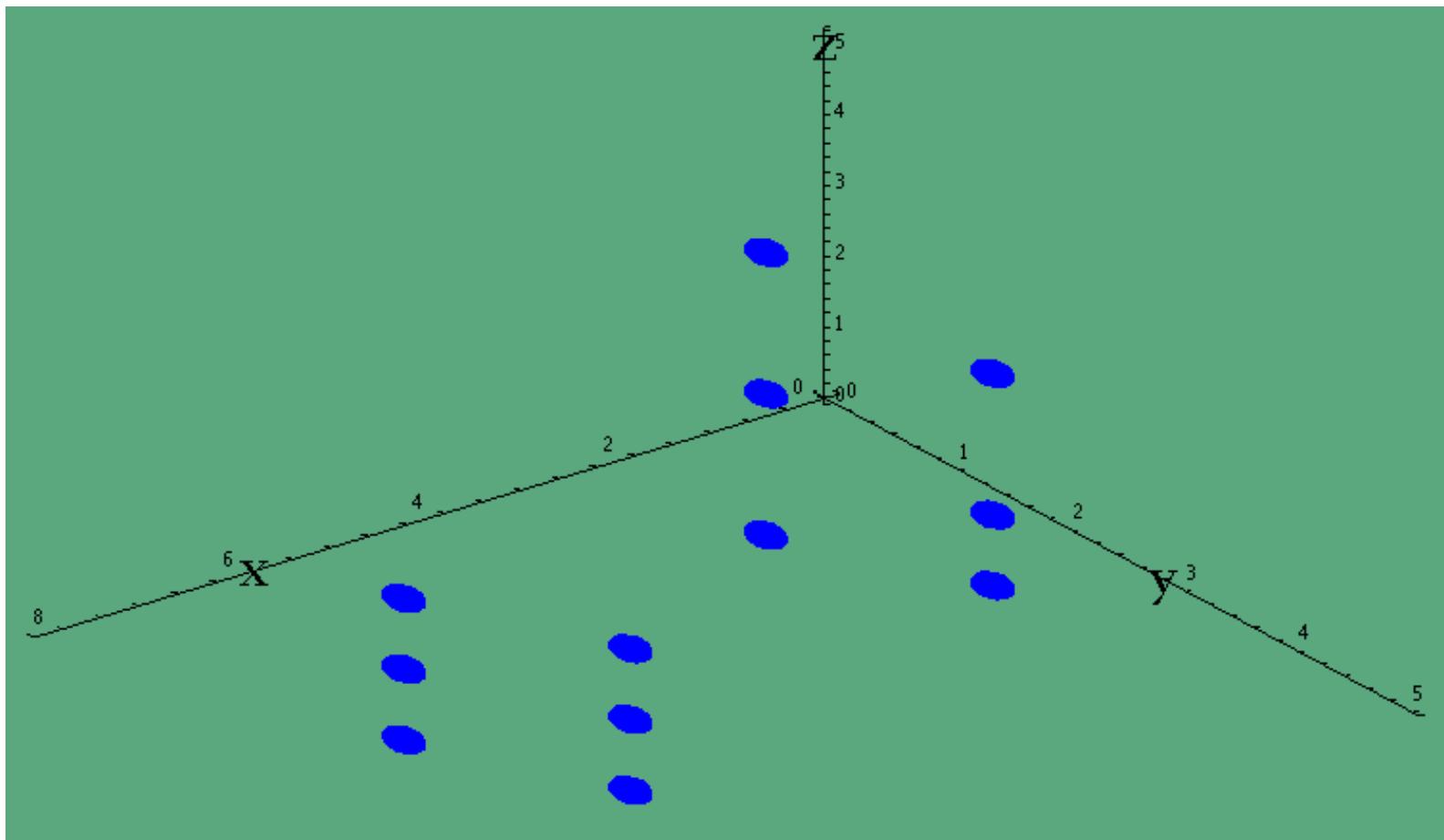
In the end, we obtain a family of pairwise disjoint, equiprojective varieties, whose reunion equals  $V$ . This is the **equiprojective decomposition** of  $V$ .

PROPOSITION. Let  $V(F) \subset A^n(\overline{\mathbb{K}})$  be finite with  $F \subset \mathbb{K}[x_1, \dots, x_n]$ . There exist Lazard triangular sets  $T^1, \dots, T^s \subset \mathbb{K}[x_1, \dots, x_n]$  such that

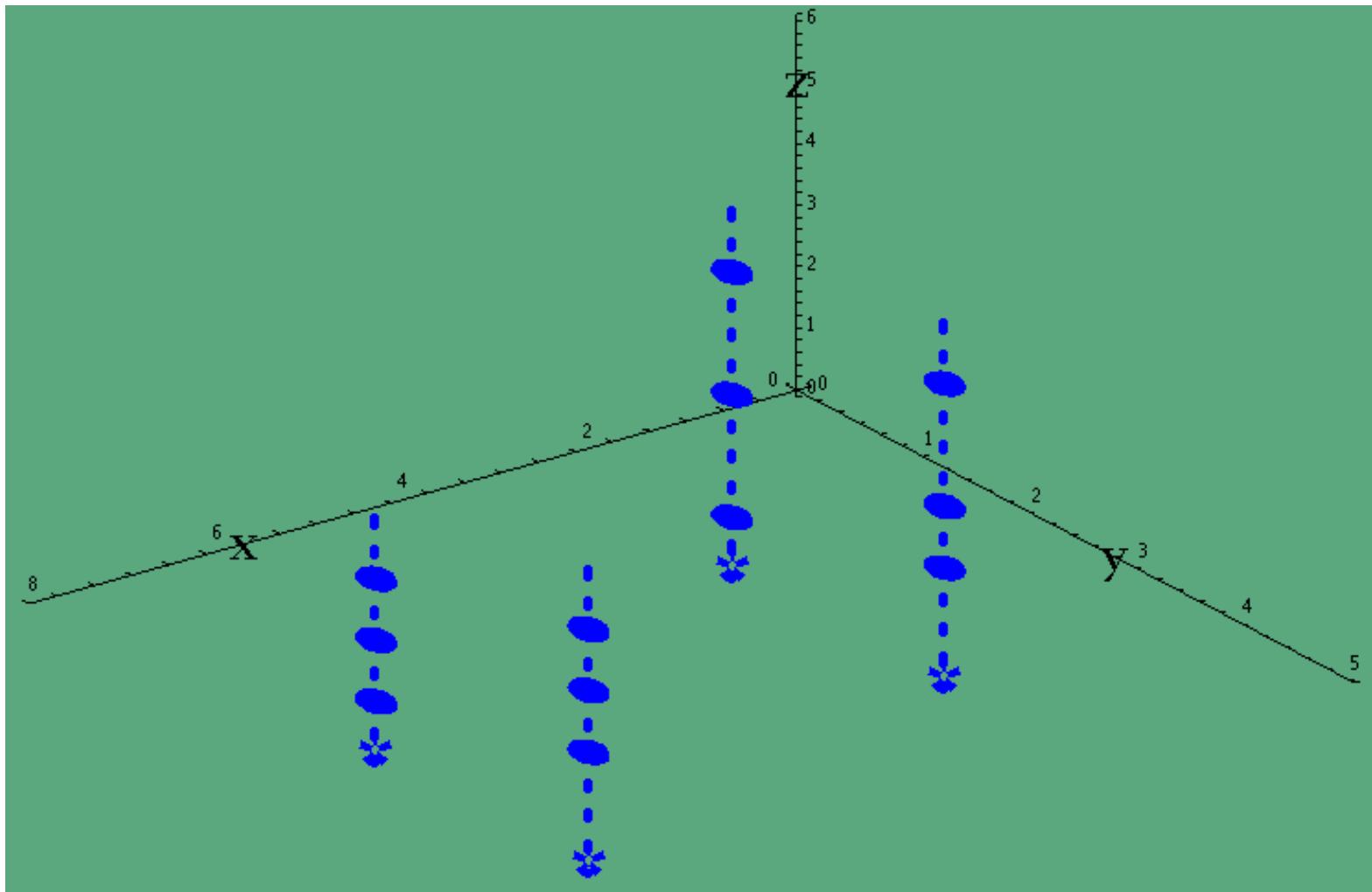
$$V(F) = V(T^1) \cup \dots \cup V(T^s) \text{ and } i \neq j \Rightarrow V(T^i) \cap V(T^j) = \emptyset.$$

They form a **triangular decomposition** of  $V(F)$ .

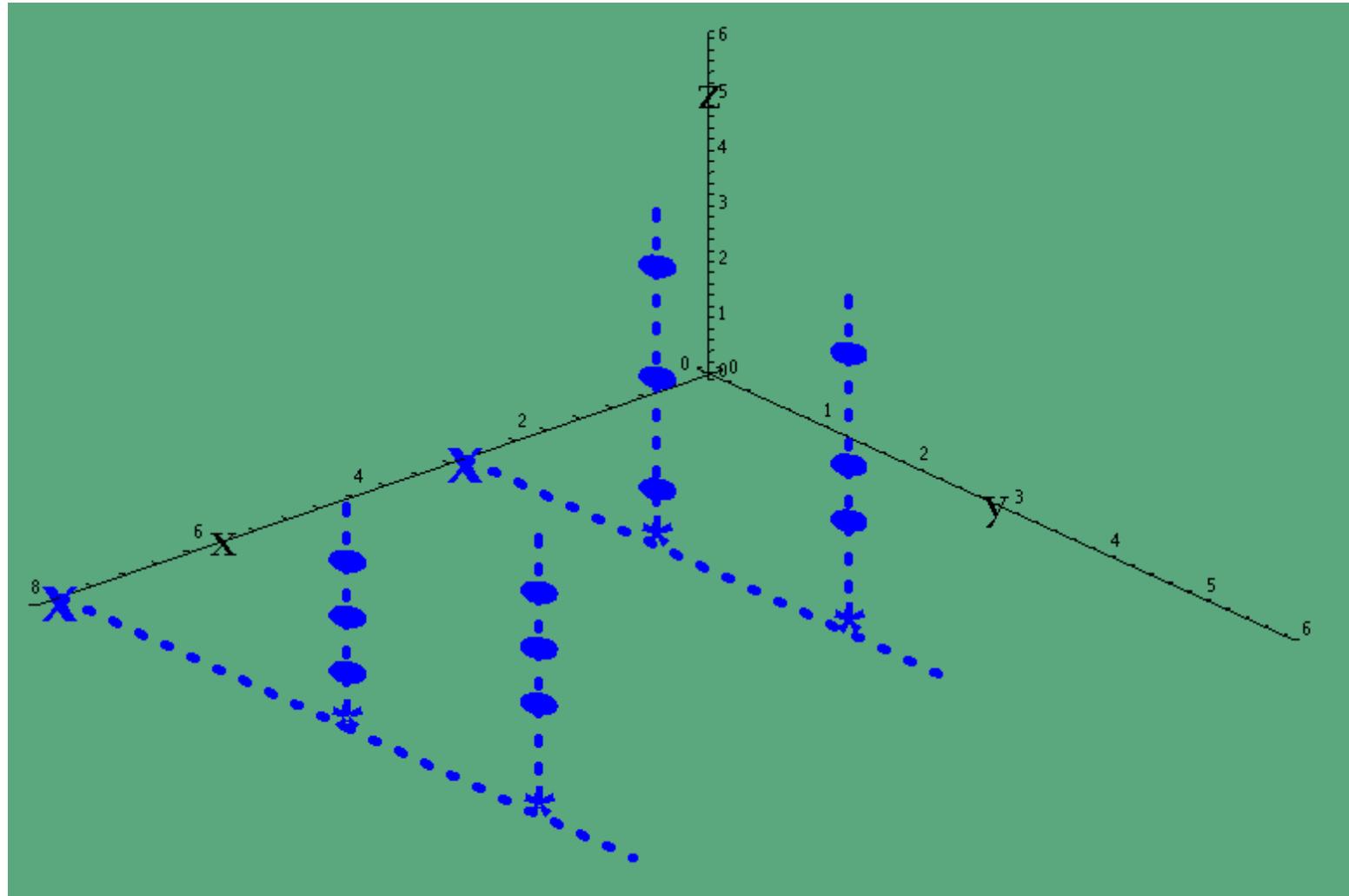
## Equiprojective variety definition (1/3)



