

# Relations

Chapter 9

# Chapter Summary

- Relations and Their Properties
- Representing Relations
- Equivalence Relations
- Partial Orderings

# Relations and Their Properties

Section 9.1

# Section Summary

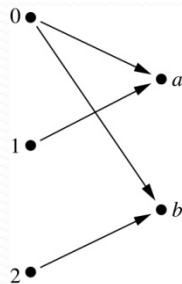
- Relations and Functions
- Properties of Relations
  - Reflexive Relations
  - Symmetric and Antisymmetric Relations
  - Transitive Relations
- Combining Relations

# Binary Relations

**Definition:** A *binary relation*  $R$  from a set  $A$  to a set  $B$  is a subset  $R \subseteq A \times B$ .

**Example:**

- Let  $A = \{0,1,2\}$  and  $B = \{a,b\}$
- $\{(0, a), (0, b), (1,a), (2, b)\}$  is a relation from  $A$  to  $B$ .
- We can represent relations from a set  $A$  to a set  $B$  graphically or using a table:



$R$	$a$	$b$
0	×	×
1	×	
2		×

Relations are more general than functions. A function is a relation where exactly one element of  $B$  is related to each element of  $A$ .

# Binary Relation on a Set

**Definition:** A **binary relation**  $R$  on a set  $A$  is a subset of  $A \times A$  or a relation from  $A$  to  $A$ .

**Example:**

- Suppose that  $A = \{a, b, c\}$ . Then  $R = \{(a, a), (a, b), (a, c)\}$  is a relation on  $A$ .
- Let  $A = \{1, 2, 3, 4\}$ . The ordered pairs in the relation  $R = \{(a, b) \mid a \text{ divides } b\}$  are  $(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3),$  and  $(4, 4)$ .

# Binary Relation on a Set (*cont.*)

**Question:** How many relations are there on a set  $A$ ?

**Solution:** Because a relation on  $A$  is the same thing as a subset of  $A \times A$ , we count the subsets of  $A \times A$ . Since  $A \times A$  has  $n^2$  elements when  $A$  has  $n$  elements, and a set with  $m$  elements has  $2^m$  subsets, there are  $2^{|A|^2}$  subsets of  $A \times A$ . Therefore, there are  $2^{|A|^2}$  relations on a set  $A$ .

# Binary Relations on a Set (*cont.*)

**Example:** Consider these **relations** on the set of integers:

$$R_1 = \{(a,b) \mid a \leq b\},$$

$$R_4 = \{(a,b) \mid a = b\},$$

$$R_2 = \{(a,b) \mid a > b\},$$

$$R_5 = \{(a,b) \mid a = b + 1\},$$

$$R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},$$

$$R_6 = \{(a,b) \mid a + b \leq 3\}.$$

Note that these relations are on an infinite set and each of these relations is an infinite set.

Which of these relations contain each of the pairs

$(1,1)$ ,  $(1, 2)$ ,  $(2, 1)$ ,  $(1, -1)$ , and  $(2, 2)$ ?

**Solution:** Checking the conditions that define each relation, we see that the pair  $(1,1)$  is in  $R_1$ ,  $R_3$ ,  $R_4$ , and  $R_6$ ;  $(1,2)$  is in  $R_1$  and  $R_6$ ;  $(2,1)$  is in  $R_2$ ,  $R_5$ , and  $R_6$ ;  $(1, -1)$  is in  $R_2$ ,  $R_3$ , and  $R_6$ ;  $(2,2)$  is in  $R_1$ ,  $R_3$ , and  $R_4$ .

# Reflexive Relations

**Definition:**  $R$  is *reflexive* iff  $(a,a) \in R$  for every element  $a \in A$ .  
Written symbolically,  $R$  is reflexive if and only if

$$\forall x [x \in A \rightarrow (x,x) \in R]$$

**Example:** The following relations on the integers are reflexive:

$$R_1 = \{(a,b) \mid a \leq b\},$$

$$R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a,b) \mid a = b\}.$$

If  $A = \emptyset$  then the empty relation is reflexive vacuously. That is, the empty relation on an empty set is reflexive!

