

Regular chain theory

Marc Moreno Maza

CS 9652, March 30, 2020

Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

How triangular decompositions look like?

For the following input polynomial system:

$$F : \begin{cases} x^2 + y + z = 1 \\ x + y^2 + z = 1 \\ x + y + z^2 = 1 \end{cases}$$

One possible triangular decompositions of the solution set of F is:

$$\begin{cases} z = 0 \\ y = 1 \\ x = 0 \end{cases} \cup \begin{cases} z = 0 \\ y = 0 \\ x = 1 \end{cases} \cup \begin{cases} z = 1 \\ y = 0 \\ x = 0 \end{cases} \cup \begin{cases} z^2 + 2z - 1 = 0 \\ y = z \\ x = z \end{cases}$$

Another one is:

$$\begin{cases} z = 0 \\ y^2 - y = 0 \\ x + y = 1 \end{cases} \cup \begin{cases} z^3 + z^2 - 3z = -1 \\ 2y + z^2 = 1 \\ 2x + z^2 = 1 \end{cases}$$

An example in positive dimension

- Every prime ideal $\mathcal{P} = \langle F \rangle$ in a polynomial ring $\mathbb{K}[x_1, \dots, x_n]$ may be **represented** by a **triangular set** T encoding the **generic zeros** of \mathcal{P} .

$$F = \begin{cases} ax + by - c \\ dx + ey - f \\ gx + hy - i \end{cases} \simeq T = \begin{cases} gx + hy - i \\ (hd - eg)y - id + fg \\ (ie - fh)a + (ch - ib)d + (fb - ce)g \end{cases}$$

- All the common zeros** of every polynomial system can be decomposed into **finitely many** triangular sets.

$$\mathbf{V}(\mathcal{P}) = \mathbf{W}(T) \cup \mathbf{W} \left\{ \begin{array}{l} dx + ey - f \\ hy - i \\ (ie - fh)a + (-ib + ch)d \\ g \end{array} \right. \cup \mathbf{W} \left\{ \begin{array}{l} gx + hy - i \\ (ha - bg)y - ia + cg \\ hd - eg \\ ie - fh \end{array} \right.$$

$$\cup \mathbf{W} \left\{ \begin{array}{l} x \\ (hd - eg)y - id + fg \\ fb - ce \\ ie - fh \end{array} \right. \cup \mathbf{W} \left\{ \begin{array}{l} ax + by - c \\ hy - i \\ d \\ g \\ ie - fh \end{array} \right. \cup \dots$$

where $\mathbf{W}(T)$ denotes the generic zeros of T . We have : $\mathbf{W}(T) \subseteq \mathbf{V}(T)$.

How to compute triangular decompositions?

- Consider again solving the system F for $x > y > z$:

$$F : \begin{cases} x^2 + y + z = 1 \\ x + y^2 + z = 1 \\ x + y + z^2 = 1 \end{cases}$$

- Eliminating x leads to
$$\begin{cases} y^2 + (-1 + 2z^2)y - 2z^2 + z + z^4 = 0 \\ y^2 + z - y - z^2 = 0 \end{cases}$$
- Eliminating y^2 and then y we can arrive to $r(z) = 0$ with $r(z) = z^8 - 4z^6 + 4z^5 - z^4$.
- Factorizing $r(z)$ leads to $z^4(z^2 + 2z - 1)(z - 1)^2 = 0$ and thus to $z = 0$, $z = 1$ or $z^2 + 2z = 1$. In each case, it is easy to conclude either by substitution, or by GCD computation in $(\mathbb{Q}[z]/\langle z^2 + 2z - 1 \rangle)[y]$.
- Alternatively, one can directly perform GCD computation in $(\mathbb{Q}[z]/\langle r(z) \rangle)[y]$. But this is unusual since $\mathbb{Q}[z]/\langle r(z) \rangle$ is not a field! Let us see this now.

Computing a polynomial GCD over a ring with zero-divisors (I)

- Let us consider again the polynomials

$$\begin{cases} f_1 = y^2 + (2z^2 - 1)y - 2z^2 + z + z^4 \\ f_2 = y^2 + z - y - z^2 \end{cases}$$

- Let us compute their GCD in $\mathbb{L}[y]$ with $\mathbb{L} = \mathbb{Q}[z]/\langle s(z) \rangle$ where $s(z) = z(z^2 + 2z - 1)(z - 1)$ is the squarefree part of $r(z)$. (Replacing $r(z)$ with $s(z)$ makes the story simpler.)
- We proceed **as if \mathbb{L} were a field** and run the **Euclidean Algorithm in $\mathbb{L}[y]$** . Of course, before dividing by an element of \mathbb{L} we check whether it is a zero-divisor. We pretend we are not aware of the factorization of $s(z)$.

- Dividing f_1 by f_2 is no problem since f_2 is monic. We obtain:
$$f_1 \mid f_2$$
$$f_3 \mid 1$$
with $f_3 = 2z^2y - z^2 + 2z^2 - z$.

Computing a polynomial GCD over a ring with zero-divisors (II)

- In order to divide f_2 by f_3 , we need to check whether $2z^2$ divides zero in \mathbb{L} . This is done by computing $\gcd(s(z), 2z^2)$ in $\mathbb{Q}[z]$, which is z .
- Hence $s(z)$ writes $z(z^3 + z^2 - 3z + 1)$ and we split the computations into two cases: $z = 0$ and $z^3 + z^2 - 3z = 1$.

• Case $z = 0$. Then $f_3 = 0$ and $f_2 = y^2 - y$ is the GCD.

• Case $z^3 + z^2 - 3z = -1$. Since $S(z)$ is square-free, $2z^2$ has an inverse in this case, namely $i(z) = -(3/2)z^2 - 2z + 4$.

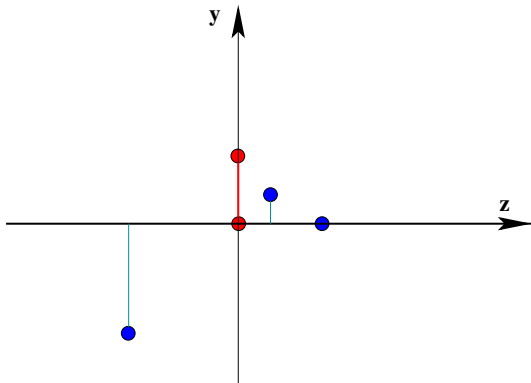
• Thus, the polynomial $\tilde{f}_3 = i(z)f_3 = y + (1/2)z^2 - (1/2)$ is monic. So, we

can compute
$$\begin{array}{l|l} f_2 & \tilde{f}_3 \\ 0 & y - (1/2)z^2 - (1/2) \end{array}.$$

• Finally $\gcd(f_1, f_2, \mathbb{L}[y]) = \begin{cases} y^2 - y & \text{if } z = 0 \\ 2y + z^2 - 1 & \text{if } z^3 + z^2 - 3z = -1 \end{cases}$

How those triangular sets look like? (I)

- Let us consider again the system
$$\begin{cases} y^2 + (-1 + 2z^2)y - 2z^2 + z + z^4 = 0 \\ y^2 + z - y - z^2 = 0 \end{cases}$$
- Let α_1 and α_2 be the roots of $z^2 + 2z - 1 = 0$. After dropping multiplicities, we obtain $(z, y) \in \{(0, 0), (0, 1), (\alpha_1, \alpha_1), (\alpha_2, \alpha_2), (1, 0)\}$.



How to pass from one triangular decomposition to another?

$$\left\{ \begin{array}{l} z = 0 \\ y = 1 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z = 0 \\ y = 0 \\ x = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z = 1 \\ y = 0 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z^2 + 2z - 1 = 0 \\ y = z \\ x = z \end{array} \right.$$

$$\begin{array}{c} \downarrow \text{CRT} \downarrow \\ \left\{ \begin{array}{l} z = 0 \\ y^2 - y = 0 \\ x + y = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z = 1 \\ y = 0 \\ x = 0 \end{array} \right. \cup \left\{ \begin{array}{l} z^2 + 2z - 1 = 0 \\ y = z \\ x = z \end{array} \right. \end{array}$$

$$\begin{array}{c} \downarrow \text{CRT} \downarrow \\ \left\{ \begin{array}{l} z = 0 \\ y^2 - y = 0 \\ x + y = 1 \end{array} \right. \cup \left\{ \begin{array}{l} z^3 + z^2 - 3z = -1 \\ 2y + z^2 = 1 \\ 2x + z^2 = 1 \end{array} \right. \end{array}$$

From a lexicographical Gröbner basis to a triangular decomposition (I)

- Let us consider again (last time) the polynomials

$$\begin{cases} f_1 = y^2 + (2z^2 - 1)y - 2z^2 + z + z^4 \\ f_2 = y^2 + z - y - z^2 \end{cases}$$

- It is natural to ask how we could obtain a triangular decomposition from the reduced lexicographical Gröbner basis of $\{f_1, f_2\}$ for $y > z$. This

basis is:
$$\begin{cases} g_1 = z^6 - 4z^4 + 4z^3 - z^2 \\ g_2 = 2z^2y + z^4 - z^2 \\ g_3 = y^2 - y - z^2 + z \end{cases}$$

- We initialize $T := \{g_1\}$. We would **add** g_2 into T provided that $\text{lc}(g_2, y)$ is a **unit**.

