

Analysis of Multithreaded Algorithms

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CS4402-9535

- 1 Review of Complexity Notions
- 2 Divide-and-Conquer Recurrences
- 3 Matrix Multiplication
- 4 Merge Sort
- 5 Tableau Construction



Plan

1 Review of Complexity Notions

2 Divide-and-Conquer Recurrences

3 Matrix Multiplication

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5 Tableau Construction

Orders of magnitude

Let f, g et h be functions from \mathbb{N} to \mathbb{R} .

- We say that $g(n)$ is in the **order of magnitude** of $f(n)$ and we write $f(n) \in \Theta(g(n))$ if there exist two strictly positive constants c_1 and c_2 such that for n big enough we have

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n). \quad (1)$$

- We say that $g(n)$ is an **asymptotic upper bound** of $f(n)$ and we write $f(n) \in \mathcal{O}(g(n))$ if there exists a strictly positive constants c_2 such that for n big enough we have

$$0 \leq f(n) \leq c_2 g(n). \quad (2)$$

- We say that $g(n)$ is an **asymptotic lower bound** of $f(n)$ and we write $f(n) \in \Omega(g(n))$ if there exists a strictly positive constants c_1 such that for n big enough we have

$$0 \leq c_1 g(n) \leq f(n). \quad (3)$$



Examples

- With $f(n) = \frac{1}{2}n^2 - 3n$ and $g(n) = n^2$ we have $f(n) \in \Theta(g(n))$.
Indeed we have

$$c_1 n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2 n^2. \quad (4)$$

for $n \geq 12$ with $c_1 = \frac{1}{4}$ and $c_2 = \frac{1}{2}$.

- Assume that there exists a positive integer n_0 such that $f(n) > 0$ and $g(n) > 0$ for every $n \geq n_0$. Then we have

$$\max(f(n), g(n)) \in \Theta(f(n) + g(n)). \quad (5)$$

Indeed we have

$$\frac{1}{2}(f(n) + g(n)) \leq \max(f(n), g(n)) \leq (f(n) + g(n)). \quad (6)$$

- Assume a and b are positive real constants. Then we have

$$(n + a)^b \in \Theta(n^b). \quad (7)$$

Indeed for $n \geq a$ we have

In practice \in is replaced by $=$ in each of the expressions $f(n) \in \Theta(g(n))$, $f(n) \in \mathcal{O}(g(n))$ and $f(n) \in \Omega(g(n))$. Hence, the following

$$f(n) = h(n) + \Theta(g(n)) \quad (10)$$

means

$$f(n) - h(n) \in \Theta(g(n)). \quad (11)$$

Another example

Let us give another fundamental example. Let $p(n)$ be a (univariate) polynomial with degree $d > 0$. Let a_d be its leading coefficient and assume $a_d > 0$. Then we have

- (1) if $k \geq d$ then $p(n) \in \mathcal{O}(n^k)$,
- (2) if $k \leq d$ then $p(n) \in \Omega(n^k)$,
- (3) if $k = d$ then $p(n) \in \Theta(n^k)$.

Exercise: Prove the following

$$\sum_{k=1}^{k=n} k \in \Theta(n^2). \quad (12)$$

Properties

- $f(n) \in \Theta(g(n))$ holds iff $f(n) \in \mathcal{O}(g(n))$ and $f(n) \in \Omega(g(n))$ hold together.
- Each of the predicates $f(n) \in \Theta(g(n))$, $f(n) \in \mathcal{O}(g(n))$ and $f(n) \in \Omega(g(n))$ define a reflexive and transitive binary relation among the \mathbb{N} -to- \mathbb{R} functions. Moreover $f(n) \in \Theta(g(n))$ is symmetric.
- We have the following **transposition formula**

$$f(n) \in \mathcal{O}(g(n)) \iff g(n) \in \Omega(f(n)). \quad (9)$$

In practice \in is replaced by $=$ in each of the expressions $f(n) \in \Theta(g(n))$, $f(n) \in \mathcal{O}(g(n))$ and $f(n) \in \Omega(g(n))$. Hence, the following

$$f(n) = h(n) + \Theta(g(n)) \quad (10)$$

means

$$f(n) - h(n) \in \Theta(g(n)). \quad (11)$$

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Divide-and-Conquer Algorithms

Divide-and-conquer algorithms proceed as follows.