The following relations are not reflexive:

$$R_2 = \{(a,b) \mid a > b\} \text{ (note that } 3 \not> 3\text{),}$$

$$R_5 = \{(a,b) \mid a = b + 1\} \text{ (note that } 3 \neq 3 + 1\text{),}$$

$$R_6 = \{(a,b) \mid a + b \leq 3\} \text{ (note that } 4 + 4 \not\leq 3\text{).}$$

# Symmetric Relations

**Definition:**  $R$  is *symmetric* iff  $(b,a) \in R$  whenever  $(a,b) \in R$  for all  $a,b \in A$ . Written symbolically,  $R$  is symmetric if and only if

$$\forall x \forall y [(x,y) \in R \rightarrow (y,x) \in R]$$

**Example:** The following relations on the integers are symmetric:

$$R_3 = \{(a,b) \mid |a| = |b|\},$$

$$R_4 = \{(a,b) \mid a = b\},$$

$$R_6 = \{(a,b) \mid a + b \leq 3\}.$$

The following are not symmetric:

$$R_1 = \{(a,b) \mid a \leq b\} \quad (\text{note that } 3 \leq 4, \text{ but } 4 \not\leq 3),$$

$$R_2 = \{(a,b) \mid a > b\} \quad (\text{note that } 4 > 3, \text{ but } 3 \not> 4),$$

$$R_5 = \{(a,b) \mid a = b + 1\} \quad (\text{note that } 4 = 3 + 1, \text{ but } 3 \neq 4 + 1).$$

# Antisymmetric Relations

**Definition:** Relation  $R$  on a set  $A$  such that for all  $a, b \in A$  if  $(a, b) \in R$  and  $(b, a) \in R$ , then  $a = b$  is called *antisymmetric*. Written symbolically,  $R$  is antisymmetric if and only if

$$\forall x \forall y [(x, y) \in R \wedge (y, x) \in R \rightarrow x = y]$$

Note: if  $x$  and  $y$  are distinct ( $x \neq y$ ) then  $R$  can not have both  $(x, y)$  and  $(y, x)$ .

- **Example:** The following relations on the integers are antisymmetric:

$$R_1 = \{(a, b) \mid a \leq b\},$$

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\}.$$

← For any integer, if  $a \leq b$  and  $a \leq b$  then  $a = b$ .

The following relations are not antisymmetric:

$$R_3 = \{(a, b) \mid |a| = |b|\} \quad (\text{note that both } (1, -1) \text{ and } (-1, 1) \text{ belong to } R_3),$$

$$R_6 = \{(a, b) \mid a + b \leq 3\} \quad (\text{note that both } (1, 2) \text{ and } (2, 1) \text{ belong to } R_6).$$

# Transitive Relations

**Definition:** A relation  $R$  on a set  $A$  is called **transitive** if whenever  $(a,b) \in R$  and  $(b,c) \in R$ , then  $(a,c) \in R$ , for all  $a,b,c \in A$ . Written symbolically,  $R$  is transitive if and only if

$$\forall x \forall y \forall z [(x,y) \in R \wedge (y,z) \in R \rightarrow (x,z) \in R]$$

- **Example:** The following relations on the integers are transitive:

$$R_1 = \{(a,b) \mid a \leq b\},$$

$$R_2 = \{(a,b) \mid a > b\},$$

$$R_3 = \{(a,b) \mid |a| = |b|\},$$

$$R_4 = \{(a,b) \mid a = b\}.$$



For every integer,  $a \leq b$   
and  $b \leq c$ , then  $b \leq c$ .