From a lexicographical Gröbner basis to a triangular decomposition (II)

- So, we compute $\gcd(2z^2, g_1, \mathbb{Q}[z]) = z^2$. This shows $g_1 = z^2(z^4 - 4z^2 + 4z - 1)$ and splits the computations into two cases.
- **Case $z^2 = 0$.** In this case g_2 **vanishes** and $g_3 = y^2 - y + z$, leading to $T^1 := \{z^2, y^2 - y + z\}$
- **Case $z^4 - 4z^2 + 4z - 1$.** In this case $\text{lc}(g_2, y)$ has $2z^3 + (1/2)z^2 - 8z + 6$ for **inverse**. Multiplying g_2 by this inverse leads to $\tilde{g}_2 = y + (1/2)z^2 - (1/2)$. Then, we observe that
$$\begin{array}{l|l} g_3 & \tilde{g}_2 \\ 0 & y - (1/2)z^2 - (1/2) \end{array}$$
 leading to a second component $T^2 := \{z^4 - 4z^2 + 4z - 1, 2y + 1z^2 - 1\}$.
- For more details: **(Gianni, 1987), (Kalkbrener, 1987), (Lazard, 1992)**.

Some notations before we start the theory (I)

Notation

Throughout the talk, we consider a field \mathbb{K} and an ordered set $X = x_1 < \dots < x_n$ of n variables. Typically \mathbb{K} will be

- a **finite field**, such as $\mathbb{Z}/p\mathbb{Z}$ for a prime p , or
- the field \mathbb{Q} of **rational numbers**, or
- a field of **rational functions** over $\mathbb{Z}/p\mathbb{Z}$ or \mathbb{Q} .

We will denote by $\overline{\mathbb{K}}$ the **algebraic closure** of \mathbb{K} .

Notation

We denote by $\mathbb{K}[x_1, \dots, x_n]$ the ring of the polynomials with coefficients in \mathbb{K} and variables in X . For $F \subset \mathbb{K}[x_1, \dots, x_n]$, we write $\langle F \rangle$ and $\sqrt{\langle F \rangle}$ for the ideal generated by F in $\mathbb{K}[x_1, \dots, x_n]$ and its radical, respectively.

Notation

For $F \subset \mathbb{K}[x_1, \dots, x_n]$, we are interested in

$$V(F) = \{\zeta \in \overline{\mathbb{K}}^n \mid (\forall f \in F) f(\zeta) = 0\},$$

the **zero-set** of F or **algebraic variety** of F in $\overline{\mathbb{K}}^n$.

Remark

In some circumstances $\overline{\mathbb{K}}^n$ will be denoted $A^n(\overline{\mathbb{K}})$, especially when we consider several n at the same time.

Some notations before we start the theory (II)

Notation

Let i and j be integers such that $1 \leq i \leq j \leq n$ and let $V \subseteq A^n(\overline{\mathbb{K}})$ be a variety over \mathbb{K} . We denote by π_i^j the natural projection map from $A^j(\overline{\mathbb{K}})$ to $A^i(\overline{\mathbb{K}})$, which sends (x_1, \dots, x_j) to (x_1, \dots, x_i) . Moreover, we define $V_i = \pi_i^n(V)$. Often, we will restrict π_i^j from V_i to V_j .

Notation

The algebraic varieties in $\overline{\mathbb{K}}^n$ defined by polynomial sets of $\mathbb{K}[x_1, \dots, x_n]$ form the **closed sets** of a topology, called **Zariski Topology**. For a subset $W \subset \overline{\mathbb{K}}^n$, we denote by \overline{W} the closure of W for this topology, that is, the intersection of the $V(F)$ containing W , for all $F \in \mathbb{K}[x_1, \dots, x_n]$.

Notation

For $W \subset \overline{\mathbb{K}}^n$, we denote by $I(W)$ the ideal of $\mathbb{K}[x_1, \dots, x_n]$ generated by the polynomials vanishing at every point of W .

Remark

When $\mathbb{K} = \overline{\mathbb{K}}$ and $W = V(F)$, for some $F \in \mathbb{K}[x_1, \dots, x_n]$, recall the Hilbert Theorem of Zeros:

$$\sqrt{\langle F \rangle} = I(V(F)).$$

Lazard triangular sets

Definition

(Lazard, 1992) A subset

$$T = \{T_1, \dots, T_n\} \subset \mathbb{K}[x_1 < \dots < x_n]$$

is a **Lazard triangular set** if for $i = 1 \dots n$

$$T_i = 1x_i^{d_i} + a_{d_i-1}x_i^{d_i-1} + \dots + a_1x_i + a_0$$

with

$$a_{d_i-1}, \dots, a_1, a_0 \in \mathbf{k}[x_1, \dots, x_{i-1}].$$

reduced w.r.t $\langle T_1, \dots, T_{i-1} \rangle$ in the sense of Gröbner bases.

Theorem

A family T of n polynomials in $\mathbb{K}[x_1 < \dots < x_n]$ is a

Lazard triangular set if and only if it is the **reduced lexicographical Gröbner basis** of a **zero-dimensional ideal**.

How those triangular sets look like? (II)

Notation

Let $T = \{T_1, \dots, T_n\} \subset \mathbb{K}[x_1, \dots, x_n]$ be a Lazard triangular set. Let V be its variety in $A^n(\overline{\mathbb{K}})$. Let $d_1 = \deg(T_1, x_1), \dots, d_n = \deg(T_n, x_n)$.

Notation

For $1 \leq i < j \leq n$, recall that

$$\pi_i^j : \begin{array}{ccc} V_j & \longmapsto & V_i \\ (x_1, \dots, x_j) & \rightarrow & (x_1, \dots, x_i) \end{array}$$

where $V_i = \pi_i^n(V)$ and $V_j = \pi_j^n(V)$.

Proposition

For a point $M \in V_i$ the fiber (i.e. the pre-image) $(\pi_i^j)^{-1}(M)$ has cardinality $d_{i+1} \cdots d_j$, that is

$$|(\pi_i^j)^{-1}(M)| = d_{i+1} \cdots d_j.$$

Equiprojectable varieties

Definition

Let i and j be integers such that $1 \leq i < j \leq n$ and let $V \subseteq A^j(\overline{\mathbb{K}})$ be a variety over \mathbb{K} . The set V is said

- (1) **equiprojectable on** V_i , its projection on $A^i(\overline{\mathbb{K}})$, if there exists an integer c such that for every $M \in V_i$ the cardinality of $(\pi_i^j)^{-1}(M)$ is c .
- (2) **equiprojectable** if V is equiprojectable on V_1, \dots, V_{j-1} .

Theorem

(Aubry & Valibouze, 2000) Assume \mathbb{K} is **perfect** and let $V \subset A^n(\overline{\mathbb{K}})$ be finite. Assume that there exists $F \subset \mathbb{K}[x_1, \dots, x_n]$ such that $V = V(F)$.

Then, the following conditions are equivalent:

- (1) V is equiprojectable,
- (2) There exists a Lazard Triangular set $T \subset \mathbb{K}[x_1, \dots, x_n]$ whose zero-set in $A^n(\overline{\mathbb{K}})$ is exactly V .

Proof.

For proving (1) \Rightarrow (2) one can use the **interpolation formulas** of **(Dahan & Schost, 2004)** to construct a Lazard triangular set in $\overline{\mathbb{K}}[x_1, \dots, x_n]$. To conclude, one uses the hypothesis \mathbb{K} perfect, $V = V(F)$ together with the Hilbert Theorem of Zeros.

The interpolation formulas: sketch (I)

- Let $V \subset A^n(\overline{\mathbb{K}})$ be (finite and) equiprojectable. Let \mathbf{K} be a field, with $\mathbb{K} \subseteq \mathbf{K} \subseteq \overline{\mathbb{K}}$ such that every point of V has its coordinates in \mathbf{K} .
- We have $T_1 = \prod_{\alpha \in V_1} (x_1 - \alpha)$. Let $1 \leq \ell < n$. We give interpolation formulas for $T_{\ell+1}$ from the coordinates (in \mathbf{K}) of the points of $V_{\ell+1}$, for $1 \leq \ell < n$.
- Let $\alpha = (\alpha_1, \dots, \alpha_\ell) \in V_\ell$. We define the varieties

$$\begin{array}{ll}
 V_\alpha^1 & = \{ \beta = (\beta_1, \dots, \beta_\ell, \beta_{\ell+1}) \in V_{\ell+1} \mid \beta_1 \neq \alpha_1 \} \\
 V_\alpha^2 & = \{ \beta = (\alpha_1, \beta_2, \dots, \beta_\ell, \beta_{\ell+1}) \in V_{\ell+1} \mid \beta_2 \neq \alpha_2 \} \\
 \dots & \dots \qquad \qquad \qquad \dots \qquad \qquad \qquad \dots \\
 V_\alpha^\ell & = \{ \beta = (\alpha_1, \dots, \alpha_{\ell-1}, \beta_\ell, \beta_{\ell+1}) \in V_{\ell+1} \mid \beta_\ell \neq \alpha_\ell \} \\
 V_\alpha^{\ell+1} & = \{ \beta = (\alpha_1, \dots, \alpha_\ell, \beta_{\ell+1}) \in V_{\ell+1} \}
 \end{array}$$

The sets $V_\alpha^1, V_\alpha^2, V_\alpha^3, \dots, V_\alpha^\ell, V_\alpha^{\ell+1}$ form a partition of $V_{\ell+1}$.

- The intermediate goal is to build $T_{\alpha, \ell+1} = T_i(\alpha_1, \dots, \alpha_\ell, x_{\ell+1}) \in \mathbf{K}[x_{\ell+1}]$.