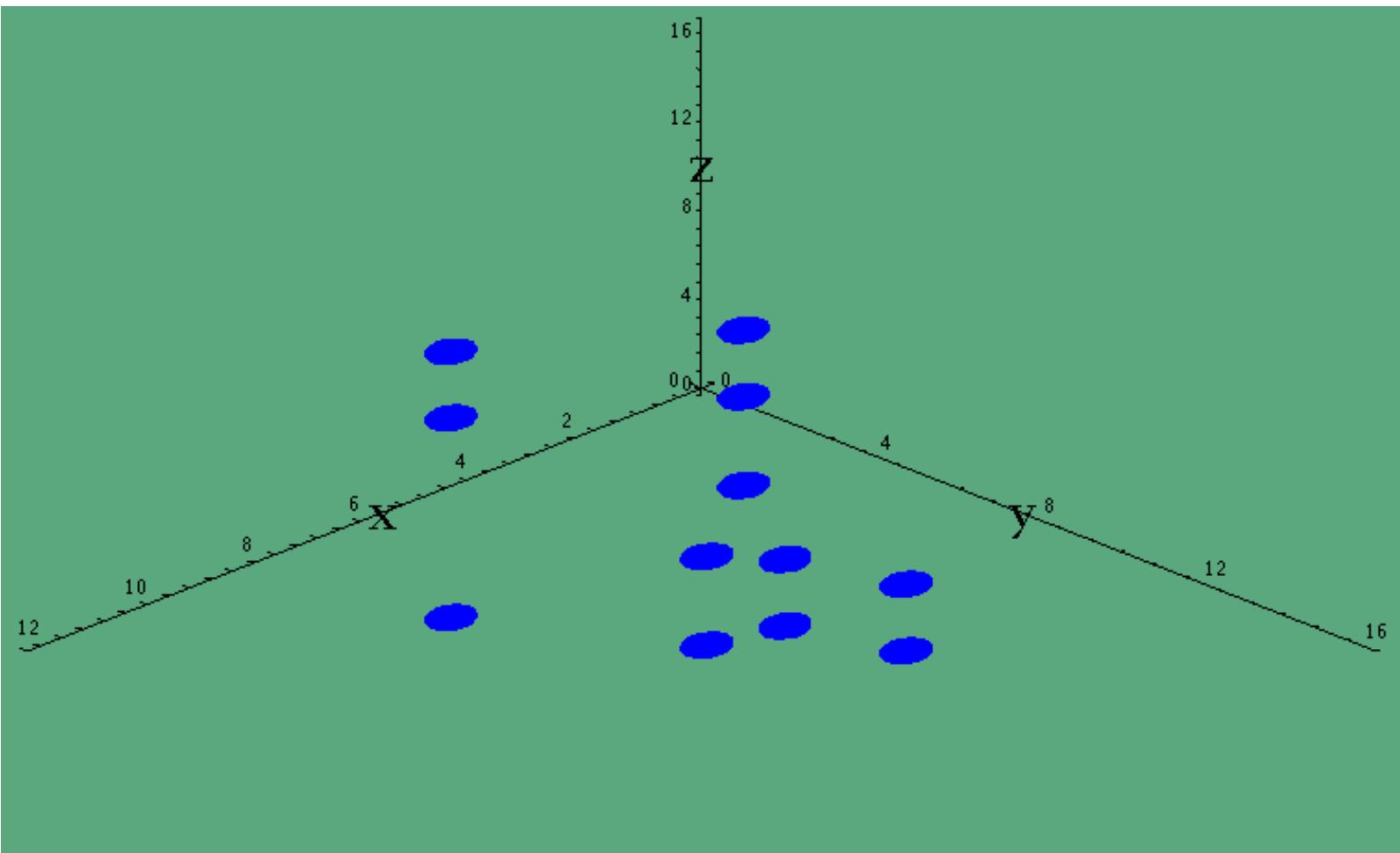
## Equiprojective variety definition (2/3)



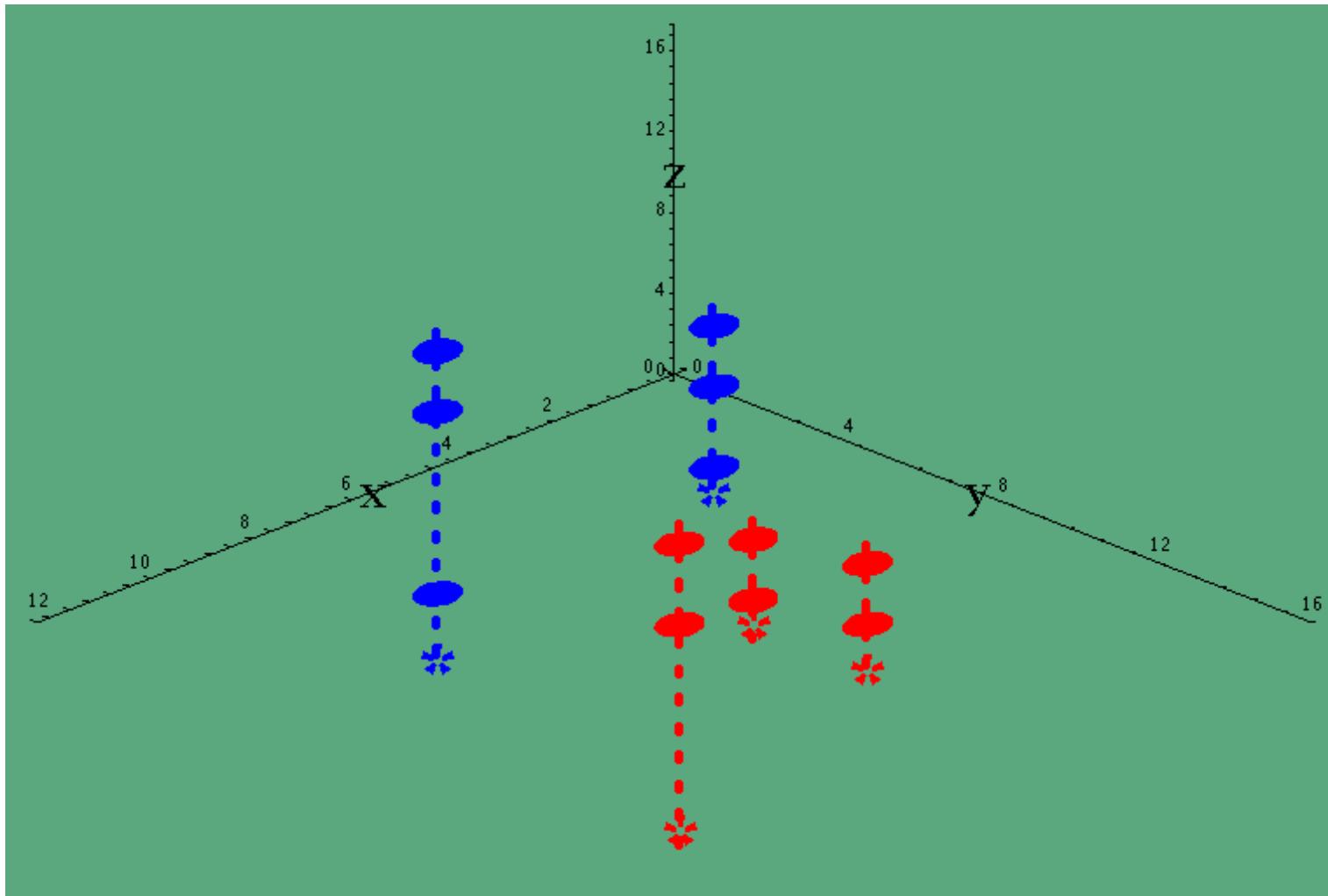
## Equiprojective variety definition (3/3)



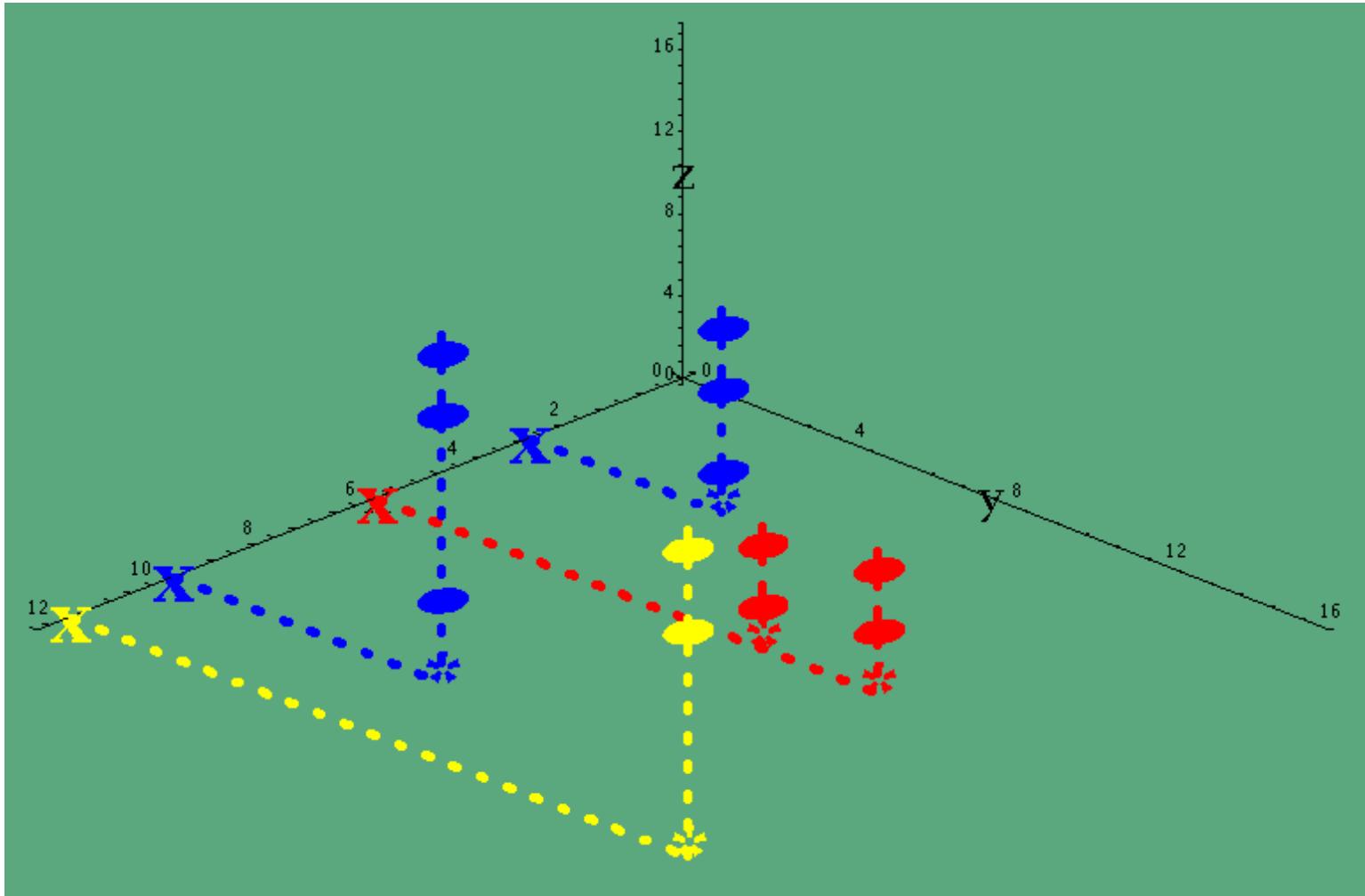
## Equiprojective decomposition definition (1/3)



## Equiprojective decomposition definition (2/3)



## Equiprojective decomposition definition (3/3)



## From triangular to equiprojective decomposition

NOTATION. Let  $V(F) \subset A^n(\overline{\mathbb{K}})$  be finite with  $F \subset \mathbb{K}[x_1, \dots, x_n]$ . Let  $\Delta$  be a triangular decomposition of  $V(F)$ .