The following are not transitive:

$$R_5 = \{(a,b) \mid a = b + 1\} \text{ (note that both } (3,2) \text{ and } (4,3) \text{ belong to } R_5, \text{ but not } (3,3)),$$

$$R_6 = \{(a,b) \mid a + b \leq 3\} \text{ (note that both } (2,1) \text{ and } (1,2) \text{ belong to } R_6, \text{ but not } (2,2)).$$

# Combining Relations

- Given two relations  $R_1$  and  $R_2$ , we can combine them using basic set operations to form new relations such as  $R_1 \cup R_2$ ,  $R_1 \cap R_2$ ,  $R_1 - R_2$ , and  $R_2 - R_1$ .

- **Example:** Let  $A = \{1,2,3\}$  and  $B = \{1,2,3,4\}$ .

The relations  $R_1 = \{(1,1), (2,2), (3,3)\}$  and

$R_2 = \{(1,1), (1,2), (1,3), (1,4)\}$  can be

combined using basic set operations to form new relations:

$$R_1 \cup R_2 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (3,3)\}$$

$$R_1 \cap R_2 = \{(1,1)\} \quad R_1 - R_2 = \{(2,2), (3,3)\}$$

$$R_2 - R_1 = \{(1,2), (1,3), (1,4)\}$$

# Combining Relations via Composition

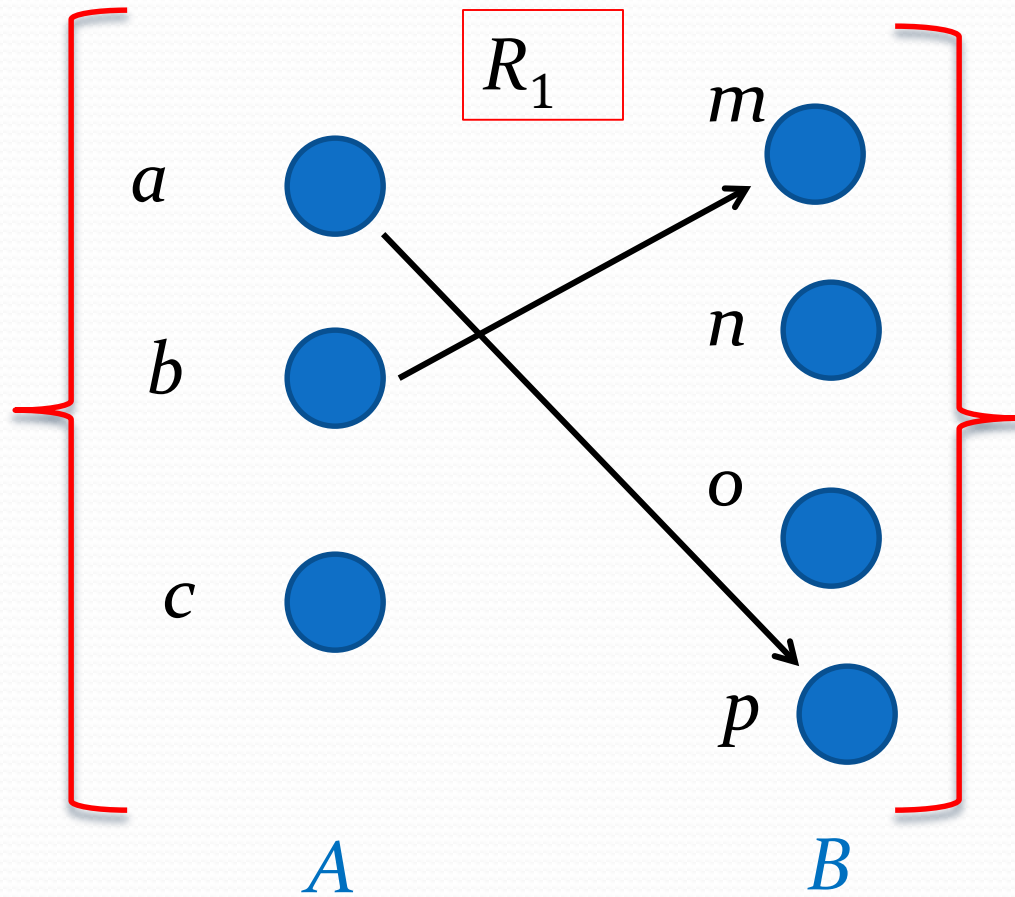
**Definition:** Suppose

- $R_1$  is a relation from a set  $A$  to a set  $B$ .
- $R_2$  is a relation from  $B$  to a set  $C$ .