Divide the input problem into sub-problems.

Conquer on the sub-problems by solving them directly if they are small enough or proceed recursively.

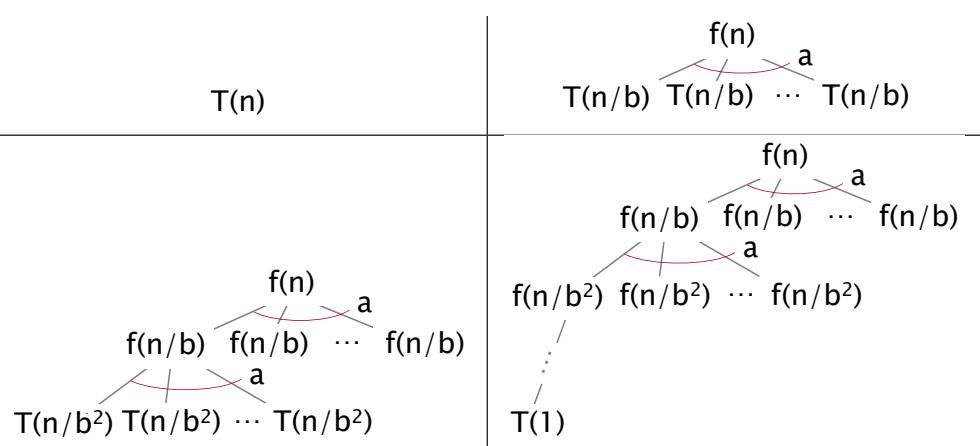
Combine the solutions of the sub-problems to obtain the solution of the input problem.

Equation satisfied by $T(n)$. Assume that the size of the input problem increases with an integer n . Let $T(n)$ be the time complexity of a divide-and-conquer algorithm to solve this problem. Then $T(n)$ satisfies an equation of the form:

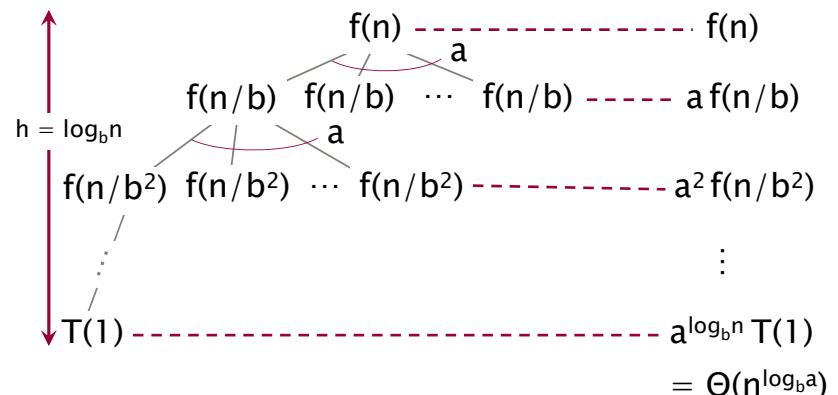
$$T(n) = a T(n/b) + f(n). \quad (13)$$

where $f(n)$ is the cost of the combine-part, $a \geq 1$ is the number of recursively calls and n/b with $b > 1$ is the size of a sub-problem.