PROPOSITION. We compute from  $\Delta$  another triangular decomposition  $\{T^1, \dots, T^d\}$  of  $V$  such that  $V(T^1), \dots, V(T^d)$  is the **equiprojective decomposition** of  $V$ .

PROOF ▷ We proceed into two steps:

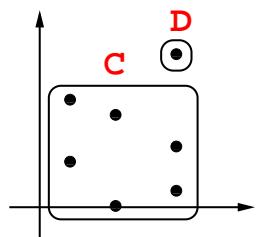
- **split**: reducing what we call **critical pairs** by means of **GCD** computations modulo Lazard triangular sets,
- **merge**: reducing what we call **solvable pairs** by means of **CRT** computations modulo Lazard triangular sets.

◁

REMARK. Among all possible triangular decompositions of  $V(F)$ , the equiprojective decomposition is a **canonical choice**: it depends only on the variable order and  $V(F)$ .

## Example: *split + merge modulo 7*

$$C \left| \begin{array}{l} C_2 = y^2 + 6yx^2 + 2y + x \\ C_1 = x^3 + 6x^2 + 5x + 2 \end{array} \right. , \quad D \left| \begin{array}{l} D_2 = y + 6 \\ D_1 = x + 6 \end{array} \right.$$

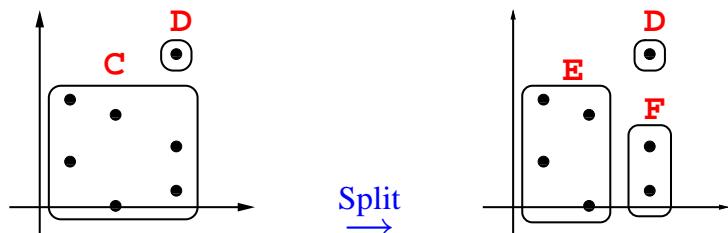


## Example: split+merge modulo 7

$$C \left| \begin{array}{l} C_2 = y^2 + 6yx^2 + 2y + x \\ C_1 = x^3 + 6x^2 + 5x + 2 \end{array} \right. , \quad D \left| \begin{array}{l} D_2 = y + 6 \\ D_1 = x + 6 \end{array} \right.$$

$\downarrow$  Split C : GCD  $\downarrow$

$$E \left| \begin{array}{l} C_2' = y^2 + x \\ C_1' = x^2 + 5 \end{array} \right. , \quad F \left| \begin{array}{l} C_2'' = y^2 + y + 1 \\ C_1'' = x + 6 \end{array} \right. , \quad D \left| \begin{array}{l} D_2 = y + 6 \\ D_1 = x + 6 \end{array} \right.$$



## Example: *split+merge* modulo 7

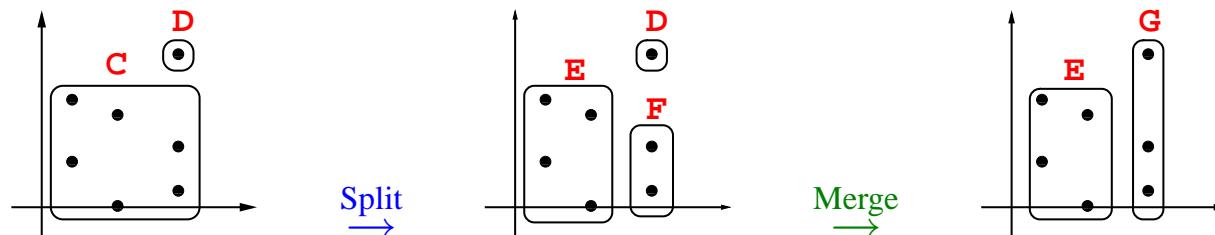
$$C \left| \begin{array}{l} C_2 = y^2 + 6yx^2 + 2y + x \\ C_1 = x^3 + 6x^2 + 5x + 2 \end{array} \right. , \quad D \left| \begin{array}{l} D_2 = y + 6 \\ D_1 = x + 6 \end{array} \right.$$

$\downarrow$  Split C : GCD  $\downarrow$

$$E \left| \begin{array}{l} C_2' = y^2 + x \\ C_1' = x^2 + 5 \end{array} \right. , \quad F \left| \begin{array}{l} C_2'' = y^2 + y + 1 \\ C_1'' = x + 6 \end{array} \right. , \quad D \left| \begin{array}{l} D_2 = y + 6 \\ D_1 = x + 6 \end{array} \right.$$

$\downarrow$  Merge F and D : CRT  $\downarrow$

$$E \left| \begin{array}{l} C_2' = y^2 + x \\ C_1' = x^2 + 5 \end{array} \right. , \quad G \left| \begin{array}{l} G_2 = y^3 + 6 \\ G_1 = x + 6 \end{array} \right.$$

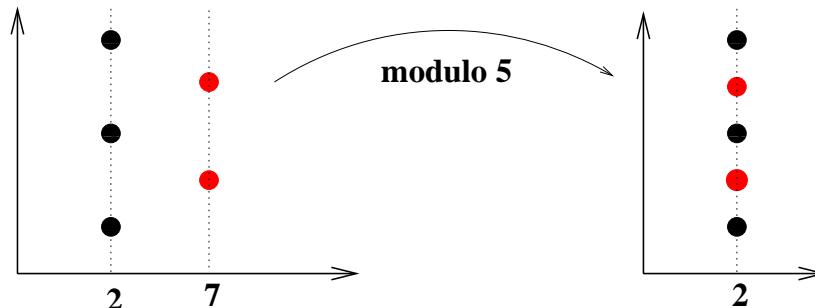


## Specialization properties: sketch

**Oversimplified case:** Assume all points  $V(F)$  are in  $\mathbb{Q}^n$ . Let  $p \in \mathbb{Z}$  prime. if

1.  $p$  divides no denominator of the coordinates; ( $V \pmod p$  is well defined)
2. the cardinality of none of the projections of  $V$  decreases mod  $p$ ;

then the equiprojective decomposition specializes mod  $p$ . Below, is a **bad case**.

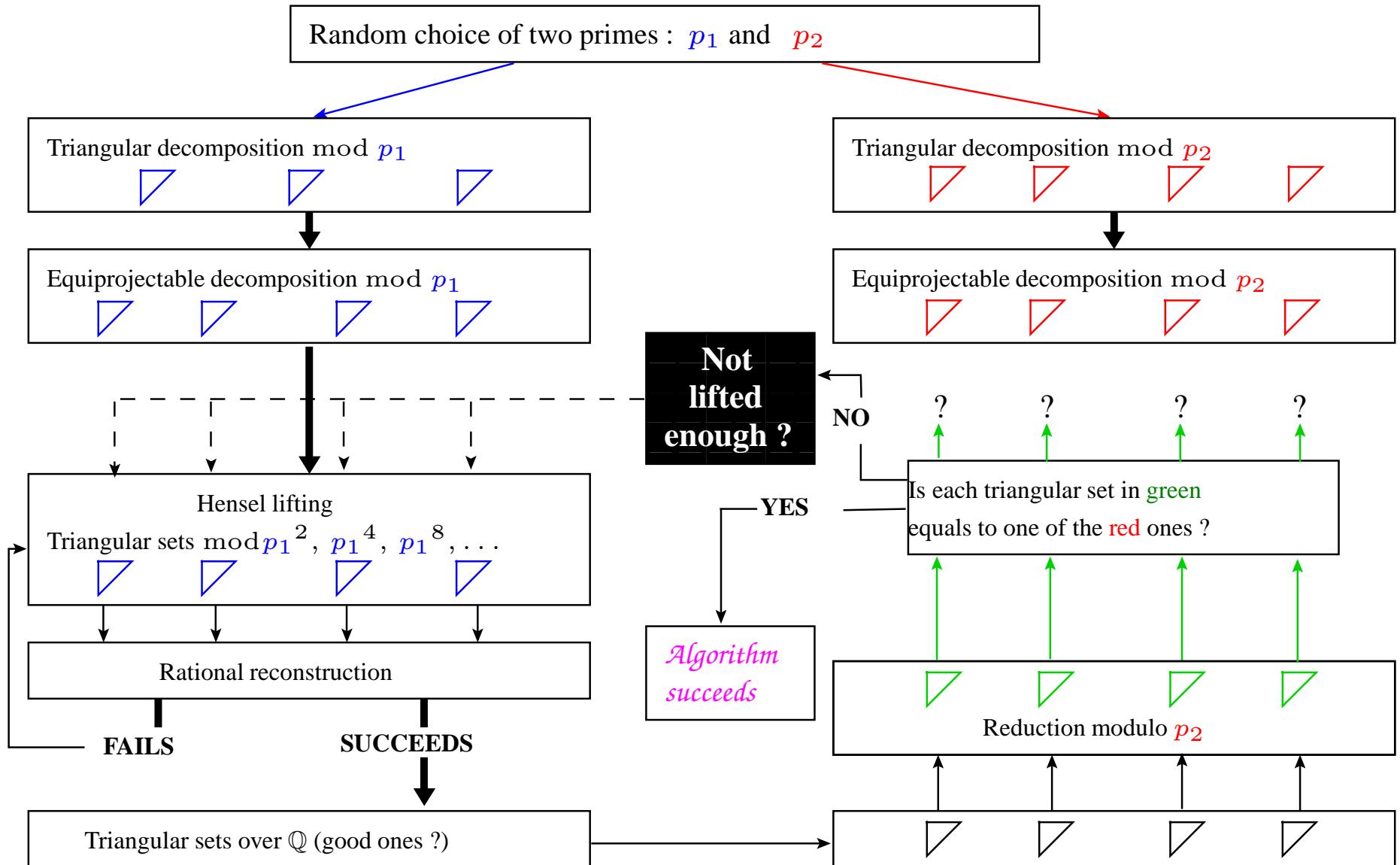


**General case:** Under *similar* assumptions, every coordinate of every point of  $V$  lies in a direct sum  $Z_p \oplus \cdots \oplus Z_p$  where  $Z_p$  is the ring of  $p$ -adic integers.

**THEOREM.** **(Dahan, M<sup>3</sup>, Schost, Wu & Xie, 2005)** Let  $h$  the maximum length of a coefficient in  $F$ , and  $d$  the maximum degree in  $F$ . There exists  $A \in \mathbb{N}$  s. t.:

- (1)  $h(A) \leq 2n^2 d^{2n+1} (3h + 7 \log(n+1) + 5n \log d + 10)$ .
- (1) If  $p \nmid A$ , then the equiprojective decomposition specializes well mod  $p$ .

# A probabilistic algorithm



## Generalizing Lazard triangular sets

REMARK. Let  $T = \{T_1, \dots, T_n\} \subset \mathbb{K}[x_1, \dots, x_n]$  be a Lazard triangular set. Let  $\mathcal{I} := \langle T \rangle$ . We have shown that given  $p \in \mathbb{K}[x_1, \dots, x_n]$ ,

- one can decide whether  $p \in \mathcal{I}$ . Indeed  $T$  is a Gr. basis of  $\mathcal{I}$  w.r.t.  $x_1, \dots, x_n$ .
- assuming  $\mathcal{I}$  radical, one can decide whether  $p^{-1} \pmod{\mathcal{I}}$  exists. Indeed  $\mathbb{K}[x_1, \dots, x_n]/\mathcal{I}$  is a DPF.