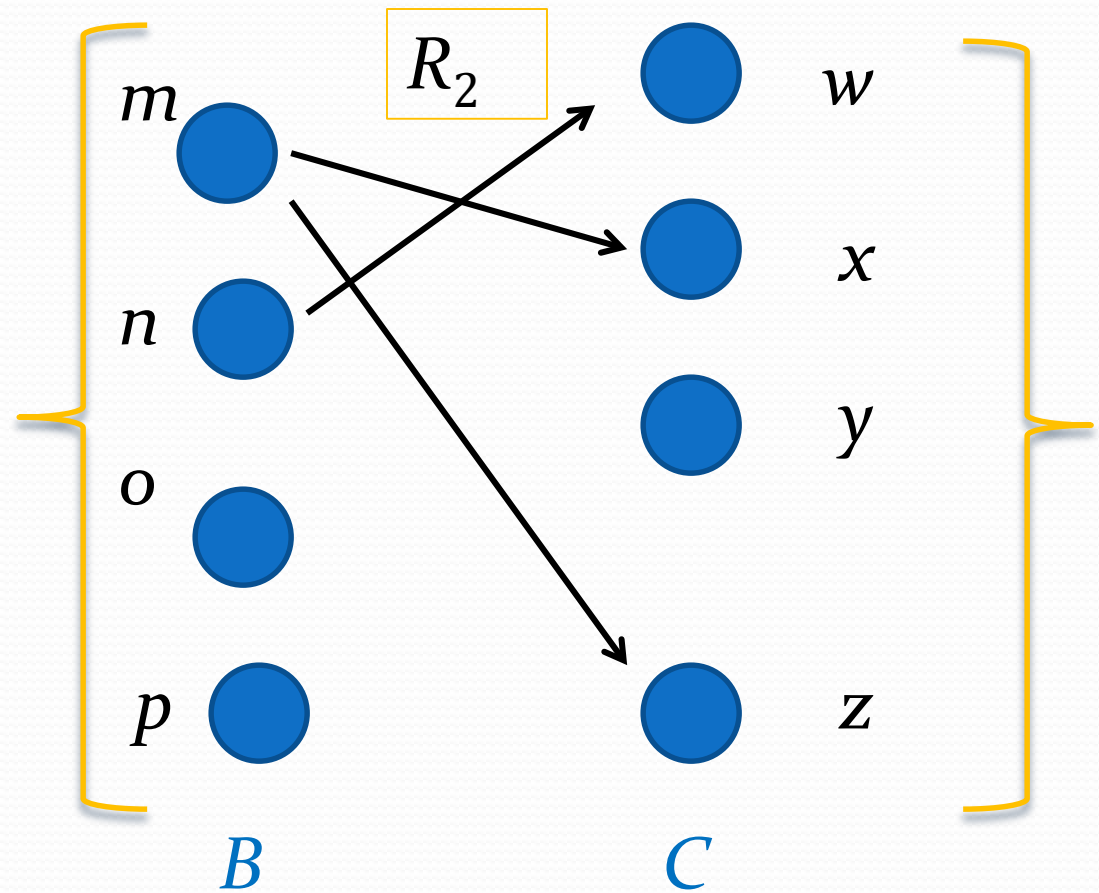
Then the *composition* (or *composite*) of  $R_2$  with  $R_1$ , is a relation from  $A$  to  $C$ , denoted  $R_2 \circ R_1$ , where

