CS2209A 2017 Applied Logic for Computer Science

# Lectures 14, 15 Set Theory and Related

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#### Power sets

- A **power set** of a set A,  $\mathcal{P}(A)$ , is a set of **all subsets** of A.
  - Think of sets as boxes of elements.
  - A subset of a set A is a box with elements of A (maybe all, maybe none, maybe some).
  - Then  $\mathcal{P}(A)$  is a box containing boxes with elements of A.
  - When you open the box  $\mathcal{P}(A)$ , you don't see chocolates (elements of A), you see boxes.

$$- A = \{1,2\}, \ \mathcal{P}(A) = \{\emptyset, \{1\}, \{2\}, \{1,2\}\}$$

$$-A = \emptyset, \ \mathcal{P}(A) = \{\emptyset\}.$$

- They are not the same! There is nothing in A, and there is one element, an empty box, in  $\mathcal{P}(A)$
- If A has n elements, then  $\mathcal{P}(A)$  has  $2^n$  elements.







#### **Cartesian products**

- **Cartesian product** of A and B is a set of all pairs of elements with the first from A, and the second from B:
  - $A \times B = \{(x, y) \mid x \in A, y \in B\}$
  - A={1,2,3}, B={a,b}
  - $A \times B = \{(1, a), (1, b), (2, a), (2, b), (3, a), (3, b)\}$
  - $A=\{1,2\}, A \times A = \{(1,1), (1,2), (2,1), (2,2)\}$
- Order of pairs does not matter, order within pairs does:  $A \times B \neq B \times A$ .
- Number of elements in  $A \times B$  is  $|A \times B| = |A| \cdot |B|$
- Can define the Cartesian product for any number of sets:
  - $A_1 \times A_2 \times \cdots \times A_k = \{(x_1, x_2, \dots x_k) | x_1 \in A_1 \dots x_k \in A_k\}$ -  $A = \{1, 2, 3\}, B = \{a, b\}, C = \{3, 4\}$
  - $-A \times B \times C = \{(1, a, 3), (1, a, 4), (1, b, 3), (1, b, 4), (2, a, 3), (2, a, 4), (2, b, 3), (2, b, 4), (3, a, 3), (3, a, 4), (3, b, 3), (3, b, 4)\}$













#### Proofs with sets

- Two ways to describe the purple area
- $\overline{A \cup B}$ ,  $\overline{A} \cap \overline{B}$ 
  - $-x \in \overline{A \cup B}$  when  $x \notin A \cup B$
  - This happens when  $x \notin A \land x \notin B$ .
  - So  $x \in \overline{A} \cap \overline{B}$ . Since we picked an arbitrary x, then  $\overline{A \cup B} \subseteq \overline{A} \cap \overline{B}$
  - Not quite done yet ... Now let  $x \in \overline{A} \cap \overline{B}$
  - Then  $x \in \overline{A} \land x \in \overline{B}$ . So  $x \notin A \land x \notin B$ .
  - $-x \notin A \land x \notin B \equiv \neg (x \in A \lor x \in B)$ . So  $x \notin A \cup B$ . Thus  $x \in A \cup B$ .
  - Since x was an arbitrary element of  $A \cap \overline{B}$ , then  $\overline{A} \cap \overline{B} \subseteq \overline{A \cup B}$ .
  - Therefore  $\overline{A \cup B} = \overline{A} \cap \overline{B}$



# Laws of set theory

- Two ways to describe the purple area
  - $\overline{A \cup B} = \overline{A} \cap \overline{B}$
- By similar reasoning,
  - $\bullet \overline{A \cap B} = \overline{A} \cup \overline{B}$



• Does this remind you of something?...

$$-\neg (p \lor q) \equiv \neg p \land \neg q$$

- **De Morgan's law** works in set theory!
- What about other equivalences from logic?

#### More useful equivalences



- For any formulas A, B, C:
  - $A \lor \neg A \equiv True$

$$- True \lor A \equiv True.$$

$$-$$
 False  $\lor A \equiv A$ .