We aim at:

- first, relaxing the hypothesis  $\text{lc}(T_i, x_i) = 1$ , for all  $1 \leq i \leq n$ ,
- second, relaxing the **as many polynomials as variables** constraint.

while preserving a **triangular shape** together with the above **algorithmic properties**.

## Zero-dimensional regular chains

DEFINITION. A subset  $C = \{C_1, \dots, C_n\} \subset \mathbb{K}[x_1 < \dots < x_n]$  is a **zero-dimensional regular chain** if for all  $i = 1 \dots n$  we have

- (1)  $C_i \in \mathbb{K}[x_1, \dots, x_i]$ ,
- (2)  $\deg(C_i, x_i) > 0$ ,
- (3)  $h_i := \text{lc}(C_i, x_i)$  is **invertible** modulo the ideal  $\langle C_1, \dots, C_{i-1} \rangle$ .

PROPOSITION. Let  $C \subset \mathbb{K}[x_1, \dots, x_i]$  be a **zero-dimensional regular chain**. There exists a Lazard triangular set  $T \subset \mathbb{K}[x_1, \dots, x_i]$  such that  $\langle C \rangle = \langle T \rangle$ .

**PROOF**  $\triangleright$  By induction on  $n$ .

- For  $n = 1$  we have  $T_1 = \text{lc}(C_1)^{-1}C_1$  and the claim follows clearly.
- For  $n > 1$  we compute  $\tilde{h}_n$  the inverse of  $h_n$  modulo  $\langle T_1, \dots, T_{n-1} \rangle$  and observe

$$\langle T_1, \dots, T_{n-1}, \tilde{h}_n C_n \rangle = \langle T_1, \dots, T_{n-1}, C_n \rangle.$$

$\triangleleft$

## The Dahan-Schost Transform (I)

PROPOSITION. Consider  $T = \{T_1, \dots, T_n\}$  a Lazard triangular set. Assume  $T$  generates a radical ideal. Let  $D_1 = 1$  and  $N_1 = T_1$ . For  $2 \leq \ell \leq n$ , define

$$\begin{aligned} D_\ell &= \prod_{1 \leq i \leq \ell-1} \frac{\partial T_i}{\partial x_i} \mod \langle T_1, \dots, T_{\ell-1} \rangle \\ N_\ell &= D_\ell T_\ell \mod \langle T_1, \dots, T_{\ell-1} \rangle \end{aligned}$$

Then  $N = \{N_1, \dots, N_n\}$  is a zero-dimensional regular chain with  $\langle T \rangle = \langle N \rangle$ .

REMARK. The results of **(Dahan & Schost, 2004)** “essentially” show that the height (or “size”) of each coefficient in  $N$  is upper bounded by

- the height of  $\mathbf{V}(T)$  if  $\mathbb{K} = \mathbb{Q}$ , that is the minimum size of a data set encoding  $\mathbf{V}(T)$ ,
- the degree of  $\mathbf{V}(T^\downarrow)$  if  $\mathbb{K}$  is a field  $k(t_1, \dots, t_m)$  of rational functions and  $T^\downarrow$  is  $T$  regarded in  $k[t_1, \dots, t_m, x_1, \dots, x_n]$ .

See the authors’ article for precise statements.

## The Dahan-Schost Transform (II)

- Consider the system  $F$  (Barry Trager).

$$-x^5 + y^5 - 3y - 1 = 5y^4 - 3 = -20x + y - z = 0$$

We solve it for  $z < y < x$ .

- $V(F)$  is equiprojective and its Lazard triangular set is

- 

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1147412794656925600746886196713882259945463225340477687005119947622261926900489014476185343948467105712
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 $1920000000000000z^{10} - 12165125356800006750z^8 - 1474560223200000000z^7 -$   
 $652800000000000000z^6 - 409600000000000000000000z^5 - 16986908639233347839997975z^4 -$   
 $1415576715264030240000000z^3 - 589823873280000000000000z^2 - 1228800000000000000000000z -$   
 $6195303619231982878732441600243$

## • Applying the transformation of Dahan and Schost leads to 1787 characters.

- $(20z^{19} + (-48z^{15}) + (-19200000z^{14}) + (-38707199784/5)z^{11}) + (-5491200000z^{10}) +$   
 $61440000000000z^9 + (-778568022835200432/25)z^7) + (-33030148999680000z^6) +$   
 $(-1253376000000000z^5) + (-6553600000000000000z^4) + (-2717905382277335654399676/125)z^3) +$   
 $(-13589536466534690304000z^2) + (-377487278899200000000z) - 3932160000000000000000x +$

$$\begin{aligned}
& 3200000z^{15} + 161280000z^{12} + 124800000z^{11} + (-3072000000000z^{10}) + 1946419628544000z^8 + \\
& 2359296178560000z^7 + 1044480000000000z^6 + 9830400000000000000000z^5 + \\
& 4076859878277227827200z^4 + 3397384824422424192000z^3 + 141557739724800000000z^2 + \\
& 294912000000000000000z + 1982496995079656780596195328
\end{aligned}$$

- $$\begin{aligned}
& (20z^{19} + (-48z^{15}) + (-19200000z^{14}) + (-38707199784/5)z^{11}) + (-5491200000z^{10}) + \\
& 614400000000000z^9 + (-778568022835200432/25)z^7 + (-33030148999680000z^6) + \\
& (-125337600000000000z^5) + (-65536000000000000000000z^4) + (-2717905382277335654399676/125)z^3) + \\
& (-13589536466534690304000z^2) + (-3774872788992000000000z) - 3932160000000000000000y + \\
& (-12z^{16}) + (-9676799856/5)z^{12}) + (-1996800000z^{11}) + (-194642219980800648/25)z^8) + \\
& (-14155781713920000z^7) + (-8355840000000000z^6) + (-679471833416273049598704/125)z^4) + \\
& (-9059676821914761216000z^3) + (-566230715596800000000z^2) + (-157286400000000000000z) + \\
& (-2038432221757477324800972/625)
\end{aligned}$$
- $$\begin{aligned}
& z^{20} + (-3z^{16}) + (-12800000z^{15}) + (-3225599982/5)z^{12}) + (-499200000z^{11}) + 6144000000000z^{10} + \\
& (-97321002854400054/25)z^8) + (-4718592714240000z^7) + (-2088960000000000z^6) + \\
& (-13107200000000000000z^5) + (-679476345569333913599919/125)z^4) + \\
& (-4529845488844896768000z^3) + (-188743639449600000000z^2) + (-3932160000000000000z) + \\
& (-6195303619231982878732441600243/3125)
\end{aligned}$$

- There is even hope to do better! Here's the regular chain produced by the Triade algorithm, counting 963 characters.

- $20x - 1y + z$
- $$\begin{aligned}
& ((4375z^{12} + 52800011625z^8 + 3200000000z^7 + 110591902080002925z^4 + 6143998080000000z^3 + 1280000000000000 \\
& 1875z^{13} - 9600010125z^9 + 200000000z^8 - 7372714752004545z^5 + 3072000240000000z^4 + \\
& 1280000000000000z^3 - 22118403456000135z + 23592963686400144000000
\end{aligned}$$
- $$\begin{aligned}
& 3125z^{20} - 9375z^{16} - 4000000000z^{15} - 2015999988750z^{12} - 1560000000000z^{11} + \\
& 19200000000000000z^{10} - 12165125356800006750z^8 - 1474560223200000000z^7 - \\
& 65280000000000000z^6 - 4096000000000000000000000z^5 - 16986908639233347839997975z^4 - \\
& 14155767152640302400000000z^3 - 5898238732800000000000000z^2 - 1228800000000000000000000z - \\
& 6195303619231982878732441600243
\end{aligned}$$

## Gröbner bases (I)

NOTATION. Fix  $\leq$  a term order on  $M = \{x_1^{i_1} \dots x_n^{i_n} \mid i_j \geq 0\}$ , i.e., a total order on  $M$  satisfying  $1 \leq u$  and  $u \leq v \Rightarrow uw \leq vw$  for all  $u, v, w \in M$ .

For  $f \in \mathbb{K}[x_1, \dots, x_n]$ ,  $f \neq 0$ , the **leading (= greatest) monomial** w.r.t.  $\leq$  in  $f$  is denoted  $\boxed{\text{lm } f}$  and its coefficient in  $f$  is the **leading coefficient** of  $f$ , denoted  $\text{lc } f$ .

For  $F \subset \mathbb{K}[X] \setminus \{0\}$ , we write  $\boxed{\text{lm } F = \{\text{lm } f \mid f \in F\}}$ .

DEFINITION.  $f \in \mathbb{K}[X]$  is **reduced** w.r.t.  $g \in \mathbb{K}[X]$ ,  $g \neq 0$  if  $\text{lm } g$  does not divide any monomial in  $f$ .

NOTATION. If  $f$  is not reduced w.r.t. one of the polynomials  $b_1, \dots, b_k \in \mathbb{K}[X]$ , then the operation  $\text{Reduce}(f, \{b_1, \dots, b_k\})$

- (1) computes polynomials  $r, q_1, \dots, q_k \in \mathbb{K}[X]$  such that

$$f = q_1 b_1 + \dots + q_k b_k + r \text{ holds and } r \text{ is reduced w.r.t. all } b_1, \dots, b_k \in \mathbb{K}[X],$$

- (2) if  $r$  is not zero, then replaces  $r$  by  $r / (\text{lc } f)$ ,

- (3) and returns  $r$ .

## Gröbner bases (II)

NOTATION. For  $A, B$  finite subsets of  $\mathbb{K}[X] \setminus \{0\}$  the collection of the  $\text{Reduce}(a, B)$ , for  $a \in A$ , is denoted by  $\text{Reduce}(A, B)$ .

DEFINITION. A subset  $B \subset \mathbb{K}[X] \setminus \{0\}$  is **auto-reduced** if for all  $b \in B$  the polynomial  $b$  is reduced w.r.t.  $B \setminus \{b\}$  and  $\text{lcb} = 1$ .

PROPOSITION. (**Dickson's Lemma**) Every auto-reduced set is finite.

DEFINITION. For  $A, B \subseteq F$  auto-reduced sets, we write  $A \leq B$  whenever

$$[\text{lm}B \subseteq \text{lm}A] \text{ or } [\min(\text{lm}A \setminus \text{lm}B) < \min(\text{lm}B \setminus \text{lm}A)].$$

DEFINITION. For an ideal  $\mathcal{I} \subset \mathbb{K}[x_1, \dots, x_n]$ , a minimal auto-reduced subset  $B \subset I$  is called a **reduced Gröbner basis** of  $\mathcal{I}$ .