- if  $(x,y)$  is a member of  $R_1$  and  $(y,z)$  is a member of  $R_2$  then  $(x,z)$  is a member of  $R_2 \circ R_1$ .
- also, if  $(x,z) \in R_2 \circ R_1$  then there exists some  $y \in B$  such that  $(x,y) \in R_1$  and  $(y,z) \in R_2$

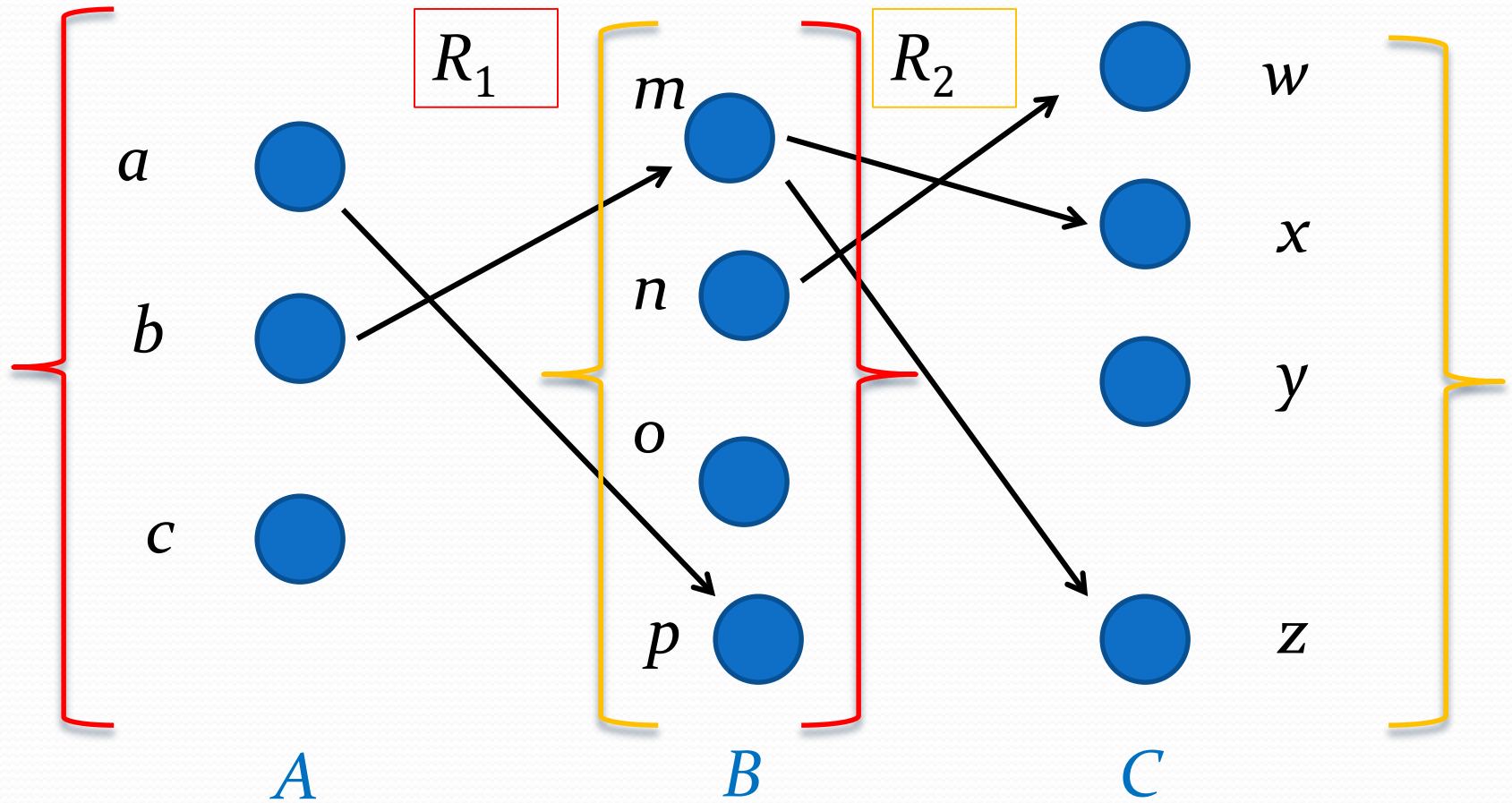
# Representing the Composition of a Relation