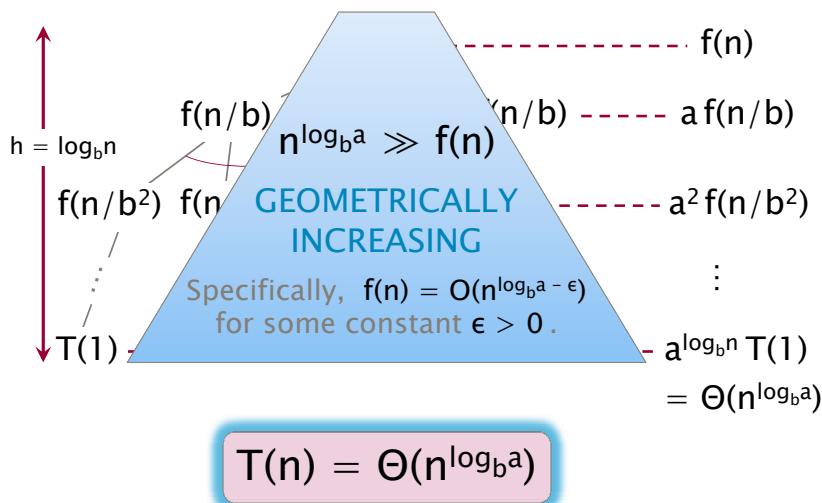
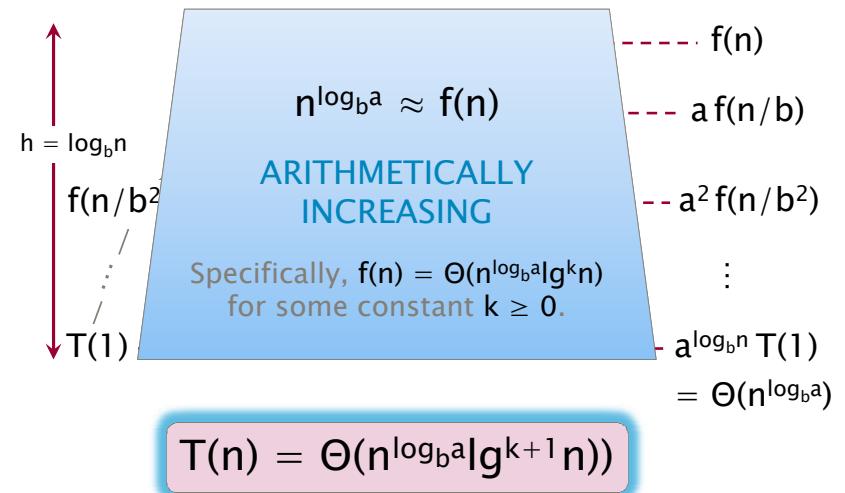
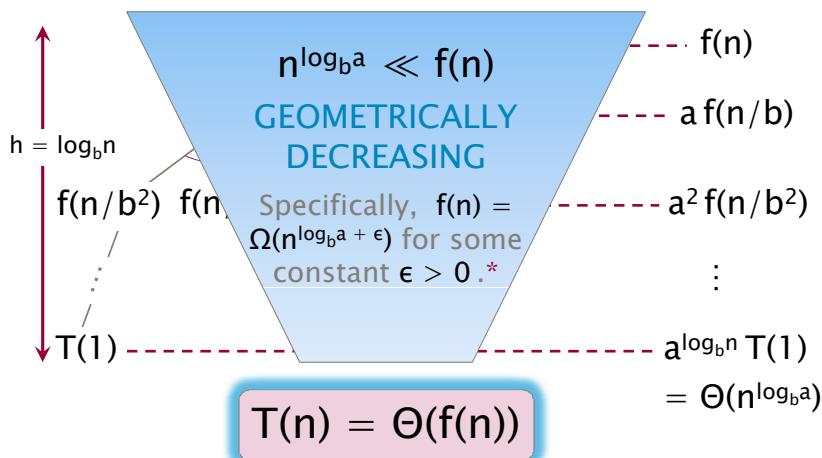
Solving divide-and-conquer recurrences (1/2)



Solving divide-and-conquer recurrences (2/2)



IDEA: Compare $n^{\log_b a}$ with $f(n)$.

Master Theorem: case $n^{\log_b a} \gg f(n)$ Master Theorem: case $f(n) \in \Theta(n^{\log_b a} \log^k n)$ Master Theorem: case where $f(n) \gg n^{\log_b a}$ 

*and $f(n)$ satisfies the *regularity condition* that $a f(n/b) \leq c f(n)$ for some constant $c < 1$.