The interpolation formulas: sketch (II)

- We consider also the projections

$$\begin{array}{rclcl}
 v_{\alpha}^1 & = & \pi_1^{\ell+1}(V_{\alpha}^1) & = & \{(\beta_1) \in V_1 \mid \beta_1 \neq \alpha_1\} \\
 v_{\alpha}^2 & = & \pi_2^{\ell+1}(V_{\alpha}^2) & = & \{(\alpha_1, \beta_2) \in V_2 \mid \beta_2 \neq \alpha_2\} \\
 \dots & \dots & \dots & \dots & \dots \\
 v_{\alpha}^{\ell} & = & \pi_{\ell}^{\ell+1}(V_{\alpha}^{\ell}) & = & \{(\alpha_1, \dots, \alpha_{\ell-1}, \beta_{\ell}) \in V_{\ell} \mid \beta_{\ell} \neq \alpha_{\ell}\}
 \end{array}$$

- For $1 \leq i \leq \ell$, define $e_{\alpha,i} := \prod_{\beta \in V_{\alpha}^i} (x_i - \beta_i) \in \mathbf{K}[x_i]$ and

$$E_{\alpha} := \prod_{1 \leq i \leq \ell} e_{\alpha,i} \in \mathbf{K}[x_1, \dots, x_{\ell}].$$

- Then, we have:

$$\begin{aligned}
 T_{\alpha, \ell+1} &= \prod_{\beta \in V_{\alpha}^{\ell+1}} (x_{\ell+1} - \beta_{\ell+1}) \\
 T_{\ell+1} &= \sum_{\alpha \in V_{\ell}} \frac{E_{\alpha} T_{\alpha, \ell+1}}{E_{\alpha}(\alpha)}
 \end{aligned}$$

- Related work: **(Abbot, Bigatti, Kreuzer & Robbiano, 1999), ...**

Direct product of fields, the D5 Principle (I)

Proposition

Let $f \in \mathbb{K}[x]$ be a non-constant and **square-free** univariate polynomial. Then $\mathbb{L} = \mathbb{K}[x]/\langle f \rangle$ is a direct product of fields (DPF).

Proof.

The factors of f are **pairwise coprime**. Then, apply the **Chinese Remaindering Theorem**. (If $f = f_1 f_2$ then $\mathbb{L} \simeq \mathbb{K}[x]/\langle f_1 \rangle \times \mathbb{K}[x]/\langle f_2 \rangle$.) □

PRINCIPLE. (Della Dora, Dicrescenzo & Duval, 1985) If \mathbb{L} is a DPF, then one can compute with \mathbb{L} as **if it were a field**: it suffices to **split** the computations into cases whenever a **zero-divisor** is met.

Proposition

Let \mathbb{L} be a DPF and $f \in \mathbb{L}[x]$ be a non-constant monic polynomial such that f and its derivative generate $\mathbb{L}[x]$, that is, $\langle f, f' \rangle = \mathbb{L}[x]$. Then $\mathbb{L}[x]/\langle f \rangle$ is another DPF.

Proof.

It is convenient to establish the following more general theorem: A Noetherian ring is isomorphic with a direct product of fields if and only if every non-zero element is either a unit or a non-nilpotent zero-divisor.

Direct product of fields, the D5 Principle (II)

Proposition

Let $T \subset \mathbb{K}[x_1, \dots, x_n]$ be a Lazard triangular set such that $\langle T \rangle$ is **radical**. Then, we have

- ▶ $\mathbb{K}[x_1, \dots, x_n]/\langle T \rangle$ is a DPF,
- ▶ if \mathbb{K} is **perfect** then $\overline{\mathbb{K}}[x_1, \dots, x_n]/\langle T \rangle$ is a DPF.

Remark

Recall the trap! Consider $\mathbb{F} = \mathbb{Z}/p\mathbb{Z}(t)$, for a prime p . Consider the polynomial $f = x^p - t \in \mathbb{F}[x]$ and $\overline{\mathbb{F}}$ an algebraic closure of \mathbb{F} .

Since f is not constant, it has a root $\alpha \in \overline{\mathbb{F}}$ and we have

$$f = x^p - t = x^p - \alpha^p = (x - \alpha)^p \quad (1)$$

in $\overline{\mathbb{F}}[x]$, which is clearly not square-free. However f is irreducible, and thus squarefree, in $\mathbb{F}[x]$.

Polynomial GCDs over DPF, quasi-inverses (I)

Definition

(M. & Rioboo, 1995) Let \mathbb{L} be a DPF. The polynomial $h \in \mathbb{L}[y]$ is a **GCD** of the polynomials $f, g \in \mathbb{L}[y]$ if the ideals $\langle f, g \rangle$ and $\langle h \rangle$ are equal.

Remark

Another trap! Even if f, g are both **monic**, there **may not exist a monic** polynomial h in $\mathbb{L}[y]$ such that $\langle f, g \rangle = \langle h \rangle$ holds. Consider for instance $f = y + \frac{a+1}{2}$ (assuming that 2 is invertible in \mathbb{L}) and $g = y + 1$ where $a \in \mathbb{L}$ satisfies $a^2 = a$, $a \neq 0$ and $a \neq 1$.

Remark

In practice, polynomial GCDs over DPF are computed via the D5 Principle. Moreover, only monic GCDs are useful. So, we generalize:

Definition

Let \mathbb{L} be a DPF and $f, g \in \mathbb{L}[y]$. A **GCD** of f, g in $\mathbb{L}[y]$ is a sequence of pairs $((h_i, \mathbb{L}_i), 1 \leq i \leq s)$ such that

- ▶ \mathbb{L}_i is a DPF, for all $1 \leq i \leq s$ and the direct product of $\mathbb{L}_1, \dots, \mathbb{L}_s$ is isomorphic to \mathbb{L} ,
- ▶ h_i is a null or monic polynomial in $\mathbb{L}_i[y]$, for all $1 \leq i \leq s$,
- ▶ h_i is a GCD (in the above sense) of the projections of f, g to $\mathbb{L}_i[y]$, for all $1 \leq i \leq s$.

Polynomial GCDs over DPF, quasi-inverses (II)

Definition

Let \mathbb{L} be a DPF and let $f \in \mathbb{L}$. A **quasi-inverse** of f is a sequence of pairs $((g_i, \mathbb{L}_i), 1 \leq i \leq s)$ such that

- ▶ \mathbb{L}_i is a DPF, for all $1 \leq i \leq s$ and the direct product of $\mathbb{L}_1, \dots, \mathbb{L}_s$ is isomorphic to \mathbb{L}
- ▶ $g_i \in \mathbb{L}_i$, for all $1 \leq i \leq s$,
- ▶ let f_i be the projection of f to \mathbb{L}_i ; either $f_i = g_i = 0$ or $f_i g_i = 1$ hold, for all $1 \leq i \leq s$.

Proposition

Let $T \subset \mathbb{K}[x_1, \dots, x_n]$ be a Lazard triangular set such that $\langle T \rangle$ is **radical**. We define $\mathbb{L} = \mathbb{K}[x_1, \dots, x_n] / \langle T \rangle$.

- (1) For all $f \in \mathbb{K}[x_1, \dots, x_n]$ (reduced w.r.t. T) one can compute a **quasi-inverse** in \mathbb{L} of f (regarded as an element of \mathbb{L}).
- (1) For all $f, g \in \mathbb{L}[y]$ one can compute a **GCD** of f and g in $\mathbb{L}[y]$.

Equiprojectable decomposition

Remark

Not every variety is equiprojectable, for instance $V = \{(0, 1), (0, 0), (1, 0)\}$.

Definition

Let $V \subset A^n(\overline{\mathbb{K}})$ be finite. Consider the projection $\pi : V \mapsto \overline{\mathbb{K}}^{n-1}$ which forgets x_n . To every $x \in V$ we associate

$$N(x) = \#\pi^{-1}(\pi(x)).$$

We write $V = C_1 \cup \dots \cup C_d$ where $C_i = \{x \in V \mid N(x) = i\}$. This splitting process is applied recursively to all varieties C_1, \dots, C_d .

In the end, we obtain a family of pairwise disjoint, equiprojectable varieties, whose reunion equals V . This is the **equiprojectable decomposition** of V .

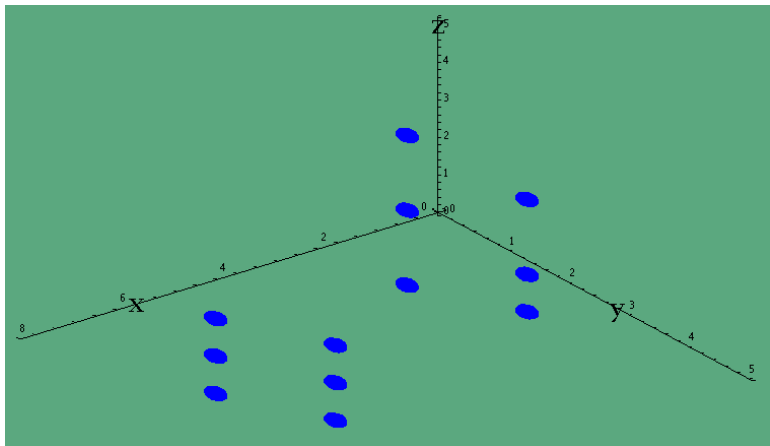
Proposition

Let $V(F) \subset A^n(\overline{\mathbb{K}})$ be finite with $F \subset \mathbb{K}[x_1, \dots, x_n]$. There exist Lazard triangular sets $T^1, \dots, T^s \subset \mathbb{K}[x_1, \dots, x_n]$ such that

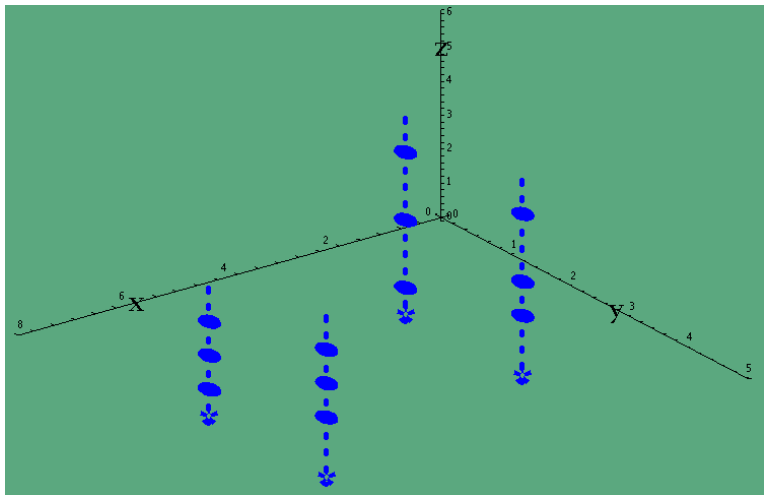
$$V(F) = V(T^1) \cup \dots \cup V(T^s) \quad \text{and} \quad i \neq j \Rightarrow V(T^i) \cap V(T^j) = \emptyset.$$

They form a **triangular decomposition** of $V(F)$.

