CS4442/9542b Artificial Intelligence II prof. Olga Veksler

Lecture 12 *Computer Vision* **Object Recognition Convolutional Neural Networks** 

Many slides are from A. Ng, Y. LeCun, G. Hinton, A. Ranzato

# Outline

- Object Recognition
- Deep Neural Networks
  - Convolutional Neural Network

### **Traditional Object Classification**

Tradition Object Recognition system



 Most of the progress in Object Recognition in 1990's and early 2000's was due to designing good features by hand



## NN as Non-Linear Feature Mapping



- 1 hidden layer NN can be interpreted as first mapping input features to new features
- Then applying (linear classifier) to the new features

### NN as Non-Linear Feature Mapping



#### this part implements Perceptron (liner classifier)

### **NN as Non-Linear Feature Mapping**



#### this part implements mapping to new features **y**

### NN as Nonlinear Feature Mapping

• Consider 3 layer NN example we saw previously:



the original feature space

linearly separable in the new feature space

#### NN as Nonlinear Feature Mapping

- Designing hand-crafted features is time consuming
- With NN, change in paradigm
  - instead of hand-crafting , learn features automatically from data

## Shallow vs. Deep Architecture

• How many layers should we choose?

#### **Shallow network**

**Deep network** 



 Deep network lead to many successful applications recently

## Why Deep Networks

Evidence from biology



## Why Deep Networks

- 2 layer networks can represent any function
- But deep architectures are more efficient for representing some functions
  - problems that can be represented with a polynomial number of nodes with k layers, may require an exponential number of nodes with k-1 layers
  - thus with deep architecture, less units might be needed overall
    - less weights, less parameter updates, more efficient

#### Why Deep Networks: Hierarchical Feature Extraction

- Deep architecture works well for hierarchical feature extraction
  - hierarchies features are especially natural in vision
- Each stage is a trainable feature transform
- Level of abstraction increases up the hierarchy



## Early Work on Deep Networks

- Fukushima (1980) Neo-Cognitron
- LeCun (1998) Convolutional Networks (convnets)
  - Similarities to Neo-Cognitron
  - Success on character recognition
- Other attempts at deeply layered Networks trained with backpropagation
  - not much success
    - very slow
    - diffusion of gradient
  - recent work has shown significant training improvements with various tricks (drop-out, unsupervised learning of early layers, etc.)

#### ConvNets: Prior Knowledge for Network Architecture

- Convnets use prior knowledge about recognition task into network architecture design
  - connectivity structure
  - weight constraints
  - neuron activation functions
- This is less intrusive than hand-designing the features
  - but it still prejudices the network towards the particular way of solving the problem that we had in mind

# **Convolutional Network: Motivation**

- Consider a fully connected network
- Example: 200 by 200 image, 4x10<sup>4</sup> connections to one hidden unit
- For 10<sup>5</sup> hidden units → 4x10<sup>9</sup> connections
- But spatial correlations are mostly local
- Do not waste resources by connecting unrelated pixels



# **Convolutional Network: Motivation**

- Connect only pixels in a local patch, say 10x10
- For 200 by 200 image, 10<sup>2</sup> connections to one hidden unit
- For  $10^5$  hidden units  $\rightarrow 10^7$  connections
  - contrast with 4x10<sup>9</sup> for fully connected layer
  - factor of 400 decrease



# **Convolutional Network: Motivation**

- Intuitively, each neuron learns a good feature (a filter) in one particular location
- If a feature is useful in one image location, it should be useful in all other locations
  - stationarity: statistics is similar at different locations
- Idea: make all neurons detect the same feature at different positions
  - i.e. share parameters (network weights) across different locations
  - bias is usually not shared
  - greatly reduces the number of tunable parameters to learn





red connections have equal weight green connections have equal weight blue connections have equal weight

## **ConvNets: Weight Sharing**

- Much fewer parameters to learn
- For 10<sup>5</sup> hidden units and 10x10 patch
  - 10<sup>7</sup> parameters to learn without sharing
  - 10<sup>2</sup> parameters to learn with sharing



## Filtering via Convolution Recap

• Recall filtering with convolution for feature extraction



- Note similarity to convolution with some fixed filter
- But here the filter is learned





























• Output is usually slightly smaller because the borders of the image are left out



• If want output to be the same size, zero-pad the image appropriately



- Can apply convolution only to some pixels (say every second)
  - output layer is smaller
  - less parameters to learn
- Example
  - stride = 2
  - apply convolution every second pixel
  - makes image approximately twice smaller in each dimension
    - image not zero-padded in this example





• Input image is usually color, has 3 channels or depth 3


• Convolve 3D image with 3D filter



- One convolution step is a 75 dimensional dot product between the 5x5x3 filter and a piece of image of size 5x5x3
- Can be expressed as w<sup>t</sup>x, 75 parameters to learn (w)
- Can add bias w<sup>t</sup>x + b, 76 parameters to learn (w,b)



- Convolve 3D image with 3D filter
  - result is a 28x28x1 activation map, no zero padding used
  - 76 parameters to learn



- Each filter is responsible for one feature type
- Learn multiple filters
- Example:
  - 10x10 patch
  - 100 filters
  - only 10<sup>4</sup> parameters to learn
  - because parameters are shared between different locations



• Consider a second, green filter



 If have 6 filters (each of size 5x5x3) get 6 activation maps, 28x28 each



- Stack them to get a new 28x28x6 "image"
- 76x6 = 456 parameters to learn

• Apply activation function (say ReLu) to the activation map



# **Several Convolution Layers**

• Construct a sequence of convolution layers interspersed with activation functions