More examples

- Consider the relation:

$$T(n) = 2 T(n/2) + n^2. \quad (14)$$

We obtain:

$$T(n) = n^2 + \frac{n^2}{2} + \frac{n^2}{4} + \frac{n^2}{8} + \cdots + \frac{n^2}{2^p} + n T(1). \quad (15)$$

Hence we have:

$$T(n) \in \Theta(n^2). \quad (16)$$

- Consider the relation:

$$T(n) = 3 T(n/3) + n. \quad (17)$$

We obtain:

$$T(n) \in \Theta(\log_3(n)n). \quad (18)$$

Master Theorem when $b = 2$

Let $a > 0$ be an integer and let $f, T : \mathbb{N} \rightarrow \mathbb{R}_+$ be functions such that

- (i) $f(2n) \geq 2f(n)$ and $f(n) \geq n$.
- (ii) If $n = 2^p$ then $T(n) \leq aT(n/2) + f(n)$.

Then for $n = 2^p$ we have

- (1) if $a = 1$ then

$$T(n) \leq (2 - 2/n)f(n) + T(1) \in \mathcal{O}(f(n)), \quad (19)$$

- (2) if $a = 2$ then

$$T(n) \leq f(n)\log_2(n) + T(1)n \in \mathcal{O}(\log_2(n)f(n)), \quad (20)$$

- (3) if $a \geq 3$ then

$$T(n) \leq \frac{2}{a-2} \left(n^{\log_2(a)-1} - 1 \right) f(n) + T(1) n^{\log_2(a)} \in \mathcal{O}(f(n) n^{\log_2(a)-1}). \quad (21)$$

Master Theorem when $b = 2$

Indeed

$$\begin{aligned} T(2^p) &\leq aT(2^{p-1}) + f(2^p) \\ &\leq a[aT(2^{p-2}) + f(2^{p-1})] + f(2^p) \\ &= a^2T(2^{p-2}) + af(2^{p-1}) + f(2^p) \\ &\leq a^2[aT(2^{p-3}) + f(2^{p-2})] + af(2^{p-1}) + f(2^p) \\ &= a^3T(2^{p-3}) + a^2f(2^{p-2}) + af(2^{p-1}) + f(2^p) \\ &\leq a^p T(s1) + \sum_{j=0}^{j=p-1} a^j f(2^{p-j}) \end{aligned} \quad (22)$$

Master Theorem when $b = 2$

Moreover

$$\begin{aligned} f(2^p) &\geq 2f(2^{p-1}) \\ f(2^p) &\geq 2^2f(2^{p-2}) \\ \vdots &\vdots \\ f(2^p) &\geq 2^j f(2^{p-j}) \end{aligned} \quad (23)$$

Thus

$$\sum_{j=0}^{j=p-1} a^j f(2^{p-j}) \leq f(2^p) \sum_{j=0}^{j=p-1} \left(\frac{a}{2}\right)^j. \quad (24)$$

Master Theorem when $b = 2$

Hence

$$T(2^p) \leq a^p T(1) + f(2^p) \sum_{j=0}^{j=p-1} \left(\frac{a}{2}\right)^j. \quad (25)$$

For $a = 1$ we obtain

$$\begin{aligned} T(2^p) &\leq T(1) + f(2^p) \sum_{j=0}^{j=p-1} \left(\frac{1}{2}\right)^j \\ &= T(1) + f(2^p) \frac{\frac{1}{2}^p - 1}{\frac{1}{2} - 1} \\ &= T(1) + f(n)(2 - 2/n). \end{aligned} \quad (26)$$

For $a = 2$ we obtain

$$\begin{aligned} T(2^p) &\leq 2^p T(1) + f(2^p)p \\ &= n T(1) + f(n) \log_2(n). \end{aligned} \quad (27)$$

Master Theorem cheat sheet

For $a \geq 1$ and $b > 1$, consider again the equation

$$T(n) = a T(n/b) + f(n). \quad (28)$$

Master Theorem quizz!