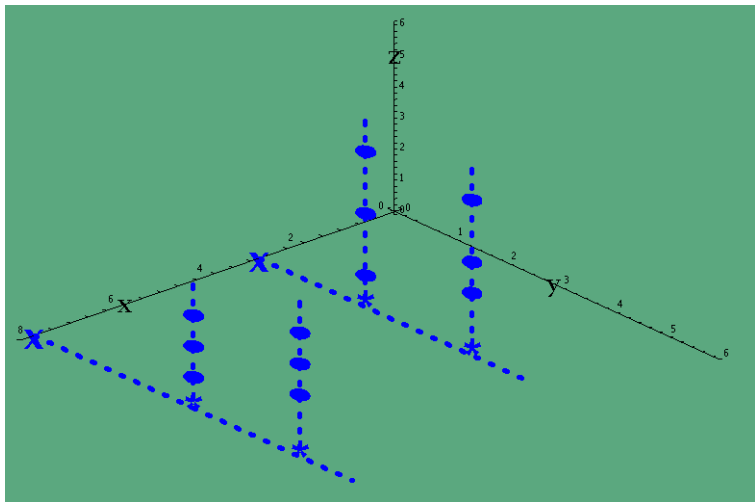
Equiprojectable variety definition (1/3)

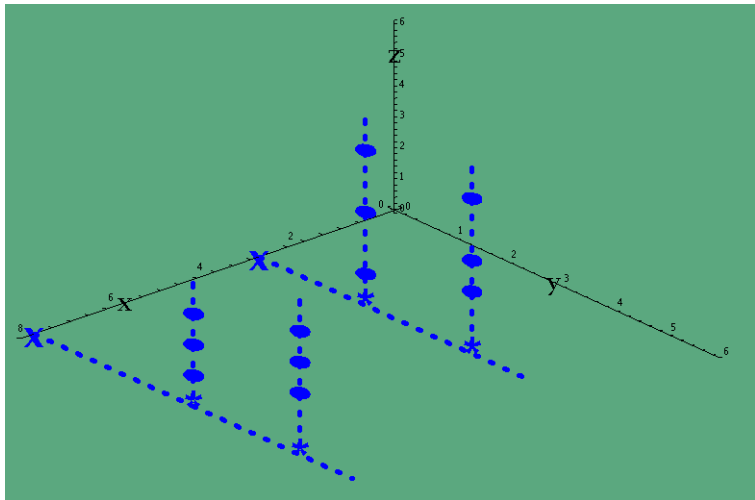


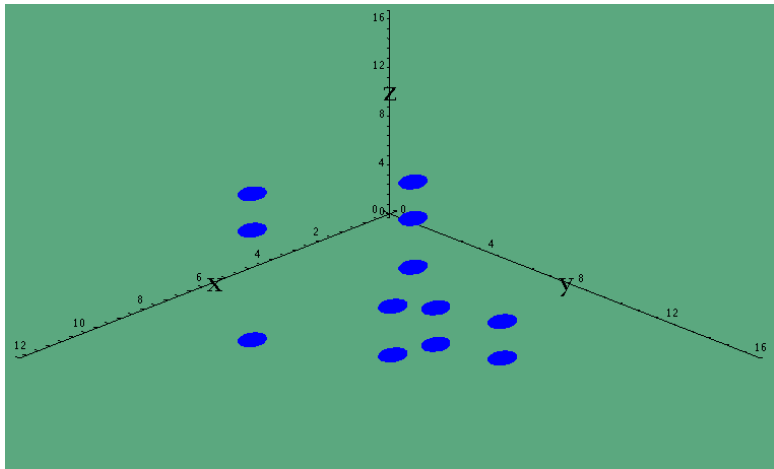
Equiprojectable variety definition (2/3)

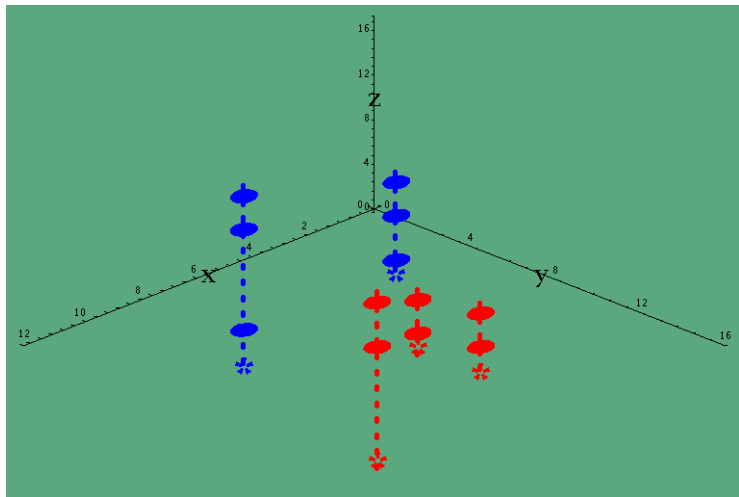


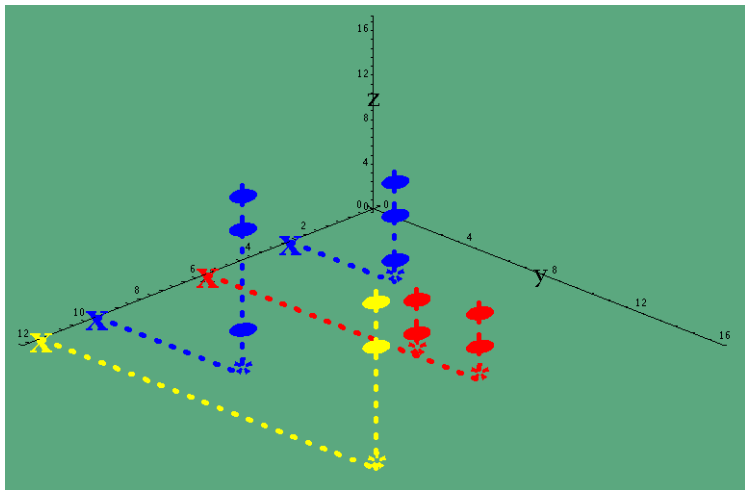
Equiprojectable variety definition (3/3)











Generalizing Lazard triangular sets

Remark

Let $T = \{T_1, \dots, T_n\} \subset \mathbb{K}[x_1, \dots, x_n]$ be a Lazard triangular set. Let $\mathcal{I} := \langle T \rangle$. We have shown that given $p \in \mathbb{K}[x_1, \dots, x_n]$,

- one can decide whether $p \in \mathcal{I}$. Indeed T is a Gröbner basis of \mathcal{I} .
- assuming \mathcal{I} radical, one can decide whether $p^{-1} \bmod \mathcal{I}$ exists. Indeed $\mathbb{K}[x_1, \dots, x_n]/\mathcal{I}$ is a DPF.

We aim at:

- ▶ first, relaxing the hypothesis $\text{lc}(T_i, x_i) = 1$, for all $1 \leq i \leq n$,
- ▶ second, relaxing the **as many polynomials as variables** constraint.

while preserving a **triangular shape** together with the above **algorithmic properties**.

Zero-dimensional regular chains

Definition

A subset $C = \{C_1, \dots, C_n\} \subset \mathbb{K}[x_1 < \dots < x_n]$ is a **zero-dimensional regular chain** if for all $i = 1 \dots n$ we have

- (1) $C_i \in \mathbb{K}[x_1, \dots, x_i]$,
- (2) $\deg(C_i, x_i) > 0$,
- (3) $h_i := \text{lc}(C_i, x_i)$ is **invertible** modulo the ideal $\langle C_1, \dots, C_{i-1} \rangle$.

Proposition

Let $C \subset \mathbb{K}[x_1, \dots, x_n]$ be a **zero-dimensional regular chain**. There exists a Lazard triangular set $T \subset \mathbb{K}[x_1, \dots, x_n]$ such that $\langle C \rangle = \langle T \rangle$.

Proof.

By induction on n .

- For $n = 1$ we have $T_1 = \text{lc}(C_1)^{-1} C_1$ and the claim follows clearly.
- For $n > 1$ we compute \tilde{h}_n the inverse of h_n modulo $\langle T_1, \dots, T_{n-1} \rangle$ and observe

$$\langle T_1, \dots, T_{n-1}, \tilde{h}_n C_n \rangle = \langle T_1, \dots, T_{n-1}, C_n \rangle.$$



The Dahan-Schost Transform (I)

Proposition

Consider $T = \{T_1, \dots, T_n\}$ a Lazard triangular set. Assume T generates a radical ideal. Let $D_1 = 1$ and $N_1 = T_1$. For $2 \leq \ell \leq n$, define

$$\begin{aligned} D_\ell &= \prod_{1 \leq i \leq \ell-1} \frac{\partial T_i}{\partial x_i} \bmod \langle T_1, \dots, T_{\ell-1} \rangle \\ N_\ell &= D_\ell T_\ell \bmod \langle T_1, \dots, T_{\ell-1} \rangle \end{aligned}$$

Then $N = \{N_1, \dots, N_n\}$ is a zero-dimensional regular chain with $\langle T \rangle = \langle N \rangle$.