 $- \mathsf{A} \lor A \equiv A \land A \equiv A$ 

 $A \land \neg A \equiv False$   $True \land A \equiv A$  $False \land A \equiv False$ 

- Also, like in arithmetic (with V as +, ∧ as \*)
  - $-A \lor B \equiv B \lor A$  and  $(A \lor B) \lor C \equiv A \lor (B \lor C)$
  - Same holds for  $\wedge$ .
  - Also,  $(A \lor B) \land C \equiv (A \land C) \lor (B \land C)$
- And unlike arithmetic

 $-(A \land B) \lor C \equiv (A \lor C) \land (B \lor C)$ 









## Propositions vs. sets



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Propositional logic	Set theory	A B
Negation $\neg p$	Complement $\overline{A}$	
AND $p \land q$	Intersection $A \cap B$	
OR $p \lor q$	Union $A \cup B$	
FALSE	Empty set Ø	
TRUE	Universe U	



# Laws of set theory



- For any sets A, B, C and universe U:
  - $A \cup \overline{A} = U \qquad A \cap \overline{A} = \emptyset$  $U \cup A = U. \qquad U \cap A = A$
  - $\phi \cup A = A. \qquad \phi \cap A = \phi$
  - $A \cup A = A \cap A = A$
- Also, like in arithmetic (with ∨ as +, ∧ as \*)
  - $A \cup B = B \cup A \text{ and } (A \cup B) \cup C = A \cup (B \cup C)$
  - Same holds for ∩.
  - Also,  $(A \cup B) \cap C = (A \cap C) \cup (B \cap C)$
- And unlike arithmetic
  - $(A \cap B) \cup C \equiv (A \cup C) \cap (B \cup C)$





### **Boolean algebra**



 The "algebra" of both propositional logic and set theory is called **Boolean algebra** (as opposed to algebra on numbers).

Propositional logic	Set theory	Boolean algebra		
Negation $\neg p$	Complement $\overline{A}$	$\overline{a}$		
AND $p \land q$	Intersection $A \cap B$	$a \cdot b$		
OR $p \lor q$	Union $A \cup B$	a + b		
FALSE	Empty set Ø	0		
TRUE	Universe U	1		

## **Axioms of Boolean algebra**



- 1. a + b = b + a,  $a \cdot b = b \cdot a$
- 2. (a+b)+c = a+(b+c)  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$

3. 
$$a + (b \cdot c) = (a + b) \cdot (a + c)$$
  
 $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ 

- 4. There exist distinct elements 0 and 1 (among underlying set of elements B of the algebra) such that for all  $a \in B$ , a + 0 = a  $a \cdot 1 = a$
- 5. For each  $a \in B$  there exists an element  $\overline{a} \in B$  such that  $a + \overline{a} = 1$   $a \cdot \overline{a} = 0$

How about De Morgan, etc.? They can be derived from the axioms!

# Relations

- A relation is a subset of a Cartesian product of sets.
  - If of two sets (set of pairs), call it a **binary** relation.
  - Of 3 sets (set of triples), ternary. Of k sets (set of tuples), k-nary
  - A={1,2,3}, B={a,b}
    - $A \times B = \{(1, a), (1, b), (2, a), (2, b), (3, a), (3, b)\}$
    - R = {(1,a), (2,b),(3,a), (3,b)} is a relation. So is R={(1,b)}.
  - A={1,2},
    - $A \times A = \{(1,1), (1,2), (2,1), (2,2)\}$
    - R={(1,1), (2,2)} (all pairs (x,y) where x=y)
    - $R=\{(1,1),(1,2),(2,2)\}$  (all pairs (x,y) where  $x \le y$ ).
  - A=PEOPLE
    - COUPLES ={(x,y) | Loves(x,y)}
    - PARENTS ={(x,y) | Parent(x,y)}
  - A=PEOPLE, B=DOGS, C=PLACES
    - WALKS = {(x,y,z) | x walks y in z}
      - Jane walks Buddy in spring bank park.



Graph of R (bipartite)





### Types of binary relations

- A binary relation  $R \subseteq A \times A$  is
  - Reflexive if  $\forall x \in A, R(x, x)$ 
    - Every x is related to itself.
    - E.g. A={1,2},  $R_1 = \{ (1,1), (2,2), (1,2) \}$
    - On A =  $\mathbb{Z}$ ,  $\mathbb{R}_2 = \{(x, y) | x = y\}$  is reflexive
    - But not  $R_3 = \{(x, y) | x < y\}$





- Symmetric if  $\forall x, y \in A$ ,  $(x, y) \in R \leftrightarrow (y, x) \in R$ 
  - $R_1$  and  $R_3$  above are not symmetric.  $R_2$  is.
  - A =  $\mathbb{Z}$ ,  $\mathbb{R}_4 = \{(x, y) | x \equiv y \mod 3\}$  is symmetric.