- $T(n) = 4T(n/2) + n$

- $T(n) = 4T(n/2) + n^2$

- $T(n) = 4T(n/2) + n^3$

- $T(n) = 4T(n/2) + n^2/\log n$

- We have:

$$(\exists \varepsilon > 0) f(n) \in O(n^{\log_b a - \varepsilon}) \implies T(n) \in \Theta(n^{\log_b a}) \quad (29)$$

- We have:

$$(\exists \varepsilon > 0) f(n) \in \Theta(n^{\log_b a} \log^k n) \implies T(n) \in \Theta(n^{\log_b a} \log^{k+1} n) \quad (30)$$

- We have:

$$(\exists \varepsilon > 0) f(n) \in \Omega(n^{\log_b a + \varepsilon}) \implies T(n) \in \Theta(f(n)) \quad (31)$$

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Plan

Matrix multiplication

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$$\begin{pmatrix} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & \dots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \dots & c_{nn} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \dots & b_{nn} \end{pmatrix}$$

C A B

We will study three approaches:

- a naive and iterative one
- a divide-and-conquer one
- a divide-and-conquer one with memory management consideration

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Naive iterative matrix multiplication

```
cilk_for (int i=1; i<n; ++i) {
    cilk_for (int j=0; j<n; ++j) {
        for (int k=0; k<n; ++k) {
            C[i][j] += A[i][k] * B[k][j];
        }
    }
}
```

Naive iterative matrix multiplication

```
cilk_for (int i=1; i<n; ++i) {
    cilk_for (int j=0; j<n; ++j) {
        for (int k=0; k<n; ++k) {
            C[i][j] += A[i][k] * B[k][j];
        }
    }
}
```

- **Work:** ?
- **Span:** ?
- **Parallelism:** ?

- **Work:** $\Theta(n^3)$
- **Span:** $\Theta(n)$
- **Parallelism:** $\Theta(n^2)$

Matrix multiplication based on block decomposition

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \cdot \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$= \begin{bmatrix} A_{11}B_{11} & A_{11}B_{12} \\ A_{21}B_{11} & A_{21}B_{12} \end{bmatrix} + \begin{bmatrix} A_{12}B_{21} & A_{12}B_{22} \\ A_{22}B_{21} & A_{22}B_{22} \end{bmatrix}$$

The divide-and-conquer approach is simply the one based on blocking, presented in the first lecture.

Divide-and-conquer matrix multiplication

```
// C <- C + A * B
void MMult(T *C, T *A, T *B, int n, int size) {
    T *D = new T[n*n];
    //base case & partition matrices
    cilk_spawn MMult(C11, A11, B11, n/2, size);
    cilk_spawn MMult(C12, A11, B12, n/2, size);
    cilk_spawn MMult(C22, A21, B12, n/2, size);
    cilk_spawn MMult(C21, A21, B11, n/2, size);
    cilk_spawn MMult(D11, A12, B21, n/2, size);
    cilk_spawn MMult(D12, A12, B22, n/2, size);
    cilk_spawn MMult(D22, A22, B22, n/2, size);
    MMult(D21, A22, B21, n/2, size);

    cilk_sync;
    MAdd(C, D, n, size); // C += D;
    delete[] D;
}
```

Work ? Span ? Parallelism ?

Divide-and-conquer matrix multiplication

```
void MMult(T *C, T *A, T *B, int n, int size) {
    T *D = new T[n*n];
    //base case & partition matrices
    cilk_spawn MMult(C11, A11, B11, n/2, size);
    cilk_spawn MMult(C12, A11, B12, n/2, size);
    cilk_spawn MMult(C22, A21, B12, n/2, size);
    cilk_spawn MMult(C21, A21, B11, n/2, size);
    cilk_spawn MMult(D11, A12, B21, n/2, size);
    cilk_spawn MMult(D12, A12, B22, n/2, size);
    cilk_spawn MMult(D22, A22, B22, n/2, size);
    MMult(D21, A22, B21, n/2, size);
    cilk_sync; MAdd(C, D, n, size); // C += D;
    delete[] D; }
```

- $A_p(n)$ and $M_p(n)$: times on p proc. for $n \times n$ ADD and MULT.
- $A_1(n) = 4A_1(n/2) + \Theta(1) = \Theta(n^2)$
- $A_\infty(n) = A_\infty(n/2) + \Theta(1) = \Theta(\lg n)$
- $M_1(n) = 8M_1(n/2) + A_1(n) = 8M_1(n/2) + \Theta(n^2) = \Theta(n^3)$
- $M_\infty(n) = M_\infty(n/2) + \Theta(\lg n) = \Theta(\lg^2 n)$
- $M_1(n)/M_\infty(n) = \Theta(n^3/\lg^2 n)$