Remark

The results of **(Dahan & Schost, 2004)** “essentially” show that the height (or “size”) of each coefficient in N is upper bounded by

- ▶ the height of $\mathbf{V}(T)$ if $\mathbb{K} = \mathbb{Q}$, that is the minimum size of a data set encoding $\mathbf{V}(T)$,
- ▶ the degree of $\mathbf{V}(T^\downarrow)$ if \mathbb{K} is a field $k(t_1, \dots, t_m)$ of rational functions and T^\downarrow is T regarded in $k[t_1, \dots, t_m, x_1, \dots, x_n]$.

See the authors' article for precise statements.

Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

- ▶ Throughout this section, we consider a commutative ring \mathbb{A} with identity element, a symbol x and the ring $\mathbb{A}[x]$ of the univariate polynomials in x with coefficients in \mathbb{A} .
- ▶ Let $a, b \in \mathbb{A}[x]$ be univariate polynomials such that b has a positive degree w.r.t. x .

Definition

We say that a polynomial $q \in \mathbb{A}[x]$ (resp. $r \in \mathbb{A}[x]$) is a *pseudo-quotient* (resp. *pseudo-remainder*) of a by b if there exists a non-negative integer e and a polynomial $r \in \mathbb{A}[x]$ (resp. $q \in \mathbb{A}[x]$) such that we have

$$\text{lc}(b)^e a = qb + r \quad \text{and} \quad (r = 0 \quad \text{or} \quad \deg(r) < \deg(b)). \quad (2)$$

Proposition

Assume that the leading coefficient of b is regular. We define $e = \min(0, \deg(a) - \deg(b) + 1)$. Then there exists a unique couple (q, r) of polynomials in $\mathbb{A}[x]$ such that q and r are a pseudo-quotient and a pseudo-remainder of a by b . The polynomial q (resp. r) is called the pseudo-quotient (the pseudo-remainder) of a by b and denoted by $\text{prem}(a, b)$ ($\text{pquo}(a, b)$). The map $(a, b) \mapsto (q, r)$ is called the pseudo-division of a by b . In addition, the following algorithm computes this couple.

Input: $a, b \in \mathbb{A}[x]$ with $b \notin \mathbb{A}$.

Output: $q, r \in \mathbb{A}[x]$ satisfying Relation (2) with
 $e = \min(0, \deg(a) - \deg(b) + 1)$.

$r := a$

$q := 0$

$e := \max(0, \deg(a) - \deg(b) + 1)$

while $r \neq 0$ **or** $\deg(r) \geq \deg(b)$ **repeat**

$d := \deg(r) - \deg(b)$

$t := \text{lc}(r)y^d$

$q := \text{lc}(b)q + t$

$r := \text{lc}(b)r - tb$

$e := e - 1$

$r := \text{lc}(b)^e r$

$q := \text{lc}(b)^e q$

return (q, r)

Proposition

Let \mathcal{I} be an ideal of \mathbb{A} and $d \in \mathbb{A}$ a regular element. Let $a, b, q, r \in \mathbb{A}[x]$ be univariate polynomials such that the following properties are satisfied:

- (i) b has a positive degree w.r.t. y and $\text{lc}(b)$ is not a zero-divisor in \mathbb{A} ,
- (ii) q and r are the pseudo-quotient and pseudo-remainder of a w.r.t. b in $\mathbb{A}[x]$,
- (iii) $a \in \mathcal{I}[x]$ holds,

Then we have:

$$q \in \mathcal{I}[x] \quad \text{and} \quad r \in \mathcal{I}[x].$$

Resultant (recall 2/2)

Proposition

If \mathbb{A} is a unique factorization domain (UFD), then $\gcd(P, Q)$ is nonconstant in $\mathbb{A}[x]$ if and only if $\text{res}(P, Q, x) = 0$ in \mathbb{A} .

Example

Let $P = ax^2 + bx + c$ and let $Q = 2ax + b$ be the derivative of P w.r.t x . Then the Sylvester matrix of P and Q w.r.t x is

$$S = \begin{bmatrix} a & 2a & 0 \\ b & b & 2a \\ c & 0 & b \end{bmatrix}$$

whose determinant is $\det(S) = a(4ac - b^2)$. Whenever $a \neq 0$, P and Q have a common solution (or equivalently, $P = 0$ has a solution of multiplicity 2) if and only if the resultant $\text{res}(P, Q, x)$ is zero.

Definition (Determinantal polynomial)

Let $m \leq n$ be positive integers. Let M be a $m \times n$ matrix with coefficients in \mathbb{A} . Let M_i be the square submatrix of M consisting of the first $m-1$ columns of M and the i -th column of M , for $i = m \cdots n$; let $\det M_i$ be the determinant of M_i . The *determinantal polynomial* of M , denote by $\text{dpol}(M)$, is a polynomial in $\mathbb{A}[x]$, given by

$$\text{dpol}(M) = \det M_m x^{n-m} + \det M_{m+1} x^{n-m-1} + \cdots + \det M_n.$$

If $\text{dpol}(M)$ is not zero then its degree is at most $n - m$.

Notation

Let P_1, \dots, P_m be polynomials of $\mathbb{A}[x]$ of degree less than n . We denote by $\text{mat}(P_1, \dots, P_m)$ the $m \times n$ matrix whose i -th row contains the coefficients of P_i , sorting in order of decreasing degree, and such that P_i is treated as a polynomial of degree $n-1$. We denote by $\text{dpol}(P_1, \dots, P_m)$ the determinantal polynomial of $\text{mat}(P_1, \dots, P_m)$.

Example

Let $n = 4$, $m = 2$, $P_1 = a_3x^3 + a_2x^2 + a_1x + a_0$ and $P_2 = b_2x^2 + b_1x + b_0$. Then

$$\text{mat}(P_1, P_2) = \begin{bmatrix} a_3 & a_2 & a_1 & a_0 \\ 0 & b_2 & b_1 & b_0 \end{bmatrix},$$

with

$$M_2 = \begin{bmatrix} a_3 & a_2 \\ 0 & b_2 \end{bmatrix}, M_3 = \begin{bmatrix} a_3 & a_1 \\ 0 & b_1 \end{bmatrix}, \text{ and } M_4 = \begin{bmatrix} a_3 & a_0 \\ 0 & b_0 \end{bmatrix}.$$

Consequently, we have $\text{dpol}(P_1, P_2) = a_3b_2x^2 + a_3b_1x + a_3b_0$.

The notion of *subresultants* is a refinement of that of resultant. To define subresultants of two polynomials we need the following definition.

Definition

Let $P, Q \in \mathbb{A}[x]$ be non-constant polynomials of respective degrees m, n with $m \leq n$. Let k be an integer with $0 \leq k < m$. Then the k -th *subresultant* of P and Q , denoted by $S_k(P, Q)$, is

$$S_k(P, Q) = \text{dpol}(x^{n-k-1}P, x^{n-k-2}P, \dots, P, x^{m-k-1}Q, \dots, Q).$$

- ▶ Observe that if $S_k(P, Q)$ is not zero then its degree is at most k . Indeed the underlying matrix has $m + n - 2k$ rows and $m + n - k$ columns. Nence $S_k(P, Q)$ has $(m + n - k) - (m + n - 2k) + 1 = k + 1$ terms.
- ▶ When $S_k(P, Q)$ has degree k , then it is said *regular*; when $S_k(P, Q) \neq 0$ and $\deg(S_k(P, Q)) < k$, $S_k(P, Q)$ is said *defective*.

It is easy to show that $S_0(P, Q)$ is $\text{res}(P, Q, x)$, the resultant of P and Q .

Example

Let $P = b_2x^2 + b_1x + b_0$ and $Q = a_3x^3 + a_2x^2 + a_1x + a_0$. Then

$$\begin{aligned} S_0(P, Q) &= \text{dpol}(x^2P, xP, P, xQ, Q) = \text{dpol}\left(\begin{bmatrix} b_2 & b_1 & b_0 & & \\ & b_2 & b_1 & b_0 & \\ & & b_2 & b_1 & b_0 \\ a_3 & a_2 & a_1 & a_0 & \\ & a_3 & a_2 & a_1 & a_0 \end{bmatrix}\right) \\ &= b_2a_2^2b_0^2 - 2b_2^2a_2b_0a_0 - a_2b_0^2a_3b_1 + b_2^3a_0^2 + 3b_2a_0a_3b_1b_0 - b_1b_2a_1a_2b_0 - b_1b_2^2a_1a_0 \\ &\quad + b_1^2a_1a_3b_0 + b_2a_2b_1^2a_0 - a_3b_1^3a_0 + b_0b_2^2a_1^2 - 2b_2a_1a_3b_0^2 + a_3^2b_0^3 \end{aligned}$$

and

$$\begin{aligned} S_1(P, Q) &= \text{dpol}(xP, P, Q) = \text{dpol}\left(\begin{bmatrix} b_2 & b_1 & b_0 & \\ & b_2 & b_1 & b_0 \\ a_3 & a_2 & a_1 & a_0 \end{bmatrix}\right) \\ &= (b_2^2a_1 - b_2a_3b_0 - b_2a_2b_1 + a_3b_1^2)x - b_2a_2b_0 + b_2^2a_0 + a_3b_1b_0. \end{aligned}$$

In particular, when $P = x(x-3) = x^2 - 3x$ and $Q = x(x-1)(x+1) = x^3 - x^2$, we have $S_0(P, Q) = 0$ and $S_1(P, Q) = 6x$, which in fact reflects $\text{gcd}(P, Q) = x$.

Proposition

Assume \mathbb{A} is a UFD and let P, Q be polynomials in $\mathbb{A}[x]$ with degrees m and n . If for some $0 < k < \min(m, n)$, we have $S_k(P, Q) \neq 0$ and $S_i(P, Q) = 0$ for all $i < k$, then $\deg(\gcd(P, Q)) = k$ holds.