• Use zero-padding if don't want output layers to shrink

- 1x1 convolutions make perfect sense
- Example
  - Input image of size 56x56x64
  - Convolve with 32 filters, each of size 1x1x64



# Weight Sharing Constraints

- Easy to modify backpropagation algorithm to incorporate weight sharing
- Compute the gradients as usual, and then modify the gradients so that they satisfy the constraints.
  - if the weights started off satisfying the constraints, they will continue to satisfy them
- To constrain  $\mathbf{w}_1 = \mathbf{w}_2$ , we need  $\Delta \mathbf{w}_1 = \Delta \mathbf{w}_2$
- Before we used  $\frac{\partial L}{\partial w_1}$  to update  $\mathbf{w_1}$  and  $\frac{\partial L}{\partial w_2}$  to update  $\mathbf{w_2}$ 
  - Now use  $\frac{\partial L}{\partial w_1} + \frac{\partial L}{\partial w_2}$  to update  $\mathbf{w_1}$  and  $\mathbf{w_2}$ , use

# **Check Learned Convolutions**

• Good training: learned filters exhibit structure and are uncorrelated



# **Convolutional Layer Summary**

- Local connectivity
- Weight sharing
- Handling multiple input/output channels
- Retains location associations



# **Convolutional Layer Summary**

- Takes as input volume W x H x D
- Requires four hyperparameters
  - number of filters **K** 
    - usually try powers of 2 (32, 64, 128, etc)
  - their spatial extent F
    - smaller size is more popular, 3, 5, 7
  - stride **S** 
    - 1 or 2
  - amount of zero padding P
    - as fits
- Produces volume of size W' x H' x D' where
  - W' = (W F +2P)/S +1
  - **H'** = (**H** − **F** +2**P**)/**S** +1
  - D' = K
- With parameter sharing, introduces F\*F\*D weights per filter, for a total of (F\*F\*D)\*K weights and K biases

# **Pooling Layer**

- Say a filter is an eye detector
- Want to detection to be robust to precise eye location



# **Pooling Layer**

- Pool responses at different locations
  - by taking max, average, etc.
  - robustness to exact spatial location
  - also larger receptive field (see more of the input)
- Usually pooling applied with stride > 1
- This reduces resolution of output map
- But we already lost resolution (precision) by pooling

# Pooling Layer: Max Pooling Example

#### Single depth slice

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters and stride 2

6	8	
3	4	

# **Pooling Layer**

• Pooling usually applied to each activation map separately



# **Pooling Layer Summary**

- Takes volume of size W x H x D
- Introduces no parameters to learn
- Hyperparameters
  - stride S
    - common settings: 2
  - spatial extent F
    - common settings: 2,3
  - padding is not common to use with pooling
- Produces a volume of size W' x H' x D'
  - W' = (W F)/S + 1
  - H' = (H F)/S+1
  - D' = D

# **Issues with Pooling**

- After several levels of pooling, we lost information about the precise positions of things
- This makes it impossible to use the precise spatial relationships between high-level parts for recognition

## Local Contrast Normalization



#### Local Contrast Normalization



#### Local Contrast Normalization



- Normalize each patch (say 7x7) to be zero mean unit variance
- Effects
  - Improves invariance
  - Improves optimization by making activation layer on the same scale
  - Usually improves classification rate

#### **ConvNets:** Typical Stage



#### **Typical Architecture**



#### Whole System



# **Fully Connected Layer**

- Can have just one fully connected layer
- Example for 3-class classification problem



• Can have more than one fully connected layer



# **Fully Connected Layer**

- Can implement as a convolutional layer
  - input of size 56x56x64
  - say 3 class problem
  - convolve with 3 filters, each of size 56x56x64



# **Overview of CNN**

- Made up of Layers
- Every Layer has a simple API
  - transforms an input 3D volume to an output 3D volume with some differentiable function
  - may or may not have parameters
  - may or may not have hyperparameters



### **ConvNets:** Training

- All Layers are differentiable
- Use standard back-propagation (gradient descent)
- At test time, run only in forward mode

# **Conv Nets: Character Recognition**

• <a href="http://yann.lecun.com/exdb/lenet/index.html">http://yann.lecun.com/exdb/lenet/index.html</a>



[LeCun et al., 1998] C3: f. maps 16@10x10 C1: feature maps 6@28x28 S4: f. maps 16@5x5 INPUT 32x32 S2: f. maps C5: layer OUTPUT F6: layer 6@14x14 20 10 84 Full connection Gaussian connections Convolutions Subsampling Convolutions Subsampling Full connection

# ConvNet for ImageNet

- Krizhevsky et.al.(NIPS 2012) developed deep convolutional neural net of the type pioneered by Yann LeCun
- Architecture
  - 7 hidden layers not counting some max pooling layers
  - the early layers were convolutional
  - the last two layers were globally connected
- Activation function
  - rectified linear units in every hidden layer
  - train much faster and are more expressive than logistic unit

### Results: ILSVRC 2012



# **ConvNet on Image Classification**



## Krizhevsky et.al. Architecture



# **Tricks to Improve Generalization**

- To get more data
  - Use left-right reflections of the images
  - Train on random 224x224 patches from the 256x256 images
- At test time
  - combine the opinions from ten different patches:
    - four 224x224 corner patches plus the central 224x224 patch
    - the reflections of those five patches
- Use *dropout* to regularize weights in the fully connected layers
  - half of the hidden units in a layer are randomly removed for each training example

#### ImageNet Experiments