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Matrix Multiplication

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```
template <typename T>
void MMult2(T *C, T *A, T *B, int n, int size) {
    //base case & partition matrices
    cilk_spawn MMult2(C11, A11, B11, n/2, size);
    cilk_spawn MMult2(C12, A11, B12, n/2, size);
    cilk_spawn MMult2(C22, A21, B12, n/2, size);
    MMult2(C21, A21, B11, n/2, size);
    cilk_sync;
    cilk_spawn MMult2(C11, A12, B21, n/2, size);
    cilk_spawn MMult2(C12, A12, B22, n/2, size);
    cilk_spawn MMult2(C22, A22, B22, n/2, size);
    MMult2(C21, A22, B21, n/2, size);
    cilk_sync; }
```

Work ? Span ? Parallelism ?



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Divide-and-conquer matrix multiplication: No temporaries!

Plan

```
template <typename T>
void MMult2(T *C, T *A, T *B, int n, int size) {
    //base case & partition matrices
    cilk_spawn MMult2(C11, A11, B11, n/2, size);
    cilk_spawn MMult2(C12, A11, B12, n/2, size);
    cilk_spawn MMult2(C22, A21, B12, n/2, size);
    MMult2(C21, A21, B11, n/2, size);
    cilk_sync;
    cilk_spawn MMult2(C11, A12, B21, n/2, size);
    cilk_spawn MMult2(C12, A12, B22, n/2, size);
    cilk_spawn MMult2(C22, A22, B22, n/2, size);
    MMult2(C21, A22, B21, n/2, size);
    cilk_sync; }
```

- $MA_p(n)$: time on p proc. for $n \times n$ MULT-ADD.
- $MA_1(n) = \Theta(n^3)$
- $MA_\infty(n) = 2MA_\infty(n/2) + \Theta(1) = \Theta(n)$
- $MA_1(n)/MA_\infty(n) = \Theta(n^2)$
- Besides, saving space often saves time due to hierarchical memory.

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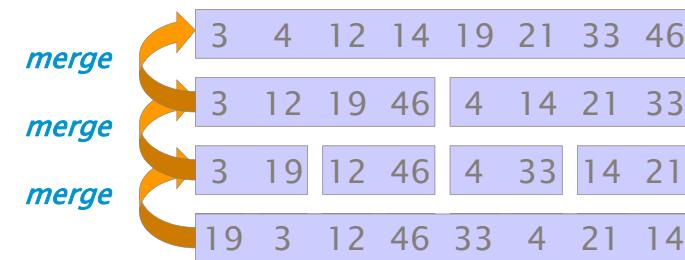
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Merging two sorted arrays

```
void Merge(T *C, T *A, T *B, int na, int nb) {
    while (na>0 && nb>0) {
        if (*A <= *B) {
            *C++ = *A++;
            na--;
        } else {
            *C++ = *B++;
            nb--;
        }
    }
    while (na>0) {
        *C++ = *A++;
        na--;
    }
    while (nb>0) {
        *C++ = *B++;
        nb--;
    }
}
```

Time for merging n elements is $\Theta(n)$.

Merge sort



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Parallel merge sort with serial merge