# Representing the Composition of a Relation

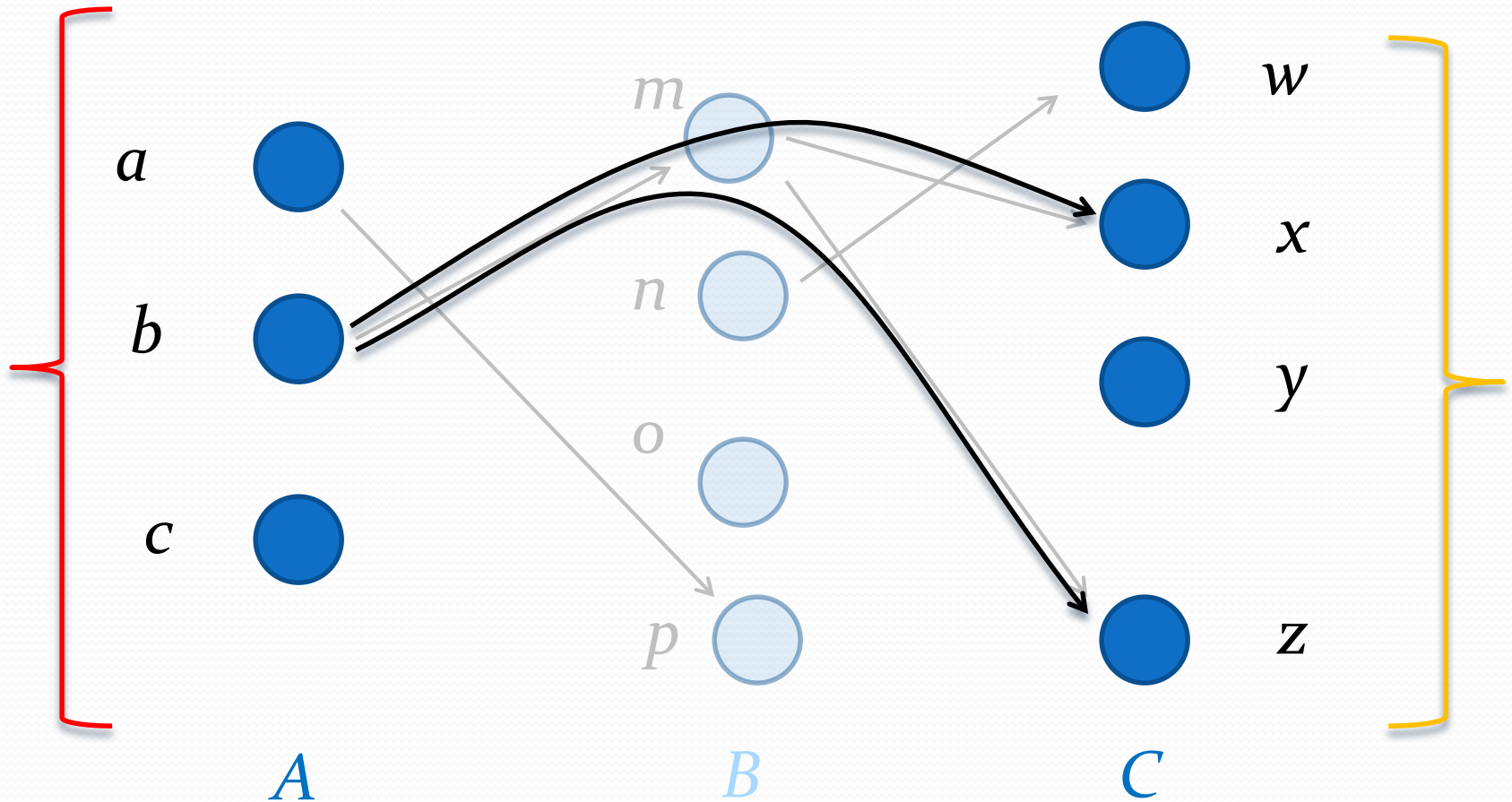


# Representing the Composition of a Relation



$$R_2 \circ R_1 = \{(b, z), (b, x)\}$$

# Representing the Composition of a Relation



$$R_2 \circ R_1 = \{(b, z), (b, x)\}$$

# Composition of a relation with itself

**Definition:** Let  $R$  be a binary relation on a set  $A$ . Then the composition (or composite) of  $R$  with  $R$ , denoted  $R \circ R$ , is a relation on  $A$  where

- if  $(x,y)$  is a member of  $R$  and  $(y,z)$  is a member of  $R$  then  $(x,z)$  is a member of  $R \circ R$

**Example:** Let  $R$  be a relation on the set of all people such that  $(a,b)$  is in  $R$  if person  $a$  is parent of person  $b$ . Then  $(a,c)$  is in  $R \circ R$  iff there is a person  $b$  such that  $(a,b)$  is in  $R$  and  $(b,c)$  is in  $R$ . In other words,  $(a,c)$  is in  $R \circ R$  iff  $a$  is a grandparent of  $c$ .

# Powers of a Relation

**Definition:** Let  $R$  be a binary relation on  $A$ . Then the powers  $R^n$  of the relation  $R$  can be defined inductively by:

- Basis Step:  $R^1 = R$
- Inductive Step:  $R^{n+1} = R^n \circ R$

**The powers of a transitive relation are subsets of the relation.**

This is established by the following theorem:

**Theorem 1:** The relation  $R$  on a set  $A$  is transitive iff  $R^n \subseteq R$  for all positive integers  $n$ .

*(see the text for a proof via mathematical induction)*

# Representing Relations

Section 9.3

# Section Summary

- Representing Relations using Matrices
- Representing Relations using Digraphs

# Representing Relations Using Matrices

- A relation between finite sets can be represented using a **zero-one matrix**.
- Suppose  $R$  is a relation from  $A = \{a_1, a_2, \dots, a_m\}$  to  $B = \{b_1, b_2, \dots, b_n\}$ .
  - The elements of the two sets can be listed in any particular arbitrary order. When  $A = B$ , we use the same ordering.
- The relation  $R$  is represented by the matrix  $M_R = [m_{ij}]$ , where

$$m_{ij} = \begin{cases} 1 & \text{if } (a_i, b_j) \in R, \\ 0 & \text{if } (a_i, b_j) \notin R. \end{cases}$$

- The matrix representing  $R$  has a 1 as its  $(i,j)$  entry when  $a_i$  is related to  $b_j$  and a 0 if  $a_i$  is not related to  $b_j$ .

# Examples of Representing Relations Using Matrices

**Example 1:** Suppose that  $A = \{1,2,3\}$  and  $B = \{1,2\}$ . Let  $R$  be the relation from  $A$  to  $B$  such that

$$R = \{ (a,b) \mid a \in A, b \in B, a > b \}$$

What is the matrix representing  $R$  (assuming the ordering of elements is the same as the increasing numerical order) ?