PROPOSITION. Every ideal  $\mathcal{I} \subset \mathbb{K}[x_1, \dots, x_n]$  admits a reduced Gröbner basis; moreover an auto-reduced subset  $B \subset \mathcal{I}$  is a reduced Gröbner basis of  $\mathcal{I}$  iff we have for all  $f \in \mathbb{K}[x_1, \dots, x_n]$

$$f \in \mathcal{I} \iff \text{Reduce}(f, B) = 0.$$

## Buchberger's Algorithm for computing Gröbner bases

**Input:**  $F \subset \mathbb{K}[X]$  and a term order  $\leq$ .

**Output:**  $G$  a reduced Gröbner basis w.r.t.  $\leq$  of the ideal  $\langle F \rangle$  generated by  $F$ .

```
repeat
  (S)  $B := \text{MinimalAutoreducedSubset}(F, \leq)$ 
  (R)  $A := \text{S_Polynomials}(B) \cup F;$ 
        $R := \text{Reduce}(A, B, \leq)$ 
  (U)  $R := R \setminus \{0\}; F := F \cup R$ 
until  $R = \emptyset$ 
return  $B$ 
```

NOTATION. For  $f, g \in \mathbb{K}[X] \setminus \{0\}$ , let  $L = \text{lcm}(\text{lm } f, \text{lm } g)$ ; then

$$S(f, g) := \frac{L}{\text{lm}_{\leq} f} f - \frac{L}{\text{lm}_{\leq} g} g$$

and  $\text{S_Polynomials}(F)$  returns the  $S(f, g)$  for all pairs  $\{f, g\} \subseteq F$ .

## A recursive vision of polynomials

DEFINITION. Let  $f, g \in \mathbb{K}[X]$  with  $g \notin \mathbb{K}$ .

$\text{mvar}(g)$ : the greatest variable in  $g$  is the **leader** or **main variable** of  $g$ ,

$\text{init}(g)$ : the leading coefficient of  $g$  w.r.t.  $\text{mvar}(g)$  is the **initial** of  $g$ ,

$\text{mdeg}(g)$ : the degree of  $g$  w.r.t.  $\text{mvar}(g)$ ,

$\text{rank}(g) = v^d$  where  $v = \text{mvar}(g)$  and  $d = \text{mdeg}(g)$ ,

$\text{pdivide}(f, g) = (q, r)$  with  $q, r \in \mathbb{K}[X]$ ,  $\deg(r, v_g) < d_g$  and  $h_g^e f = qg + r$   
where  $h_g = \text{init}(g)$ ,  $e = \max(\deg(f, v) - d_g + 1, 0)$ ,  $v_g = \text{mvar}(g)$  and  
 $d_g = \text{mdeg}(g)$ ,

$\text{prem}(f, g) = r$  if  $\text{pdivide}(f, g) = (q, r)$ .  $f \in \mathbb{K}[X]$  is said **(pseudo-)reduced**  
w.r.t.  $g \in \mathbb{K}[X] \setminus \mathbb{K}$  if  $\deg(f, \text{mvar}(g)) < \text{mdeg}(g)$ .

### EXAMPLE.

Assume  $n \geq 3$ . If  $p = x_1x_3^2 - 2x_2x_3 + 1$ , then we have  $\text{mvar}(p) = x_3$ ,  
 $\text{mdeg}(p) = 2$ ,  $\text{init}(p) = x_1$  and  $\text{rank}(p) = x_3^2$ .

## Triangular sets and auto-reduced sets

DEFINITION. A finite subset  $B \subset \mathbb{K}[X] \setminus \mathbb{K}$  is

- a **triangular set** if for all  $f, g \in B$  we have  $f \neq g \Rightarrow \text{mvar}(f) \neq \text{mvar}(g)$ ,
- **auto-(pseudo-)reduced** if all  $b \in B$  is pseudo-reduced w.r.t.  $B \setminus \{b\}$ .

PROPOSITION. Every auto-reduced set is finite and is a triangular set.

NOTATION. Let  $f \in \mathbb{K}[X]$  and  $B \subset \mathbb{K}[X] \setminus \mathbb{K}$  an auto-reduced set. If  $B = \emptyset$  we write  $\text{prem}(f, B) = f$ . Otherwise let  $b \in B$  with largest main variable; we write  $\text{prem}(f, B) = \text{prem}(\text{prem}(f, b), B \setminus \{b\})$ . For  $A \subset \mathbb{K}[X]$  write  $\text{prem}(A, B) = \{\text{prem}(a, B) \mid a \in A\}$ .

EXAMPLE. For instance, with  $T_4 = \{x_1(x_1 - 1), x_1x_2 - 1\}$  and  $p = x_2^2 + x_1x_2 + x_1^2$ , we have

$$\text{prem}(p, T) = \text{prem}(\text{prem}(p, T_{x_2}), T_{x_1}) = \text{prem}(x_1^4 + x_1^2 + 1, T_{x_1}) = 2x_1 + 1.$$

where  $T_{x_1} = x_1(x_1 - 1)$  and  $T_{x_2} = x_1x_2 - 1$ .

## The saturated ideal of a triangular set (I)

DEFINITION. Let  $T \subset \mathbb{K}[X]$  be a triangular set. The set

$$\text{Sat}(T) = \{f \in \mathbb{K}[X] \mid (\exists e \in \mathbb{N}) h_T^e f \in \langle T \rangle\}$$

is the **saturated ideal** of  $T$ . ( **Clearly  $\text{Sat}(T)$  is an ideal.**)

PROPOSITION. Let  $T \subset \mathbb{K}[X]$  be a triangular set and  $f \in \mathbb{K}[X]$ . We have

$$\text{prem}(f, T) = 0 \Rightarrow f \in \text{Sat}(T).$$

REMARK. The **converse is false.** Consider  $n \geq 2$  and

$$T = \{x_1(x_1 - 1), x_1x_2 - 1\}.$$

Consider  $p = (x_1 - 1)(x_1x_2 - 1)$  and  $q = -(x_1 - 1)x_1x_2$ . We have:

$$\text{prem}(p, T) = \text{prem}(q, T) = 0.$$

However, we have  $p + q = 1 - x_1$ ,  $\text{prem}(p + q, T) \neq 0$  but  $p + q \in \text{Sat}(T)$ , since  $\text{Sat}(T)$  is an ideal. Note that  $\text{Sat}(T) = \langle x_1 - 1, x_2 - 1 \rangle$ .

## The saturated ideal of a triangular set (II)

- Consider again for  $x > y > a > b > c > d > e > f > g > h > i$

$$F = \begin{cases} ax + by - c \\ dx + ey - f \\ gx + hy - i \end{cases} \quad \text{and} \quad T = \begin{cases} gx + hy - i \\ (hd - eg)y - id + fg \\ (ie - fh)a + (ch - ib)d + (fb - ce)g \end{cases}$$

- Using Gröbner basis computations, one can check the following assertions for this example:
  - $\text{Sat}(T) = \langle F \rangle$ .
  - $\text{Sat}(T)$  is an ideal strictly larger than  $\langle T \rangle$ .
  - In fact  $\langle T \rangle \subset \text{Sat}(T) \cap \langle g, h, i \rangle$ ,
  - and none of  $\text{Sat}(T)$  or  $\langle g, h, i \rangle$  contains the other.

## Relations between Gröbner bases and regular chains

$$(\mathcal{P}) = \begin{cases} ax + by - c \\ dx + ey - f \\ gx + hy - i \end{cases} \quad \text{and} \quad T = \begin{cases} gx + hy - i \\ (hd - eg)y - id + fg \\ (ie - fh)a + (ch - ib)d + (fb - ce)g \end{cases}$$

$$\mathbf{V}(\mathcal{P}) = \mathbf{W}(T) \cup \mathbf{W} \left\{ \begin{array}{l} dx + ey - f \\ hy - i \\ (ie - fh)a + (-ib + ch)d \\ g \end{array} \right\} \cup \mathbf{W} \left\{ \begin{array}{l} gx + hy - i \\ (ha - bg)y - ia + cg \\ hd - eg \\ ie - fh \end{array} \right\} \\ \cup \mathbf{W} \left\{ \begin{array}{l} x \\ (hd - eg)y - id + fg \\ fb - ce \\ ie - fh \end{array} \right\} \cup \mathbf{W} \left\{ \begin{array}{l} ax + by - c \\ hy - i \\ d \\ g \\ ie - fh \end{array} \right\} \cup \dots$$

Lex base (P):

$$\left\{ \begin{array}{ll} xa + yb - c & xd + ye - f \\ yae - ydb - af + dc & yah - ygb - ai + gc \\ \boxed{aei - ahf - dbi + dhc + gbf - gec} & \boxed{xg + yh - i} \\ & \boxed{ydh - yge - di + gf} \end{array} \right.$$

- For more details see (Aubry, Lazard & M<sup>3</sup>, 1997).

## The quasi-component of a triangular set

DEFINITION. Let  $T \subset \mathbb{K}[X]$  be a **triangular set**. Let  $h_T$  be the product of the initials of  $T$ . The set  $W(T) = V(T) \setminus V(\{h_T\})$  is the **quasi-component** of  $T$ .

REMARK. Clearly  $W(T)$  may not be variety. Consider  $n = 2$  and  $T = \{x_1 x_2\}$ . We have  $h_T = x_1$  and  $W(T)$  is the line  $x_2 = 0$  minus the point  $(0, 0)$ .

Observe that  $\text{Sat}(T) = \langle x_2 \rangle$ .

PROPOSITION. For any **triangular set**  $T \subset \mathbb{K}[X]$  we have

$$\overline{W(T)} = V(\text{Sat}(T)).$$

REMARK. Consider

$$T = \{x_2^2 - x_1, x_1 x_3^2 - 2x_2 x_3 + 1, (x_2 x_3 - 1)x_4 + x_2^2\}.$$

We have  $W(T) = \emptyset = V(T)$ .

## Characteristic sets (I)

NOTATION. If  $f, g \notin \mathbb{K}$ , we write  $\text{rank}(f) < \text{rank}(g)$  if  $\text{mvar}(f) < \text{mvar}(g)$  or,  $\text{mvar}(f) = \text{mvar}(g)$  and  $\text{mdeg}(f) < \text{mdeg}(g)$ . For  $F \subset \mathbb{K}[X] \setminus \mathbb{K}$ , we write

$$\text{rank}(F) = \{\text{rank}(f) \mid f \in F\}.$$

DEFINITION. For  $A, B$  auto-reduced sets, we write  $A \leq B$  whenever

$$[\text{rank}(B) \subseteq \text{rank}(A)] \text{ or } [\min(\text{rank}(A) \setminus \text{rank}(B)) < \min(\text{rank}(B) \setminus \text{rank}(A))].$$

DEFINITION. For an ideal  $\mathcal{I} \subset \mathbb{K}[X]$ , a minimal auto-pseudo-reduced subset  $B \subset I$  is called a **Ritt (or Kolchin) characteristic set** of  $\mathcal{I}$ .

PROPOSITION. Every ideal  $\mathcal{I} \subset \mathbb{K}[X]$  admits a **Ritt characteristic set**; an auto-reduced  $B \subset \mathcal{I}$  is a Ritt characteristic set of  $\mathcal{I}$  iff  $\text{prem}(f, B) = 0$  for all  $f \in \mathcal{I}$ .

## Characteristic sets (II)

DEFINITION. For a set  $F \subset \mathbb{K}[X]$ , an auto-pseudo-reduced subset  $B \subseteq F$  such that  $\text{prem}(F, B) \subset \mathbb{K}$  is called a **Wu characteristic set** of  $F$ .