```
template <typename T>
void MergeSort(T *B, T *A, int n) {
    if (n==1) {
        B[0] = A[0];
    } else {
        T* C[n];
        cilk_spawn MergeSort(C, A, n/2);
        MergeSort(C+n/2, A+n/2, n-n/2);
        cilk_sync;
        Merge(B, C, C+n/2, n/2, n-n/2);
    }
}
```

- Work?
- Span?

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Parallel merge sort with serial merge

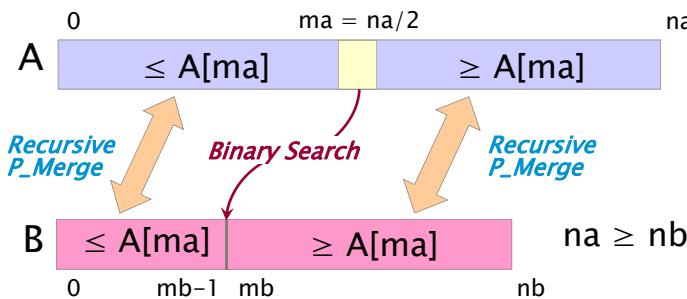
```
template <typename T>
void MergeSort(T *B, T *A, int n) {
    if (n==1) {
        B[0] = A[0];
    } else {
        T* C[n];
        cilk_spawn MergeSort(C, A, n/2);
        MergeSort(C+n/2, A+n/2, n-n/2);
        cilk_sync;
        Merge(B, C, C+n/2, n/2, n-n/2);
    }
}
```

- $T_1(n) = 2T_1(n/2) + \Theta(n)$ thus $T_1(n) = \Theta(n \lg n)$.
- $T_\infty(n) = T_\infty(n/2) + \Theta(n)$ thus $T_\infty(n) = \Theta(n)$.
- $T_1(n)/T_\infty(n) = \Theta(\lg n)$. **Puny parallelism!**
- We need to parallelize the merge!

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Parallel merge



Idea: if the total number of elements to be sorted in $n = n_a + n_b$ then the maximum number of elements in any of the two merges is at most $3n/4$.

Parallel merge

```
template <typename T>
void P_Merge(T *C, T *A, T *B, int na, int nb) {
    if (na < nb) {
        P_Merge(C, B, A, nb, na);
    } else if (na==0) {
        return;
    } else {
        int ma = na/2;
        int mb = BinarySearch(A[ma], B, nb);
        C[ma+mb] = A[ma];
        cilk_spawn P_Merge(C, A, B, ma, mb);
        P_Merge(C+ma+mb+1, A+ma+1, B+mb, na-ma-1, nb-mb);
        cilk_sync;
    }
}
```

- One should coarse the base case for efficiency.
- Work? Span?**

Parallel merge

```
template <typename T>
void P_Merge(T *C, T *A, T *B, int na, int nb) {
    if (na < nb) {
        P_Merge(C, B, A, nb, na);
    } else if (na==0) {
        return;
    } else {
        int ma = na/2;
        int mb = BinarySearch(A[ma], B, nb);
        C[ma+mb] = A[ma];
        cilk_spawn P_Merge(C, A, B, ma, mb);
        P_Merge(C+ma+mb+1, A+ma+1, B+mb, na-ma-1, nb-mb);
        cilk_sync;
    }
}
```

- Let $PM_p(n)$ be the p -processor running time of P-MERGE.
- In the worst case, the span of P-MERGE is

$$PM_\infty(n) \leq PM_\infty(3n/4) + \Theta(\lg n) = \Theta(\lg^2 n)$$

- The worst-case work of P-MERGE satisfies the recurrence

$$PM_1(n) \leq PM_1(\alpha n) + PM_1((1-\alpha)n) + \Theta(\lg n)$$

Analyzing parallel merge

- Recall $PM_1(n) \leq PM_1(\alpha n) + PM_1((1-\alpha)n) + \Theta(\lg n)$ for some $1/4 \leq \alpha \leq 3/4$.
- To solve this **hairy equation** we use the substitution method.
- We assume there exist some constants $a, b > 0$ such that $PM_1(n) \leq an - b \lg n$ holds for all $1/4 \leq \alpha \leq 3/4$.
- After substitution, this hypothesis implies:
 $PM_1(n) \leq an - b \lg n - b \lg n + \Theta(\lg n)$.
- We can pick b large enough such that we have $PM_1(n) \leq an - b \lg n$ for all $1/4 \leq \alpha \leq 3/4$ and all $n > 1$ /
- Then pick a large enough to satisfy the base conditions.
- Finally we have $PM_1(n) = \Theta(n)$.

Parallel merge sort with parallel merge

```
template <typename T>
void P_MergeSort(T *B, T *A, int n) {
    if (n==1) {
        B[0] = A[0];
    } else {
        T C[n];
        cilk_spawn P_MergeSort(C, A, n/2);
        P_MergeSort(C+n/2, A+n/2, n-n/2);
        cilk_sync;
        P_Merge(B, C, C+n/2, n/2, n-n/2);
    }
}
```

- Work?
- Span?

(Moreno Maza)

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Plan

- 1 Review of Complexity Notions
- 2 Divide-and-Conquer Recurrences
- 3 Matrix Multiplication
- 4 Merge Sort
- 5 Tableau Construction

Parallel merge sort with parallel merge