In fact, $S_k(P, Q)$ is similar to $\gcd(P, Q)$ in the sense that there exist nonzero constants α and β in \mathbb{A} such that $\alpha \gcd(P, Q) = \beta S_k(P, Q)$ holds.

According to the above proposition, S_k is a regular subresultant, and we usually call it the *last nonzero subresultant* of P, Q .

Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

Notations

We review the previous notions with a couple variable renaming.

- ▶ Let \mathbb{B} be another commutative ring with identity and let $m \leq n$ be positive integers.
- ▶ Let $P, Q \in \mathbb{B}[y]$ be non-constant polynomials of respective degrees p, q with $q \leq p$. Let d be an integer with $0 \leq d < q$.
- ▶ Then the d -th *subresultant* of P and Q , denoted by $S_d(P, Q)$, is

$$S_d(P, Q) = \text{dpol}(y^{q-d-1}P, y^{q-d-2}P, \dots, P, y^{p-d-1}Q, \dots, Q).$$

- ▶ For convenience, we extend the definition to the q -th subresultant as follows:

$$S_q(P, Q) = \begin{cases} \gamma(Q)Q, & \text{if } p \geq q \text{ and } \text{lc}(Q) \in \mathbb{B} \text{ is regular} \\ \text{undefined,} & \text{otherwise} \end{cases}$$

where $\gamma(Q) = \text{lc}(Q)^{p-q-1}$. Note that when p equals q , then

$S_q(P, Q) = \text{lc}(Q)^{-1}Q$ is in fact a polynomial over the total fraction ring of \mathbb{B} .

We call *specialization property of subresultants* the following statement.

Proposition

Let \mathbb{A} be another commutative ring with identity and Ψ a ring homomorphism from \mathbb{B} to \mathbb{A} such that $\Psi(\text{lc}(P)) \neq 0$ and $\Psi(\text{lc}(Q)) \neq 0$. Then

$$S_d(\Psi(P), \Psi(Q)) = \Psi(S_d(P, Q)).$$

This property will play a central role later.

Divisibility relations of subresultants: integral domain case

Subresultants $S_{q-1}(P, Q), S_{q-2}(P, Q), \dots, S_0(P, Q)$ satisfy relations which induce an Euclidean-like algorithm for computing them.

Following (Ducos, 1998) we first assume that \mathbb{B} is an integral domain. For convenience, we simply write S_d instead of $S_d(P, Q)$ for each d . We write $A \sim B$ for $A, B \in \mathbb{B}[y]$ whenever they are associates over $\text{Fr}(\mathbb{B})$ (the field of fractions of \mathbb{B}) that is, equal up to a non-zero element of $\text{Fr}(\mathbb{B})$. Then for $d = q - 1, \dots, 1$, we have:

- (r_{q-1}) $S_{q-1} = \text{prem}(P, -Q)$, the pseudo-remainder of P by $-Q$,
- $(r_{<q-1})$ if $S_{q-1} \neq 0$, with $e = \deg(S_{q-1})$, then the following holds:

$$\text{prem}(Q, -S_{q-1}) = \text{lc}(Q)^{(p-q)(q-e)+1} S_{e-1},$$

- (r_e) if $S_{d-1} \neq 0$, with $e = \deg(S_{d-1}) < d - 1$, thus S_{d-1} is defective, then we have
 - (1) $\deg(S_d) = d$, thus S_d is non-defective,
 - (2) $S_{d-1} \sim S_e$ and $\text{lc}(S_{d-1})^{d-e-1} S_{d-1} = S_d^{d-e-1} S_e$, thus S_e is non-defective,
 - (3) $S_{d-2} = S_{d-3} = \dots = S_{e+1} = 0$,
- (r_{e-1}) if both S_d and S_{d-1} are nonzero, with respective degrees d and e then we have $\text{prem}(S_d, -S_{d-1}) = \text{lc}(S_d)^{d-e+1} S_{e-1}$.

Convention. If $p = \deg(P) \geq \deg(Q) = q$, then $S_q = \text{lc}(Q)^{p-q-1}Q$ where lc is the leading coefficient. Of course, if $p = q$, the coefficients of S_q belong to $\text{Frac}(R)$, but the leading coefficient $s_q = \text{lc}(Q)^{p-q}$ always belongs to R .

Subresultant algorithm. (see [2, 3, 8] or [12])
Inputs: $P, Q \in R[X]$ $\deg(P) \geq \deg(Q) \geq 1$
Output: List of non-zero subresultants of P and Q

```

S ← empty list
s ←  $\text{lc}(Q)^{\deg(P) - \deg(Q)}$ 
A ← Q; B ←  $\text{prem}(P, -Q)$ 
loop
  d ←  $\deg(A)$ ; e ←  $\deg(B)$ 
  — here,  $A \sim S_d$  if  $d = \deg(Q)$  —
  — here,  $A = S_d$  if  $d < \deg(Q)$  —
  — here,  $B = S_{d-1}$ ,  $s = \text{lc}(S_d)$  for  $d \leq \deg(Q)$  —
  if  $B = 0$  then return S
  S ←  $[B] \cup S$ 
  — here,  $S = [S_{d-1}, S_d, \dots]$  —
   $\delta \leftarrow d - e$ 
  if  $\delta > 1$  then  $C \leftarrow \frac{\text{lc}(B)^{\delta-1} B}{s^{\delta-1}}$ ; S ←  $[C] \cup S$ 
  else C ← B
  — here,  $C = S_e$ ,  $S = [S_e, \dots]$  —
  if  $e = 0$  then return S
  B ←  $\frac{\text{prem}(A, -B)}{s^\delta \text{lc}(A)}$ 
  — here,  $B = S_{e-1}$  —
  A ← C
  s ←  $\text{lc}(A)$ 
end loop

```

Divisibility relations of subresultants: non-integral domain case

We consider now the case where \mathbb{B} is an arbitrary commutative ring, following Theorem 4.3 in (El Kahoui, 2003). If S_d, S_{d-1} are nonzero, with respective degrees d and e and if s_d is regular in \mathbb{B} then we have

$$\text{lc}(S_{d-1})^{d-e-1} S_{d-1} = s_d^{d-e-1} S_e.$$

Moreover, there exists $C_d \in \mathbb{B}[y]$ such that

$$(-1)^{d-1} \text{lc}(S_{d-1})_{s_e} S_d + C_d S_{d-1} = \text{lc}(S_d)^2 S_{e-1}.$$

In addition $S_{d-2} = S_{d-3} = \dots = S_{e+1} = 0$ also holds.

From these formula we derive the following observation to which we will refer as the *block structure of subresultants*.

Proposition

Let S_i, S_j, S_k be three non-zero subresultants with indices $q \geq i > j > k \geq 0$. Assume that for all $\ell = i-1, \dots, j+1, j-1, \dots, k+1$ we have $S_\ell = 0$. Assume that S_j is defective. Then S_i is non-defective and we have $j = i-1$. Moreover S_k is non-defective and we have $S_j \sim S_k$. Observe also that the non-zero subresultant S_d of smallest index d , sometimes called the last subresultant of P and Q and denoted by $\text{lsr}(P, Q)$, is a non-defective subresultant.

Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

Regular GCD (recall)

- ▶ Let \mathbb{B} again be a commutative ring with units. Let $P, Q \in \mathbb{B}[y]$ be non-constant with regular leading coefficients.
- ▶ We say that $G \in \mathbb{B}[y]$ is a *regular GCD* of P, Q if we have:
 - (i) $\text{lc}(G, y)$ is a regular element of \mathbb{B} ,
 - (ii) $G \in \langle P, Q \rangle$ in $\mathbb{B}[y]$,
 - (iii) $\deg(G, y) > 0 \Rightarrow \text{prem}(P, G, y) = \text{prem}(Q, G, y) = 0$.
- ▶ In practice $\mathbb{B} = \mathbb{K}[x_1, \dots, x_n]/\text{Sat}(T)$, with T being a regular chain.
- ▶ Such a regular GCD may not exist. However, we shall see that one can compute $\mathcal{I}_i = \text{Sat}(T_i)$ and non-zero polynomials G_i such that

$$\sqrt{\mathcal{I}} = \cap_{i=0}^e \sqrt{\mathcal{I}_i} \quad \text{and} \quad G_i \text{ regular GCD of } P, Q \text{ mod } \mathcal{I}_i$$

Regularity test

- ▶ **R**egularity test is a fundamental operation:

$$\text{Regularize}(p, \mathcal{I}) \longmapsto (\mathcal{I}_1, \dots, \mathcal{I}_e)$$

such that:

$$\sqrt{\mathcal{I}} = \cap_{i=1}^e \sqrt{\mathcal{I}_i} \quad \text{and} \quad p \in \mathcal{I}_i \text{ or } p \text{ regular modulo } \mathcal{I}_i$$

- ▶ Regularity test reduces to **r**egular GCD computation.

Regular GCDs (1/6)

- ▶ Let $P, Q \in \mathbb{K}[\mathbf{x}][\mathbf{y}]$ with $\text{mvar}(P) = \text{mvar}(Q) = y$.
- ▶ Define $R = \text{res}(P, Q, y)$.
- ▶ Let $T \subset \mathbb{K}[x_1, \dots, x_n]$ be a regular chain such that
 - ▶ $R \in \text{Sat}(T)$,
 - ▶ $\text{init}(P)$ and $\text{init}(Q)$ are regular modulo $\text{Sat}(T)$.
- ▶ $\mathbb{A} = \mathbb{K}[x_1, \dots, x_n]$ and $\mathbb{B} = \mathbb{K}[x_1, \dots, x_n]/\text{Sat}(T)$.
- ▶ For $0 \leq j \leq \text{mdeg}(Q)$, we write S_j for the j -th subresultant of P, Q in $\mathbb{A}[y]$.

Regular GCDs (2/6)

- ▶ Let $1 \leq d \leq q$ such that $S_j \in \text{Sat}(T)$ for all $0 \leq j < d$.