#### - Transitive if $\forall x, y, z \in A$ , $(x, y) \in R \land (y, z) \in R \rightarrow (x, z) \in R$

- $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  are all transitive.
- $R_5 = \{(x, y) | x, y \in \mathbb{Z} \land x + 1 = y\}$  is not transitive.
- PARENT = { $(x, y) | x, y \in PEOPLE \land x \text{ is a parent of } y$ } is not.
- A transitive closure of a relation R is a relation  $R^* = \{(x, z) \mid \exists k \in \mathbb{N} \exists y_0, \dots, y_k \in A \ (x = y_0 \land z = y_k \land \forall i \in \{0, \dots, k-1\} R(y_i, y_{i+1})\}$ - That is, can get from x to z following R arrows.

### Types of binary relations

- A binary relation  $R \subseteq A \times A$  is
  - Anti-reflexive if  $\forall x \in A, \neg R(x, x)$ 
    - R can be neither reflexive nor anti-reflexive.
    - E.g. A={1,2},  $\frac{R_6}{R_6}$  = {(1,2)}
      - but not  $R_1 = \{ (1,1), (2,2), (1,2) \}$  (reflexive)
      - nor  $R_7 = \{(1,1), (1,2)\}$  (neither)
    - For  $A = \mathbb{Z}$ , not  $\frac{R_2}{R_2} = \{(x, y) | x = y\}$ 
      - Nor  $R_4 = \{(x, y) | x \equiv y \mod 3 \}$
    - But  $R_3 = \{(x, y) | x < y\}$  is anti-reflexive.
      - So are  $\mathbb{R}_5 = \{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid x + 1 = y\}$
      - And PARENT = { $(x, y) \in PEOPLE \times PEOPLE | x \text{ is a parent of } y$ }

#### – Anti-symmetric

- if  $\forall x, y \in A, (x, y) \in R \land (y, x) \in R \rightarrow x = y$ 
  - $R_1, R_3, R_5, R_6, R_7, PARENT$  are anti-symmetric.  $R_4$  is not.
  - $R_2$  is both symmetric and anti-symmetric.
  - $R_8 = \{(1,2), (2,1), (1,3)\}$  is neither symmetric nor anti-symmetric.

Graph of {(1,2)}

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# Equivalence



- A binary relation *R* ⊆ *A* × *A* is an **equivalence** if R is reflexive, symmetric and transitive.
  - E.g. A={1,2},  $R = \{(1,1), (2,2)\}$  or  $R = A \times A$
  - Not  $R_1 = \{ (1,1), (2,2), (1,2) \}$  nor  $R_3 = \{ (x,y) | x < y \}$
  - On  $A = \mathbb{Z}$ ,  $R_2 = \{(x, y) | x = y\}$  is an equivalence
  - So is  $R_4 = \{(x, y) | x \equiv y \mod 3 \}$ 
    - Reflexive:  $\forall x \in \mathbb{Z}, x \equiv x \mod 3$
    - Symmetric:  $\forall x, y \in \mathbb{Z}, x \equiv y \mod 3 \rightarrow y \equiv x \mod 3$
    - Transitive:  $\forall x, y, z \in \mathbb{Z}, x \equiv y \mod 3 \land y \equiv z \mod 3 \rightarrow x \equiv z \mod 3$
- An equivalence relation partitions A into equivalence classes:
  - Intersection of any two equivalence classes is Ø
  - Union of all equivalence classes is A.
  - $\begin{array}{l} R_4 : \mathbb{Z} = \{x \mid x \equiv 0 \bmod 3\} \cup \{x \mid x \equiv 1 \bmod 3\} \cup \{x \mid x \equiv 2 \bmod 3\} \end{array}$
  - $-R = A \times A$  gives rise to a single equivalence class.  $R = \{(1,1), (2,2)\}$  to two.