```
template <typename T>
void P_MergeSort(T *B, T *A, int n) {
    if (n==1) {
        B[0] = A[0];
    } else {
        T C[n];
        cilk_spawn P_MergeSort(C, A, n/2);
        P_MergeSort(C+n/2, A+n/2, n-n/2);
        cilk_sync;
        P_Merge(B, C, C+n/2, n/2, n-n/2);
    }
}
```

- The work satisfies $T_1(n) = 2T_1(n/2) + \Theta(n)$ (as usual) and we have $T_1(n) = \Theta(n \log(n))$.
- The worst case critical-path length of the MERGE-SORT now satisfies

$$T_\infty(n) = T_\infty(n/2) + \Theta(\lg^2 n) = \Theta(\lg^3 n)$$

- The parallelism is now $\Theta(n \lg n)/\Theta(\lg^3 n) = \Theta(n/\lg^2 n)$.

(Moreno Maza)

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Tableau Construction

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Tableau construction

00	01	02	03	04	05	06	07
10	11	12	13	14	15	16	17
20	21	22	23	24	25	26	27
30	31	32	33	34	35	36	37
40	41	42	43	44	45	46	47
50	51	52	53	54	55	56	57
60	61	62	63	64	65	66	67
70	71	72	73	74	75	76	77

Constructing a tableau A satisfying a relation of the form:

$$A[i, j] = R(A[i - 1, j], A[i - 1, j - 1], A[i, j - 1]). \quad (32)$$

The work is $\Theta(n^2)$.

(Moreno Maza)

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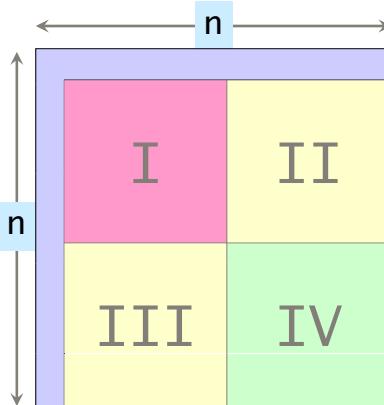
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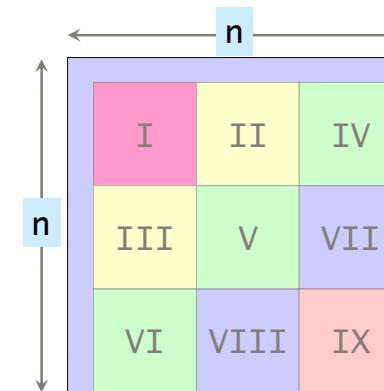
Recursive construction



Parallel code

```
I;
cilk_spawn II;
III;
cilk_sync;
IV;
```

A more parallel construction



```
I;
cilk_spawn II;
III;
cilk_sync;
cilk_spawn IV;
cilk_spawn V;
VI;
cilk_sync;
cilk_spawn VII;
VIII;
cilk_sync;
IX;
```

- $T_1(n) = 4T_1(n/2) + \Theta(1)$, thus $T_1(n) = \Theta(n^2)$.
- $T_\infty(n) = 3T_\infty(n/2) + \Theta(1)$, thus $T_\infty(n) = \Theta(n^{\log_2 3})$.
- **Parallelism:** $\Theta(n^{2-\log_3 5}) = \Omega(n^{0.41})$.
- $T_1(n) = 9T_1(n/3) + \Theta(1)$, thus $T_1(n) = \Theta(n^2)$.
- $T_\infty(n) = 5T_\infty(n/3) + \Theta(1)$, thus $T_\infty(n) = \Theta(n^{\log_3 5})$.
- **Parallelism:** $\Theta(n^{2-\log_3 5}) = \Omega(n^{0.53})$.
- This nine-way d-n-c has more parallelism than the four way but exhibits more cache complexity (more on this later).

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