Proposition

If $\text{lc } S_d, y$ is regular modulo $\text{Sat}(T)$, then S_d is non-defective over $\mathbb{K}[\mathbf{x}]$.

- ▶ Consequently, S_d is the last nonzero subresultant over \mathbb{B} , and it is also non-defective over \mathbb{B} .
- ▶ If $\text{lc}(S_d, x_n)$ is not regular modulo $\text{Sat}(T)$ then S_d may be defective over \mathbb{B} .

Regular GCDs (3/6)

- ▶ Let $1 \leq d \leq q$ such that $S_j \in \text{Sat}(T)$ for all $0 \leq j < d$.

Proposition

If $\text{lc } S_{d,y}$ is in $\text{Sat}(T)$, then S_d is nilpotent modulo $\text{Sat}(T)$.

- ▶ Up to sufficient splitting of $\text{Sat}(T)$, S_d will vanish on all the components of $\text{Sat}(T)$.
- ▶ The above two lemmas completely characterize the last non-zero subresultant of P and Q over \mathbb{B} .

Regular GCDs (4/6)

Example

- ▶ Consider P and Q in $\mathbb{Q}[x_1, x_2][y]$:

$$P = x_2^2 y^2 - x_1^4 \quad \text{and} \quad Q = x_1^2 y^2 - x_2^4.$$

- ▶ We have:

$$S_1 = x_1^6 - x_2^6 \quad \text{and} \quad R = (x_1^6 - x_2^6)^2.$$

- ▶ Let $T = \{R\}$. Then we observe:
 - ▶ The last subresultant of P, Q modulo $\text{Sat}(T)$ is S_1 , which is a defective one.
 - ▶ S_1 is nilpotent modulo $\text{Sat}(T)$.
- ▶ P and Q do not admit a regular GCD over $\mathbb{Q}[x_1, x_2]/\text{Sat}(T)$.

Regular GCDs (5/6)

- ▶ Let $1 \leq d \leq q$ such that $S_j \in \text{Sat}(T)$ for all $0 \leq j < d$.

Proposition

Assume

- ▶ $\text{lc}S_d, y$ is regular modulo $\text{Sat}(T)$,
- ▶ $\text{Sat}(T)$ is radical.

Then, S_d is a regular GCD of P, Q modulo $\text{Sat}(T)$.

Regular GCDs (5/6)

- ▶ Let $1 \leq d \leq q$ such that $S_j \in \text{Sat}(T)$ for all $0 \leq j < d$.

Proposition

Assume

- ▶ $\text{lc}S_d, y$ is regular modulo $\text{Sat}(T)$,
- ▶ $\text{Sat}(T)$ is radical.

Then, S_d is a regular GCD of P, Q modulo $\text{Sat}(T)$.

Recall that S_d regular GCD of P, Q modulo $\text{Sat}(T)$ means

- (i) $\text{lc}(S_d, y)$ is a regular element of \mathbb{B} ,
- (ii) $S_d \in \langle P, Q \rangle$ in $\mathbb{B}[y]$,
- (iii) $\deg(S_d, y) > 0 \Rightarrow \text{prem}(P, S_d, y) = \text{prem}(Q, S_d, y) = 0$.

Regular GCDs (5/6)

- ▶ Let $1 \leq d \leq q$ such that $S_j \in \text{Sat}(T)$ for all $0 \leq j < d$.

Proposition

Assume

- ▶ $\text{lc}S_d, y$ is regular modulo $\text{Sat}(T)$,
- ▶ $\text{Sat}(T)$ is radical.

Then, S_d is a regular GCD of P, Q modulo $\text{Sat}(T)$.

Proposition

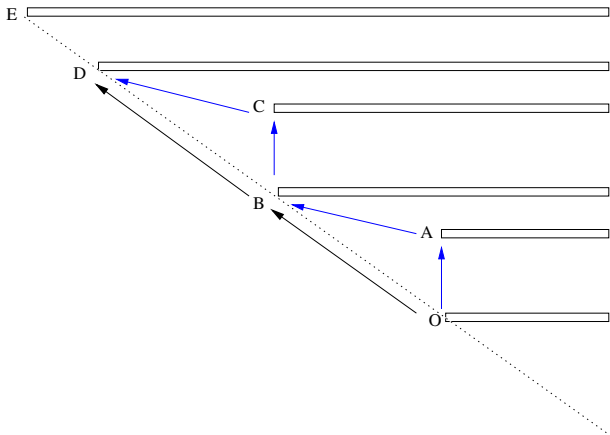
Assume

- ▶ $\text{lc}S_d, y$ is regular modulo $\text{Sat}(T)$,
- ▶ for all $d < k \leq q$, $\text{coeff}(S_k, y^k)$ is either 0 or regular modulo $\text{Sat}(T)$.

Then, S_d is a regular GCD of P, Q modulo $\text{Sat}(T)$.

Regular GCDs (6/6)

- ▶ Assume that the subresultants S_j for $1 \leq j < q$ are computed.
- ▶ Then one can compute a regular GCD of P, Q modulo $\text{Sat}(T)$ by performing a bottom-up search.



Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

Triangular sets and auto-reduced sets

Definition

A subset $B \subset \mathbb{K}[X] \setminus \mathbb{K}$ is

- a **triangular set** if for all $f, g \in B$ we have $f \neq g \Rightarrow \text{mvar}(f) \neq \text{mvar}(g)$,
- **auto-(pseudo-)reduced** if all $b \in B$ is pseudo-reduced w.r.t. $B \setminus \{b\}$.

Proposition

Every auto-reduced set is finite and is a triangular set.

Notation

Let $f \in \mathbb{K}[X]$ and $B \subset \mathbb{K}[X] \setminus \mathbb{K}$ an auto-reduced set. If $B = \emptyset$ we write $\text{prem}(f, B) = f$. Otherwise let $b \in B$ with largest main variable; we write $\text{prem}(f, B) = \text{prem}(\text{prem}(f, b), B \setminus \{b\})$. For $A \subset \mathbb{K}[X]$ write $\text{prem}(A, B) = \{\text{prem}(a, B) \mid a \in A\}$.

Example

For instance, with $T_4 = \{x_1(x_1 - 1), x_1x_2 - 1\}$ and $p = x_2^2 + x_1x_2 + x_1^2$, we have

$$\text{prem}(p, T) = \text{prem}(\text{prem}(p, T_{x_2}), T_{x_1}) = \text{prem}(x_1^4 + x_1^2 + 1, T_{x_1}) = 2x_1 + 1.$$

where $T_{x_1} = x_1(x_1 - 1)$ and $T_{x_2} = x_1x_2 - 1$.

The saturated ideal of a triangular set (1/3)

Definition

Let $T \subset \mathbb{K}[X]$ be a triangular set. The set

$$\text{Sat}(T) = \{f \in \mathbb{K}[X] \mid (\exists e \in \mathbb{N}) h_T^e f \in \langle T \rangle\}$$

is the **saturated ideal** of T . (**Clearly $\text{Sat}(T)$ is an ideal.**)

Proposition

Let $T \subset \mathbb{K}[X]$ be a triangular set and $f \in \mathbb{K}[X]$. We have

$$\text{prem}(f, T) = 0 \Rightarrow f \in \text{Sat}(T).$$

Remark

The **converse is false**. Consider $n \geq 2$ and

$$T = \{x_1(x_1 - 1), x_1x_2 - 1\}.$$

Consider $p = (x_1 - 1)(x_1x_2 - 1)$ and $q = -(x_1 - 1)x_1x_2$. We have:

$$\text{prem}(p, T) = \text{prem}(q, T) = 0.$$

However, we have $p + q = 1 - x_1$, $\text{prem}(p + q, T) \neq 0$ but $p + q \in \text{Sat}(T)$, since $\text{Sat}(T)$ is an ideal. Note that $\text{Sat}(T) = \langle x_1 - 1, x_2 - 1 \rangle$.

The saturated ideal of a triangular set (2/3)

- Consider again for $x > y > a > b > c > d > e > f > g > h > i$

$$F = \begin{cases} ax + by - c \\ dx + ey - f \\ gx + hy - i \end{cases} \quad \text{and} \quad T = \begin{cases} gx + hy - i \\ (hd - eg)y - id + fg \\ (ie - fh)a + (ch - ib)d + (fb - ce)g \end{cases}$$

- Using Gröbner basis computations, one can check the following assertions for this example:
 - $\text{Sat}(T) = \langle F \rangle$.
 - $\text{Sat}(T)$ is an ideal strictly larger than $\langle T \rangle$.
 - In fact $\langle T \rangle \subset \text{Sat}(T) \cap \langle g, h, i \rangle$,
 - and none of $\text{Sat}(T)$ or $\langle g, h, i \rangle$ contains the other.

The quasi-component of a triangular set

Definition

Let $T \subset \mathbb{K}[X]$ be a **triangular set**. Let h_T be the product of the initials of T . The set $W(T) = V(T) \setminus V(\{h_T\})$ is the **quasi-component** of T .

Remark

Clearly $W(T)$ may not be variety. Consider $n = 2$ and $T = \{x_1 x_2\}$. We have $h_T = x_1$ and $W(T)$ is the line $x_2 = 0$ minus the point $(0, 0)$.

Observe that $\text{Sat}(T) = \langle x_2 \rangle$.

Proposition

For any **triangular set** $T \subset \mathbb{K}[X]$ we have

$$\overline{W(T)} = V(\text{Sat}(T)).$$

Remark

Consider

$$T = \{x_2^2 - x_1, x_1 x_3^2 - 2x_2 x_3 + 1, (x_2 x_3 - 1)x_4 + x_2^2\}.$$

We have $W(T) = \emptyset = V(T)$.