# Partial and total orders

- A binary relation  $R \subseteq A \times A$  is an **order** if R is **reflexive**, **anti-symmetric** and ۲ transitive.
  - R is a **total order** if  $\forall x, y \in A$   $R(x, y) \lor R(y, x)$ 
    - That is, every two elements of A are related.
    - E.g.  $R_1 = \{(x, y) | x, y \in \mathbb{Z} \land x \leq y\}$  is a total order.
    - So is alphabetical order of English words.
    - But not  $R_2 = \{(x, y) | x, y \in \mathbb{Z} \land x < y\}$ 
      - not reflexive, so not an order.
  - Otherwise, R is a **partial order**.
    - SUBSETS = { $(A, B) \mid A, B \text{ are sets } \land A \subseteq B$  } is a partial order.
      - Reflexive:  $\forall A, A \subseteq A$
      - Anti-symmetric:  $\forall A, B \ A \subseteq B \land B \subseteq A \rightarrow A = B$
      - Transitive:  $\forall A, B, C \ A \subseteq B \land B \subseteq C \rightarrow A \subseteq C$
      - Not total: if A ={1,2} and B ={1,3}, then neither  $A \subseteq B$  nor  $B \subseteq A$
    - DIVISORS = {(x,y) |  $x, y \in \mathbb{N} \land x, y \ge 2 \land \exists z \in \mathbb{N} \ y = z \cdot x$ } is a partial order.
    - PARENT is not an order. But ANCESTOR would be, if defined so that each person is an ancestor of themselves. It is a partial order.
- An order may have **minimal** and **maximal** elements (maybe multiple) ۰
  - $-x \in A$  is minimal in R if  $\forall y \in A \ y \neq x \rightarrow \neg R(y, x)$ 
    - and maximal if  $\forall y \in A \ y \neq x \rightarrow \neg R(x, y)$
  - Ø is minimal in SUBSETS (its unique minimum); universe is maximal (its unique maximum).
  - All primes are minimal in DIVISORS, and there are no maximal elements.







# Functions

- A function  $f: X \to Y$  is a relation on  $X \times Y$  such that for every  $x \in X$  there is at most one  $y \in Y$  for which (x, y) is in the relation.
  - Usual notation: f(x) = y
    - y is an **image** of x under f.
  - X is the **domain** of f
  - Y is the **codomain** of f
  - Range of f (image of X under f):
    - $\{y \in Y \mid \exists x \in X, f(x) = y\}$
  - **Preimage** of a given  $y \in Y$ :
    - $\{x \in X \mid f(x) = y\}$ 
      - Preimage of b is {2,3}.









#### **Functions**

- A function  $f: X \to Y$  is
  - Total:  $\forall x \in X \exists y \in Y f(x) = y$ 
    - f:  $\mathbb{Z} \to \mathbb{Z}$
    - f(x) = x + 1 is total.
    - $f(x) = \frac{100}{x}$  is not total. Why?
  - Onto:  $\forall y \in Y \exists x \in X f(x) = y$ 
    - f(x) = x + 1 is onto over  $\mathbb{Z}$ , but not over  $\mathbb{N}$
  - One-to-one:  $\forall x_1, x_2 \in X (f(x_1) = f(x_1) \rightarrow x_1 = x_2)$ 
    - f(x) = x + 1 is one-to-one.
    - $f(x) = x^2$  is not one-to-one
  - Bijection: both one-to-one and onto.
    - f(x) = x + 1 is a bijection over  $\mathbb{Z}$ .





Not total

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# **Functions**





• An **inverse** of f is  $f^{-1}: Y \to X$ , such that  $f^{-1}(y) = x$  iff f(x) = y

$$-f(x) = x + 1, f^{-1}(y) = y - 1$$

- Only one-to-one functions have an inverse

- **Composition** of  $f: X \to Y$  and  $g: Y \to Z$  is  $g \circ f: X \to Z$  such that  $(g \circ f)(x) = g(f(x))$

$$-f(x) = \frac{x}{5}, g(x) = [x], \text{ over } \mathbb{R}$$

#### • [x] is ceiling: x rounded up to nearest integer.

$$-(g \circ f)(x) = g(f(x)) = \left[\frac{x}{5}\right]$$

$$- (f \circ g)(x) = f(g(x)) = \frac{[x]}{5}$$

$$-(g \circ f)(12.5) = [2.5] = 3$$

$$-(f \circ g)(12.5) = 13/5 = 2.6$$





# Puzzle: the barber

 In a certain village, there is a (male) barber who shaves all and only those men of the village who do not shave themselves.



• Question: who shaves the barber?



# Cardinalities of infinite sets

- Two finite sets A and B have the **same cardinality** if they have the same number of elements
  - That is, for each element of A there is exactly one matching element of B.