PROPOSITION. If  $B \subseteq F$  is a **Wu characteristic set** of  $F \subset \mathbb{K}[X]$ , then

- If  $\text{prem}(F, B)$  contains a non-zero constant then  $V(F) = \emptyset$ ,
- If  $\text{prem}(F, B) = \{0\}$  then

$$V(F) = W(B) \cup \bigcup_{b \in B} V(F \cup \{\text{init}(b)\}).$$

**PROOF**  $\triangleright$  Indeed,  $\text{prem}(f, B) = 0$  implies that there exists a product  $h$  of the initials of  $B$  such that  $hf \in \langle B \rangle$ . Hence  $W(B) \subseteq V(F)$ . Thus any  $\zeta \in V(F)$  either belongs to  $W(B)$  or cancels one of the initials of  $B$ .  $\triangleleft$

THEOREM. (Wu, 1987) For any  $F \subset \mathbb{K}[X]$ , one can compute finitely many triangular sets  $T^1, \dots, T^s$  such that

$$V(F) = W(T^1) \cup \dots \cup W(T^s).$$

## Wu's Method

**Input:**  $F \subset \mathbb{K}[X]$  and a variable ordering  $\leq$ .

**Output:**  $C$  a Wu characteristic set of  $F$ .

```
repeat
  (S)  $B := \text{MinimalAutoreducedSubset}(F, \leq)$ 
  (R)  $A := F \setminus B;$ 
        $R := \text{prem}(A, B)$ 
  (U)  $R := R \setminus \{0\}; F := F \cup R$ 
until  $R = \emptyset$ 
return  $B$ 
```

- Repeated calls to this procedure computes a decomposition of  $V(F)$ .
- Cannot detect whether a quasi-component is empty.  
⇒ This leads to the theory of **regular chains.** (Kalkbrener, 1991) and (Yang & Zhang, 1991).

## Regular chains

DEFINITION. Let  $\mathcal{I}$  be a proper ideal of  $\mathbb{K}[X]$ . We say that a polynomial  $p \in \mathbb{K}[X]$  is **regular** modulo  $\mathcal{I}$  if for every prime ideal  $\mathcal{P}$  associated with  $\mathcal{I}$  we have  $p \notin \mathcal{P}$ , equivalently, this means that  $p$  is neither null modulo  $\mathcal{I}$ , nor a zero-divisor modulo  $\mathcal{I}$ .

DEFINITION. Let  $T = \{T_1, \dots, T_s\}$  be a triangular set where polynomials are **sorted by increasing main variables**.

The triangular set  $T$  is a **regular chain** if for all  $i = 2 \cdots s$  the initial of  $T_i$  is **regular modulo the saturated ideal** of  $T_1, \dots, T_{i-1}$ .

PROPOSITION. If  $T$  is a regular chain then  $\text{Sat}(T)$  is a proper ideal of  $\mathbb{K}[X]$  and, thus,  $W(T) \neq \emptyset$ .

## Reduction to dimension zero (I)

**THEOREM.** (Chou & Gao, 1991), (Kalkbrener, 1991), (Aubry, 1999), (Boulier, Lemaire & M<sup>3</sup>, 2006) Let  $T = \{T_{d+1}, \dots, T_n\}$  be a triangular set. Assume that  $\text{mvar}(T_i) = x_i$  for all  $d+1 \leq i \leq n$  and assume  $\text{Sat}(T)$  is a proper ideal of  $\mathbb{K}[X]$ . Then, every prime ideal  $\mathcal{P}$  associated with  $\text{Sat}(T)$  has dimension  $d$  and satisfies

$$\mathcal{P} \cap \mathbb{K}[x_1, \dots, x_d] = \langle 0 \rangle.$$

**COROLLARY.** With  $T$  as above. Consider the localization by  $\mathbb{K}[x_1, \dots, x_d] \setminus \{0\}$ ; in other words, we map our polynomials from  $\mathbb{K}[x_1, \dots, x_n]$  to  $\mathbb{K}(x_1, \dots, x_d)[x_{d+1}, \dots, x_n]$ .

Let  $T_0$  be the image of  $T$ . Let  $p \in \mathbb{K}[x_1, \dots, x_n]$  and  $p_0$  its image in  $\mathbb{K}(x_1, \dots, x_d)[x_{d+1}, \dots, x_n]$ . Assume  $p$  non-zero modulo  $\text{Sat}(T)$ . Then, the following conditions are equivalent:

- (1)  $p$  is regular w.r.t.  $\text{Sat}(T)$ ,
- (2)  $p_0$  is invertible w.r.t.  $\text{Sat}(T_0)$ .

In particular  $T$  is a regular chain iff  $T_0$  is a (zero-dimensional) regular chain.

## Reduction to dimension zero (II)

REMARK. Consequently, we can generalize to positive dimension our computations of **polynomial GCDs** defined previously over zero-dimensional regular chains. (Indeed, It is also possible to relax the condition  $\text{Sat}(T_0)$  radical.)

NOTATION. Let  $T$  is a regular chain and  $F \subset \mathbb{K}[X]$  be a polynomial set. We denote by  $Z(F, T)$  the intersection  $V(F) \cap W(T)$ , that is the set of the zeros of  $F$  that are contained in the quasi-component  $W(T)$ . If  $F = \{p\}$ , we write  $Z(p, T)$  for  $Z(F, T)$ .

PROPOSITION. Let  $T$  be a regular chain. If  $p$  is regular modulo  $\text{Sat}(T)$ , then  $Z(p, T)$  is either empty or it is contained in a variety of dimension strictly less than the dimension of  $\overline{W(T)}$ .

## Regular chains and characteristic sets

THEOREM. (Aubry, Lazard & M<sup>3</sup>, 1997) Let  $C \subset \mathbb{K}[X]$  be an auto-(pseudo-)reduced set. Then, we have

$$\text{Sat}(C) = \{p \mid \text{prem}(p, C) = 0\}$$

$\Updownarrow$

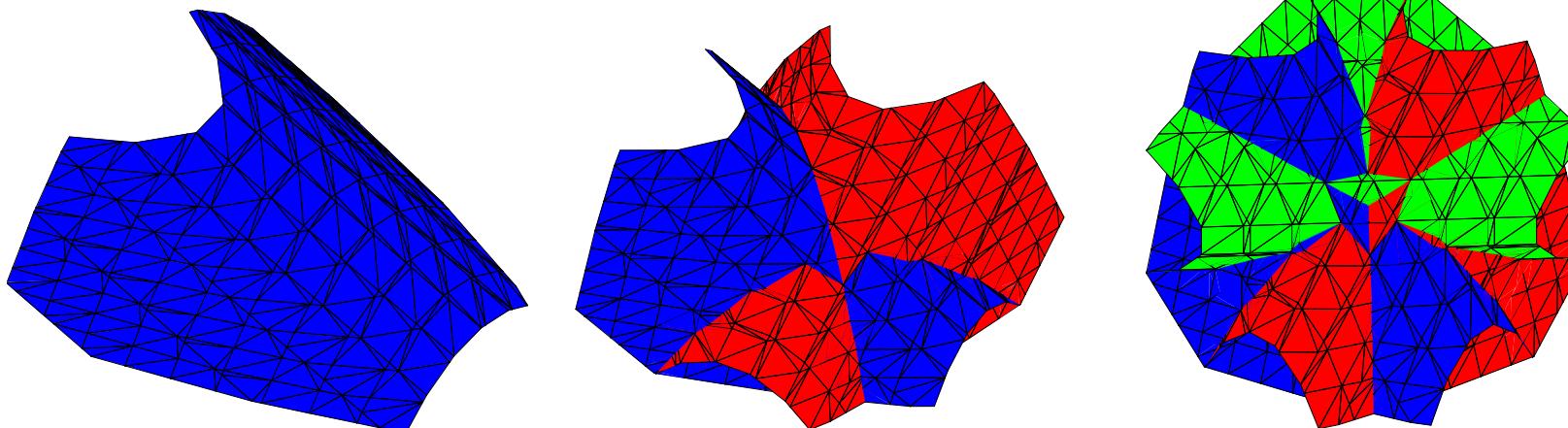
$C$  regular chain

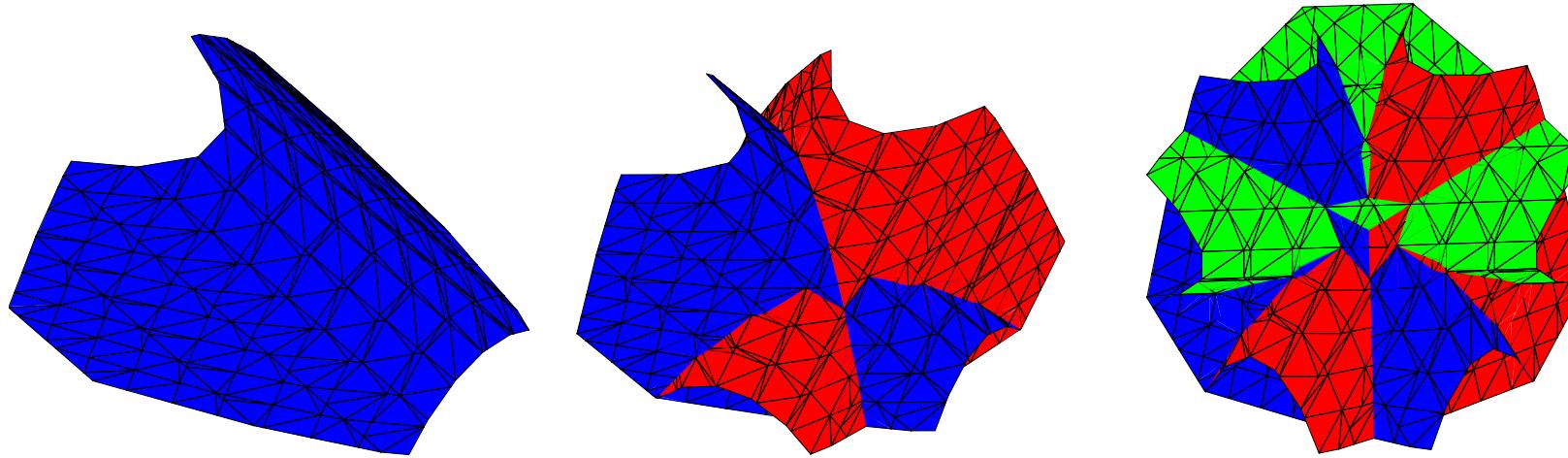
$\Updownarrow$

$C$  characteristic set of  $\text{Sat}(C)$

## Incremental triangular decompositions: a geometrical approach

$$\left\{ \begin{array}{l} x^2 + y + z = 1 \end{array} \right. \quad \left\{ \begin{array}{l} x^2 + y + z = 1 \\ x + y^2 + z = 1 \end{array} \right. \quad \left\{ \begin{array}{l} x^2 + y + z = 1 \\ x + y^2 + z = 1 \\ x + y + z^2 = 1 \end{array} \right.$$





$$\left\{ \begin{array}{l} x^2 + y + z = 1 \\ \end{array} \right. \quad \left\{ \begin{array}{l} x + y^2 + z = 1 \\ y^4 + (2z - 2)y^2 + y - z + z^2 = 0 \\ \end{array} \right. \quad \left\{ \begin{array}{l} x + y = 1 \\ y^2 - y = z = 0 \\ 2x + z^2 = 2y + z^2 = 1 \\ z^3 + z^2 - 3z = -1 \\ \end{array} \right.$$

## Triade: a task manager algorithm (I)

DEFINITION. A **task** is any  $[F, T]$  where  $F, T \subset \mathbb{K}[X]$  with  $T$  regular chain. It is **solved** iff  $F = \emptyset$  and **unsolved**, otherwise.