Regular chains

Definition

Let \mathcal{I} be a proper ideal of $\mathbb{K}[X]$. We say that a polynomial $p \in \mathbb{K}[X]$ is **regular** modulo \mathcal{I} if for every prime ideal \mathcal{P} associated with \mathcal{I} we have $p \notin \mathcal{P}$, equivalently, this means that p is neither null modulo \mathcal{I} , nor a zero-divisor modulo \mathcal{I} .

Definition

Let $T = \{T_1, \dots, T_s\}$ be a triangular set where polynomials are **sorted by increasing main variables**.

The triangular set T is a **regular chain** if for all $i = 2 \dots s$ the initial of T_i is **regular modulo the saturated ideal** of T_1, \dots, T_{i-1} .

Proposition

If T is a regular chain then $\text{Sat}(T)$ is a proper ideal of $\mathbb{K}[X]$ and, thus, $W(T) \neq \emptyset$.

The saturated ideal of a triangular set (3/3)

Theorem

(Aubry, Lazard & M., 1997) Let $C \subset \mathbb{K}[X]$ be an auto-(pseudo-)reduced set. Then, we have

$$\text{Sat}(C) = \{p \mid \text{prem}(p, C) = 0\}$$
$$\updownarrow$$
$$C \text{ regular chain}$$

Reduction to dimension zero (1/2)

Theorem

(Chou & Gao, 1991), (Kalkbrenner, 1991), (Aubry, 1999), (Boulier, Lemaire & M., 2006) Let $T = \{T_{d+1}, \dots, T_n\}$ be a triangular set. Assume that $\text{mvar}(T_i) = x_i$ for all $d+1 \leq i \leq n$ and assume $\text{Sat}(T)$ is a proper ideal of $\mathbb{K}[X]$. Then, every prime ideal \mathcal{P} associated with $\text{Sat}(T)$ has dimension d and satisfies

$$\mathcal{P} \cap \mathbb{K}[x_1, \dots, x_d] = \langle 0 \rangle.$$

Corollary

With T as above. Consider the localization by $\mathbb{K}[x_1, \dots, x_d] \setminus \{0\}$; in other words, we map our polynomials from $\mathbb{K}[x_1, \dots, x_n]$ to $\mathbb{K}(x_1, \dots, x_d)[x_{d+1}, \dots, x_n]$.

Let T_0 be the image of T . Let $p \in \mathbb{K}[x_1, \dots, x_n]$ and p_0 its image in $\mathbb{K}(x_1, \dots, x_d)[x_{d+1}, \dots, x_n]$. Assume p non-zero modulo $\text{Sat}(T)$. Then, the following conditions are equivalent:

- (1) p is regular w.r.t. $\text{Sat}(T)$,
- (2) p_0 is invertible w.r.t. $\text{Sat}(T_0)$.

In particular T is a regular chain iff T_0 is a (zero-dimensional) regular chain.

Reduction to dimension zero (2/2)

Remark

Consequently, we can generalize to positive dimension our computations of **polynomial GCDs** defined previously over zero-dimensional regular chains. (Indeed, It is also possible to relax the condition $\text{Sat}(T_0)$ radical.)

Notation

Let T is a regular chain and $F \subset \mathbb{K}[X]$ be a polynomial set. We denote by $Z(F, T)$ the intersection $V(F) \cap W(T)$, that is the set of the zeros of F that are contained in the quasi-component $W(T)$. If $F = \{p\}$, we write $Z(p, T)$ for $Z(F, T)$.

Proposition

Let T be a regular chain. If p is regular modulo $\text{Sat}(T)$, then $Z(p, T)$ is either empty or it is contained in a variety of dimension strictly less than the dimension of $W(T)$.

Plan

Zero-dimensional regular chains

Pseudo-division, subresultants and division-free Euclidean algorithms

Division-free Euclidean algorithms

Computing regular GCDs

Regular chains in arbitrary dimension

Incremental solving

Notations

- ▶ polynomial ring $R = \mathbb{K}[x_1 < \dots < x_n]$
- ▶ polynomial $p \in R$
- ▶ $\text{mvar}(p)$: largest variable appearing in p
- ▶ $\text{init}(p)$: leading coefficient of p w.r.t. $\text{mvar}(p)$

- ▶ a polynomial set $T \subset R \setminus \mathbb{K}$
- ▶ T is a triangular set if $\text{mvar}(p) \neq \text{mvar}(q)$ for all $p \neq q \in T$
- ▶ $\text{init}(T)$: the product of the initials of polynomials in T
- ▶ $\text{Sat}(T) := \langle T \rangle : \text{init}(T)^\infty$

- ▶ an element $p \neq 0$ of a ring \mathbb{A} is regular if p is not a zerodivisor in \mathbb{A}
- ▶ a triangular set $T = \{t_1, \dots, t_s\}$ is a regular chain if $\{t_1, \dots, t_{s-1}\}$ is a regular chain and $\text{init}(t_s)$ is regular in $R/\text{Sat}(t_1, \dots, t_{s-1})$

Example

$$T := \begin{cases} t_2 = (x_1 + x_2)x_3^2 + x_3 + 1 \\ t_1 = x_1^2 - 2. \end{cases}$$

Under the order $x_3 > x_2 > x_1$,

- ▶ $\text{mvar}(t_2) = x_3$ and $\text{init}(t_2) = x_1 + x_2$
- ▶ $\text{init}(t_2)$ is regular (neither zero or zerodivisor) modulo $\langle t_1 \rangle : 1^\infty = \langle t_1 \rangle$
- ▶ T is a regular chain
- ▶ $\text{init}(T) := \text{init}(t_2)\text{init}(t_1)$
- ▶ $\text{Sat}(T) := \langle T \rangle : \text{init}(T)^\infty$
- ▶ quasi-component of T : $W(T) = V(T) \setminus V(\text{init}(T))$.

Triangular decomposition of an algebraic variety

Kalkbrener triangular decomposition

Let $F \subset \mathbb{K}[\mathbf{x}]$. A family of regular chains T_1, \dots, T_e of $\mathbb{K}[\mathbf{x}]$ is called a Kalkbrener triangular decomposition of $V(F)$ if

$$V(F) = \cup_{i=1}^e \overline{W(T_i)}.$$

Lazard-Wu triangular decomposition

Let $F \subset \mathbb{K}[\mathbf{x}]$. A family of regular chains T_1, \dots, T_e of $\mathbb{K}[\mathbf{x}]$ is called a Lazard-Wu triangular decomposition of $V(F)$ if

$$V(F) = \cup_{i=1}^e W(T_i).$$

Incremental algorithm and intersect operation

Intersect operation

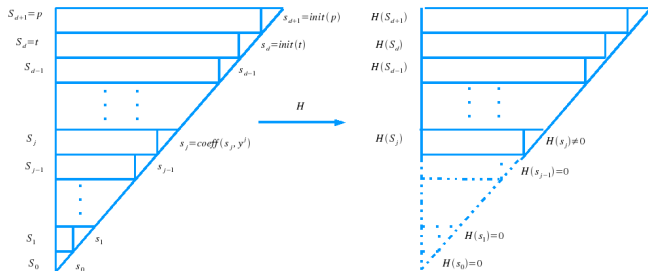
- ▶ Let $R = \mathbb{K}[x_1 < \dots < x_n]$.
- ▶ Let $p \in R$ and T be a regular chain of R .
- ▶ $\text{Intersect}(p, T, R)$ returns regular chains $T_1, \dots, T_e \subset R$ such that

$$V(p) \cap W(T) \subseteq W(T_1) \cup \dots \cup W(T_e) \subseteq V(p) \cap \overline{W(T)}.$$

Triangularize(F, R)

- ▶ **if** $F = \{ \}$ **then** return $\{ \emptyset \}$
- ▶ Choose a polynomial $p \in F$ with maximal rank
- ▶ **for** $T \in \text{Triangularize}(F \setminus \{p\}, R)$ **do**
 output $\text{Intersect}(p, T, R)$
- ▶ **end**

Specialization properties of subresultants



Theorem

Let H be a homomorphism from a ring R to a field \mathbb{L} . Let $p, t \in R[y]$. Let j be the smallest integer s.t. $H(s_j) \neq 0$. Then $H(S_j) = \gcd(H(p), H(t))$.

Properties of Regular GCD (I)

- ▶ Let $R := \mathbb{K}[x_1, \dots, x_{k-1}]$, where $1 \leq k \leq n$.
- ▶ Let $T \subset \mathbb{K}[x_1, \dots, x_{k-1}]$ be a regular chain.
- ▶ Let $p, t, g \in R[x_k]$ be polynomials with main variable x_k .

Proposition

Assume $T \cup \{t\}$ is a regular chain and g is a regular GCD of p and t in $R[x_k]/\sqrt{\text{Sat}(T)}$. We have:

$$\begin{aligned} V(p) \cap W(T \cup t) &\subseteq W(T \cup g) \cup V(\{p, h_g\}) \cap W(T \cup t) \\ &\subseteq V(p) \cap \overline{W(T \cup t)}. \end{aligned}$$

Properties of Regular GCD (II)

- ▶ Let $R := \mathbb{K}[x_1, \dots, x_{k-1}]$, where $1 \leq k \leq n$.
- ▶ Let $T \subset \mathbb{K}[x_1, \dots, x_{k-1}]$ be a regular chain.
- ▶ Let $p, t, g \in R[x_k]$ be polynomials with main variable x_k .

Theorem

There exists finitely many regular chains $T_1 \cup g_1, \dots, T_e \cup g_e$ such that

$$V(p) \cap W(T \cup t) \subseteq \bigcup_{i=1}^e W(T_i \cup g_i) \subseteq V(p) \cap \overline{W(T \cup t)},$$

where g_i is a regular GCD of p and t in $R[x_k]/\sqrt{\text{Sat}(T_i)}$.

Remark

Note that for all T_i , the regular GCD of p and t in $R[x_k]/\sqrt{\text{Sat}(T_i)}$ can be computed by the same subresultant chain of p and t .