- For infinite sets A and B, define |A|=|B| iff there exists a bijection between A and B.
  - If there is both a one-to-one function from A to B, and an onto function from A to B.
- A set A is **countable** iff |A| = |N|.
  - $\mathbb{Z}$  is countable: take  $f: \mathbb{Z} \to \mathbb{N}$ , f(x) = 2x if  $x \ge 0$ , else f(x) = -(1+2x)
  - Set of all finite strings over {0,1}, denoted {0,1}\*, is countable.
    - Empty string, 0, 1, 00, 01, 10, 11, 000, 001, ...
  - An infinite subset of a countable language is countable.
    A Cartesian product of countable languages is countable:
    - $\mathbb{N} \times \mathbb{N}$ : (0,0), (0,1), (1,0), (2,0), (1,1), (0,2), (3,0), (2,1), (1,2),...
  - $\mathbb{Q}$  is countable:  $\mathbb{Q} \subset \mathbb{Z} \times \mathbb{Z}$



# Diagonalization: $\mathbb{R}$

- Is there a bigger infinity?
  - Yes! In particular, ℝ is uncountable. Even [0,1) interval of the real line is uncountable!
    - Reals may have infinite strings of digits after the decimal point.
    - Imagine if there were a numbered list of all reals in [0,1)
      - $-a_0, a_1, a_2, a_3, \dots$
    - For example:
      - $a_1 = 0.23145...$
      - $-a_2 = 0.30000...$

— ...

- Let number d be:
  - $d[i]=(a_i[i]+1) \mod 10$
  - Here, [i] is  $i^{th}$  digit.
  - This *d* is a valid real number!
- But if number d were in the list, e.g.  $k^{th}$ , a contradiction
  - It would have to differ from itself in  $k^{th}$  place.

0.	r[1]	r[2]	r[3]	r[4]	r[5]	 r[k]	
$a_0$	2	3	1	4	5		
1	3	0	0	0	0		
2	9	9	9	9	9		
k	2	1	3	4	3	 5	
d	3	1	0			 6	

# O Diagonalization: languages

- An **alphabet** is a finite set of symbols.
  - For example, {0,1} is the binary alphabet.
- A language is a set of finite strings over a given alphabet.
  - For example,  $\{0,1\}^*$  is the set of all finite binary strings.
  - − PRIMES ⊂  $\{0,1\}^*$  is all strings coding prime numbers in binary.
  - PYTHON ⊂ {0,1}\* is all strings coding valid Python programs in binary.
- Every language is countable.
  - $\{0,1\}^*$ , PRIMES, PYTHON are countable
- Set of all languages is uncountable.
  - Put "yes" if  $s \in L$ , "no" if  $s \notin L$
  - Let language D be:
    - $s \in D$  iff  $s \notin L_s$
  - If D were in the list, e.g. as  $L_k$ , a contradiction
    - It would have to differ from itself in  $k^{th}$  place.
- So there is a language for which there is no Python program which would correctly print "yes" on strings in the language, and "no" otherwise.





# Puzzle: the barber club

- In a certain barber's club,
  - Every member has shaved at least one other member
  - No member shaved himself
  - No member has been shaved by more than one member
  - There is a member who has never been shaved.
- Question: how many barbers are in this club?



**Infinitely many!** 

Barber 0 grows a beard. For all  $n \in \mathbb{N}$ , barber n shaves barber n+1



# $\sim$

# The Halting Problem

- A specific example of a problem not solvable by any program: the Halting problem, invented by Alan Turing:
  - Input:
    - Prog: A program as piece of code (e.g., in Python):
    - x: Input to that program.
  - Output:
    - "yes" if this Prog(x) stops (that is, program Prog stops on input x).
    - "no" if Prog goes into an infinite loop on input x.
  - Suppose there is a program Halt(Prog, x) which always stops and prints "yes" or "no" correctly.
    - Nothing wrong with giving a piece of code as an input to another program.
  - Then there is a program HaltOnItself(Prog) = Halt(Prog, Prog)
  - And a program Diag(Prog):
    - if Halt(Prog, Prog) says "yes", go into infinite loop (e.g. add "while 0 <1: " to Halt's code).
    - if Halt(Prog, Prog) says "no", stop.
  - Now, what should Diag(Diag) do?...
    - Paradox! It is like a barber who shaves everybody who does not shave himself.
    - So the program Diag does not exist... Thus the program Halt does not exist!
- So there is no program that would always stop and give the right answer for the Halting problem.