By *solving* a task, we mean computing regular chains  $T_1, \dots, T_\ell$  such that:

$$V(F) \cap W(T) \subseteq \cup_{i=1}^{\ell} W(T_i) \subseteq V(F) \cap \overline{W(T)}.$$

DEFINITION. The tasks  $[F_1, T_1], \dots, [F_d, T_d]$  form a **delayed split** of the task  $[F, T]$  and we write  $[F, T] \longmapsto_D [F_1, T_1], \dots, [F_d, T_d]$  if we have:

$$(D_1) \quad Z(F_i, T_i) \prec Z(F, T),$$

$$(D_2) \quad Z(F, T) \subseteq Z(F_1, T_1) \cup \dots \cup Z(F_d, T_d),$$

$$(D_3) \quad \text{Sat}(T) \subseteq \text{Sat}(T_i),$$

$$(D_4) \quad F_i \neq \emptyset \implies F \subseteq F_i,$$

$$(D_5) \quad F_i = \emptyset \implies W(T_i) \subseteq V(F).$$

## Triade: a task manager algorithm (II)

REMARK. Property  $(D_1)$  means that each “output” task  $[F_i, T_i]$  is *more solved* than the “input” one  $[F, T]$ . Properties  $(D_2)$  to  $(D_5)$  imply:

$$V(F) \cap W(T) \subseteq \cup_{i=1}^d Z(F_i, T_i) \subseteq V(F) \cap \overline{W(T)}.$$

**Input:**  $F \subset \mathbb{K}[X]$  and a variable ordering  $\leq$ .

**Output:**  $\mathcal{T}$  a triangular decomposition of  $V(F)$  by means of regular chains.

```
 $ToDo := [[F, \emptyset]; \mathcal{T} := []$ 
repeat
  if  $ToDo = \emptyset$  then break
  (S)  $Tasks := \text{Select}(ToDo)$ 
  (R)  $Results := \text{LazySolve}(Tasks)$ 
  (U)  $(ToDo, \mathcal{T}) := \text{Update}(Results, ToDo, \mathcal{T})$ 
return  $\mathcal{T}$ 
```

## Polynomial GCDs modulo regular chains

DEFINITION. Let  $1 \leq k < n$ . Let  $T \subset \mathbb{K}[x_1, \dots, x_k]$  be a regular chain. Let  $p, t \in \mathbb{K}[x_1, \dots, x_n]$  non-constant, with  $v := \text{mvar}(p) = \text{mvar}(t) > x_k$ . Assume that  $T \cup \{p\}$  and  $T \cup \{t\}$  are regular chains.

A polynomial  $g \in \mathbb{K}[x_1, \dots, x_n]$  is a **GCD** of  $p$  and  $t$  w.r.t.  $T$  if the following properties hold:

$(G_1)$   $g$  belongs to the ideal generated by  $p, t$  and  $\text{Sat}(T)$ ,

$(G_2)$  the leading coefficient  $h_g$  of  $g$  w.r.t.  $v$  is regular w.r.t.  $\text{Sat}(T)$ ,

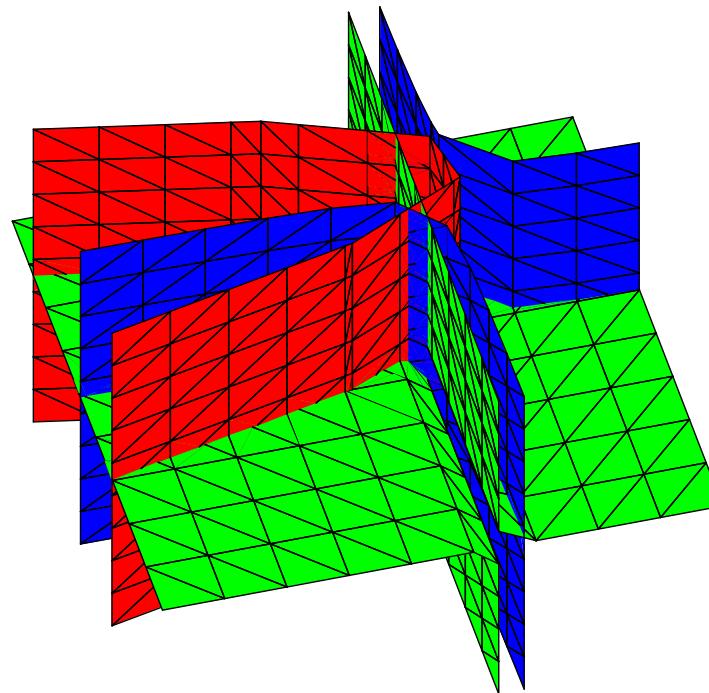
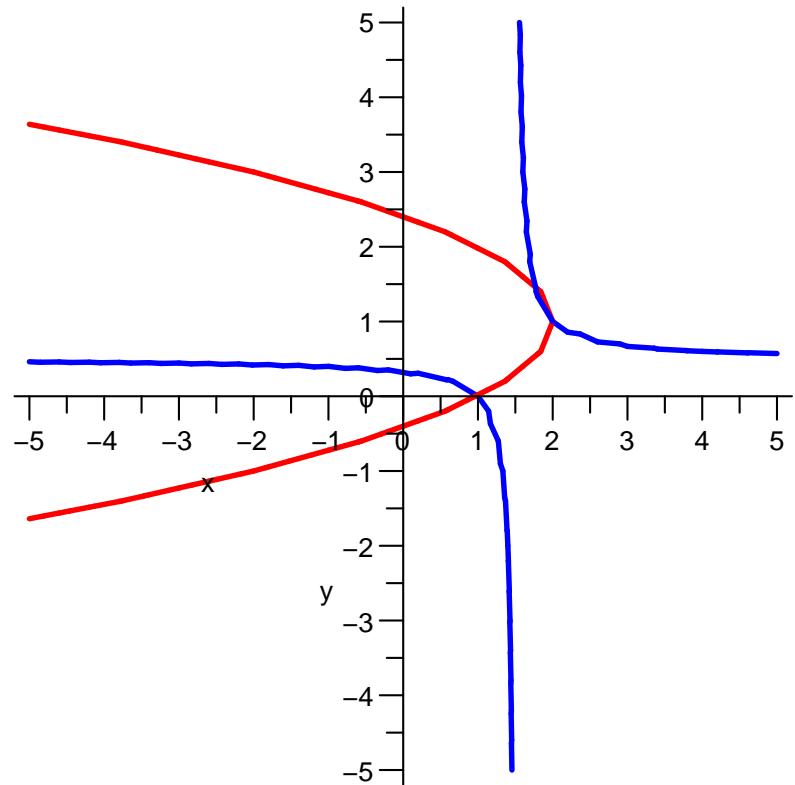
$(G_3)$  if  $\text{mvar}(g) = v$  then  $p$  and  $t$  belong to  $\text{Sat}(T \cup \{g\})$ .

THEOREM. (**M<sup>3</sup>, 2000**) If  $g$  is a GCD of  $p$  and  $t$  w.r.t.  $T$  and  $\text{mvar}(g) = v$ , then

$$[[\{p\}, T \cup \{t\}] \longmapsto_D [\emptyset, T \cup \{g\}], [\{h_g, p\}, T \cup \{t\}].$$

COROLLARY. Given  $F \subset \mathbb{K}[X]$  and a regular chain  $T \subset \mathbb{K}[X]$ , one can compute a delayed split  $[F_1, T_1], \dots, [F_d, T_d]$  of  $[F, T]$  such that, for all  $1 \leq i \leq d$  we have  $F_i = \emptyset$  iff  $|T_i|$  is minimum (among  $|T_1|, \dots, |T_d|$ )

## Difficulty 1: redundant and irregular tasks



The red and blue surfaces intersect on the line  $x - 1 = y = 0$  contained in the green plane  $x = 1$ . With the other green plane  $z = 0$ , they intersect at  $(2, 1, 0)$ ,  $(\frac{7}{4}, \frac{3}{2}, 0)$  but also at  $x - 1 = y = z = 0$ , which is redundant.

Initial task  $[\{f_1, f_2, f_3\}, \emptyset]$

$$f_1 = x - 2 + (y - 1)^2$$

$$f_2 = (x - 1)(y - 1) + (x - 2)y$$

$$f_3 = (x - 1)z$$

$$\begin{aligned} y &= 0 \\ x &= 1 \end{aligned}$$

$$\begin{aligned} x - 1 + y^2 - 2y &= 0 \\ (2y - 1)x + 1 - 3y &= 0 \\ z &= 0 \end{aligned}$$

$$\begin{aligned} z &= 0 \\ y &= 0 \\ x &= 1 \end{aligned}$$

$$\begin{aligned} z &= 0 \\ y &= 1 \\ x &= 2 \end{aligned}$$

$$\begin{aligned} z &= 0 \\ 2y &= 3 \\ 4x &= 7 \end{aligned}$$

## Difficulty 2: load balancing

- How do splits occur during decompositions? Given a polynomial ideal  $\mathcal{I}$  and polynomials  $p, a, b$ , there are two rules:
  - $\mathcal{I} \longmapsto (\mathcal{I} + p, \mathcal{I} : p^\infty)$ .
  - $\mathcal{I} + \langle ab \rangle \longmapsto (\mathcal{I} + \langle a \rangle, \mathcal{I} + \langle b \rangle)$ .
- The second one is more likely to **split computations evenly**. But geometrically, it means that a component is **reducible**.
- Unfortunately, most polynomial systems  $F \subseteq \mathbb{Q}[X]$  (both in theory and practice) are **equiprojective**, that is they can be represented by a single regular chain.
- However, for  $F \subseteq \mathbb{Z}/p\mathbb{Z}[X]$  where  $p$  prime, the second rule is more likely to be used.

## Key solutions

- We solve completely only in the cases where dimension does not drop and solve lazily the other cases.

⇒ Computations in lower dimension are delayed toward the end of the solving process.

- For solving  $F \subseteq \mathbb{Q}[X]$  we use modular methods (Dahan, M<sup>3</sup>, Schost, Wu, Xie, 2005)
  - For  $p$  big enough, a triangular decomposition of  $V(F)$  can be reconstructed (= merged + lifted) from one of  $V(F \bmod p)$ .
  - The reconstruction is cheap (comparing to the decomposition phasis).
  - This modular approach consumes less resources than the direct one.

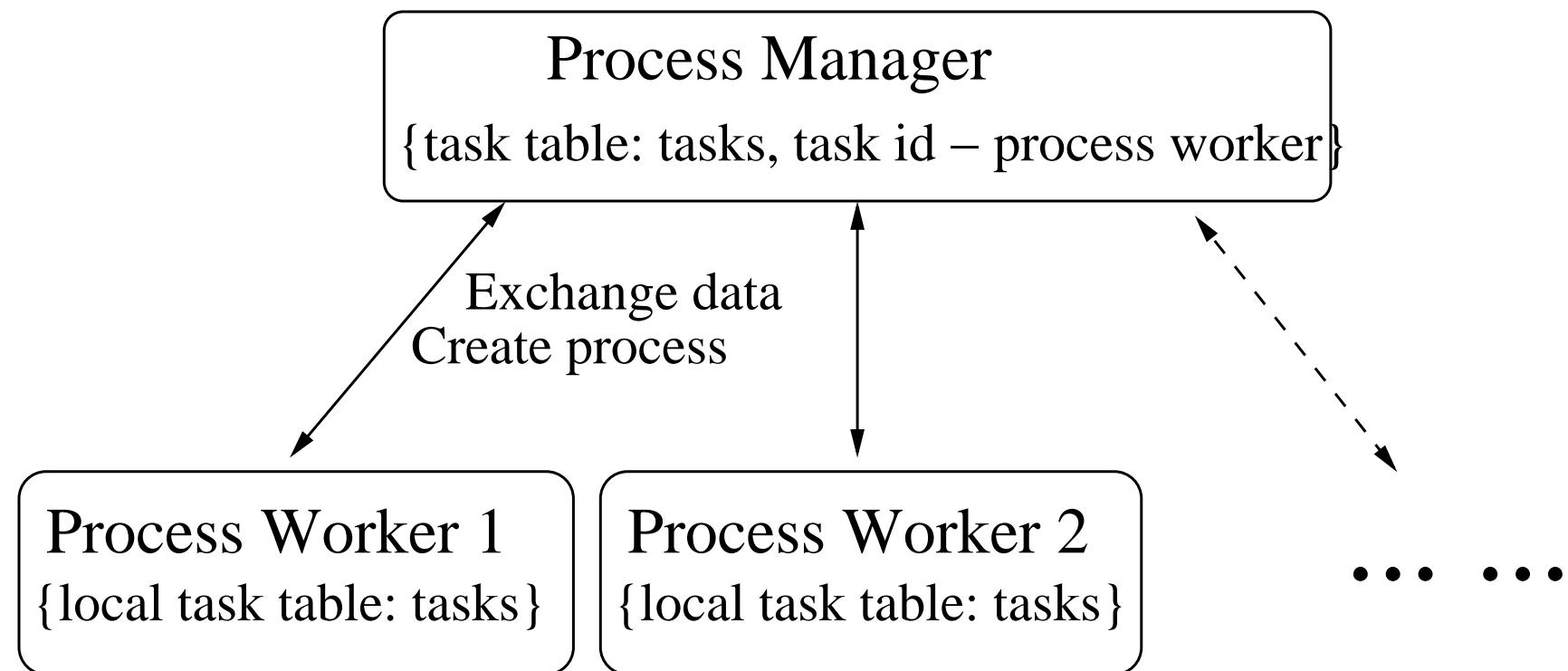
## A parallel scheme

**Input:**  $F \subset \mathbb{K}[X]$  and a variable ordering  $\leq$ .

**Output:**  $\mathcal{T}$  a triangular decomposition of  $V(F)$  by means of regular chains.

```
 $ToDo := [[F, \emptyset]; \mathcal{T} := []; d := n;$ 
repeat
  if  $ToDo = \emptyset$  then break
  (1) let  $V$  be all tasks which can produce solved tasks of dimension  $d$ 
  (2) if  $V \neq \emptyset$  then
    - lazy-solve these tasks in parallel
    - update  $ToDo$  and  $\mathcal{T}$ 
    - go to (1)
  (3) if  $V = \emptyset$  then  $d := d - 1$  and go to (1)
return  $\mathcal{T}$ 
```

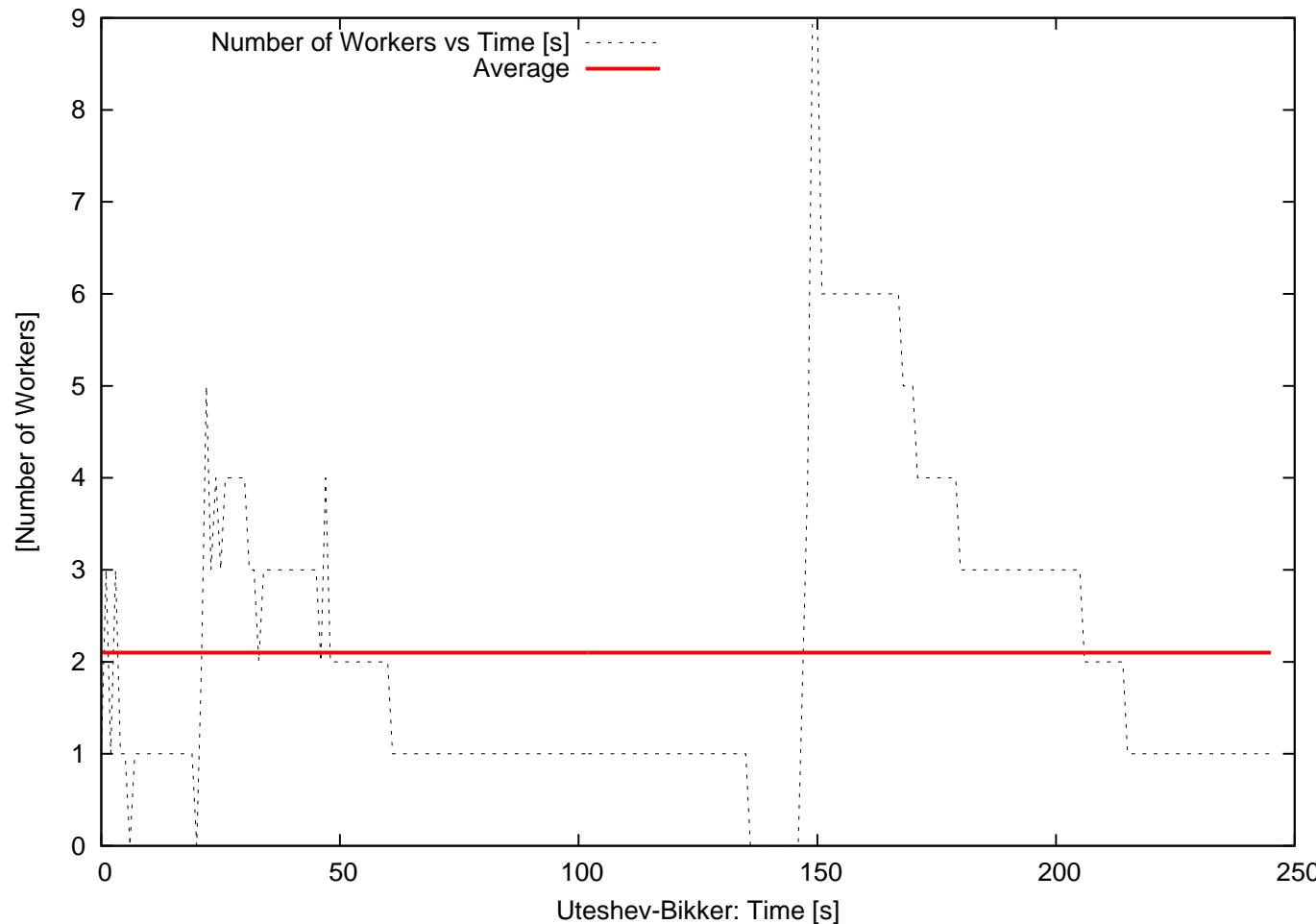
## Target implementation

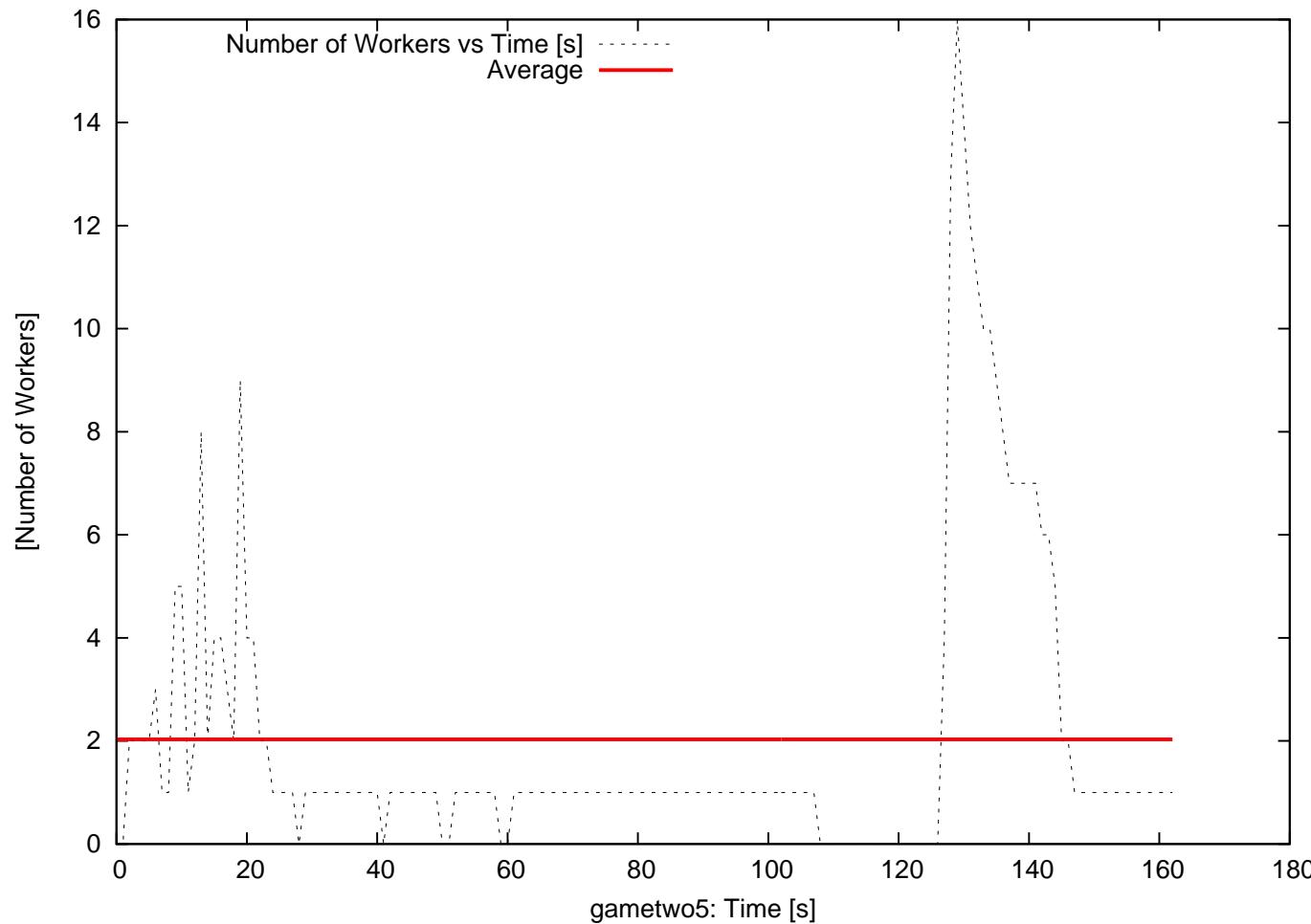


## Current implementation

- In ALDOR on a 4-processor machine using shared memory for data-communication.
- Only the output components are generated by decreasing order of dimension.  
(This does not hold yet for the intermediate components)  
⇒ Hence, we do not implement yet the above parallel scheme, but only an approximation of it.
- Splitting (of the 2nd kind) relies only on the *D5 Principle* and univariate polynomial factorization.
- Each *LazySolve* requires to activate a process worker, which terminates after completing this computation.  
⇒ Hence, we pay a severe penalty in data-communication and O/S calls w.r.t. our target implementation (work in progress).

# Preliminay results





## Work in progress and observations

- Combining the Triade algorithm and modular techniques, we have achieved successful **coarse-grain parallelization** of triangular decompositions **based on geometrical information** detected during the solving process.
- Future work:
  - Increasing the average number of working processors (by making use of multivariate factorization)
  - Reducing data-communicatio (with our target implementation scheme).
  - Making use of medium-grain parallelization (by parallelizing our GCDs/resultants).
- **Parallelizing helps removing arbitrary choices.**
- **Modular methods increase opportunities for parallelism.**

## *Implementation issues*

- Fast algorithms for low-level subroutines

THEOREM. **(Dahan, M<sup>3</sup>, Schost & Xie, 2005)** Let  $T \subset \mathbb{K}[X]$  be a Lazard triangular set, with  $\langle T \rangle$  radical and  $\#|V(T)| = \delta$ . Define  $\mathbb{L} = \mathbb{K}[X]/\langle T \rangle$ . There exists  $G > 0$ , and for any  $\varepsilon > 0$ , there exists  $A_\varepsilon > 0$ , such that one can compute a gcd of polynomials in  $\mathbb{L}[y]$ , with degree at most  $d$ , using  $G A_\varepsilon^n d^{1+\varepsilon} \delta^{1+\varepsilon}$  operations in  $\mathbb{K}$ .

See also **(Pascal & Schost, 2006)**.

- Implementation techniques for fast polynomial arithmetic algorithms in high-level programming languages **(Filatei, Li, M<sup>3</sup>, Schost, 2006)**.

## *Topics I did not have time to discuss*

- Solving in the senses of Kalkbrener and Lazard.
- Complexity issues. ( Á. Szántó, 1997).
- Symbolic-numeric computations ( M<sup>3</sup>, Reid, Scott & Wu, 2005).
- and many other things.