**Solution:** Because  $R = \{(2,1), (3,1),(3,2)\}$ , the matrix is

$$M_R = \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}.$$

# Examples of Representing Relations Using Matrices (*cont.*)

**Example 2:** Let  $A = \{a_1, a_2, a_3\}$  and  $B = \{b_1, b_2, b_3, b_4, b_5\}$ . Which ordered pairs are in the relation  $R$  represented by the matrix

$$M_R = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \end{bmatrix} ?$$

**Solution:** Because  $R$  consists of those ordered pairs  $(a_i, b_j)$  with  $m_{ij} = 1$ , it follows that:

$$R = \{(a_1, b_2), (a_2, b_1), (a_2, b_3), (a_2, b_4), (a_3, b_1), (a_3, b_3), (a_3, b_5)\}.$$

# Matrices of Relations on Sets

- If  $R$  is a **reflexive** relation, all the elements on the main diagonal of  $M_R$  are equal to 1.

$$\begin{bmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & \ddots & & \\ & & & & \ddots & \\ & & & & & 1 & \\ & & & & & & 1 \end{bmatrix}$$

- $R$  is a **symmetric** relation, if and only if  $m_{ij} = 1$  whenever  $m_{ji} = 1$ .

$$\begin{bmatrix} & 1 \\ 1 & 0 \end{bmatrix}$$

(a) Symmetric

# Matrices of Relations on Sets

- If  $R$  is a **reflexive** relation, all the elements on the main diagonal of  $M_R$  are equal to 1.

$$\begin{bmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & \ddots & & \\ & & & & \ddots & \\ & & & & & 1 & \\ & & & & & & 1 \end{bmatrix}$$

- $R$  is a **symmetric** relation, if and only if  $m_{ij} = 1$  whenever  $m_{ji} = 1$ .  $R$  is an **antisymmetric** relation, if and only if  $m_{ij} = 0$  or  $m_{ji} = 0$  when  $i \neq j$ .

$$\begin{bmatrix} & 1 \\ 1 & \end{bmatrix}$$

(a) Symmetric

$$\begin{bmatrix} & 1 \\ 0 & \end{bmatrix}$$

(b) Antisymmetric

# Example of a Relation on a Set

**Example 3:** Suppose that the relation  $R$  on a set is represented by the matrix

$$M_R = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}.$$

Is  $R$  reflexive, symmetric, and/or antisymmetric?

**Solution:** Because all the diagonal elements are equal to 1,  $R$  is reflexive. Because  $M_R$  is symmetric,  $R$  is symmetric and not antisymmetric because both  $m_{1,2}$  and  $m_{2,1}$  are 1.

# Matrices for combinations of relations

- The matrix of the **union of two relations** is the **join** (Boolean OR) between the matrices of the component relations:

$$M_{R_1 \cup R_2} = M_{R_1} \vee M_{R_2}$$

- The matrix of the **intersection of two relations** is the **meet** (Boolean AND) between the matrices of the component relations:

$$M_{R_1 \cap R_2} = M_{R_1} \wedge M_{R_2}$$

- The matrix of the **composite relation**  $R_1 \circ R_2$  is the **Boolean product** of the matrices of the component relations:

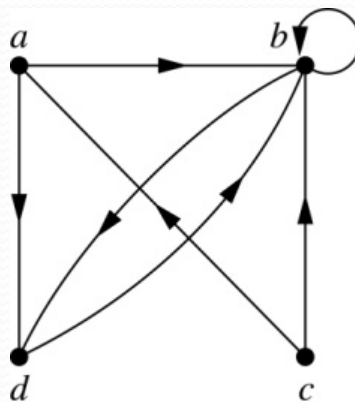
$$M_{R_1 \circ R_2} = M_{R_1} \odot M_{R_2}$$

# Representing Relations Using Directed Graphs (a.k.a. *digraphs*)

**Definition:** A *directed graph*, or *digraph*, consists of a set  $V$  of vertices or *nodes* together with a set  $E$  of ordered pairs of elements of  $V$  called (directed) *edges* or *arcs*. The vertex  $a$  is called the *initial vertex* of the edge  $(a,b)$ , and the vertex  $b$  is called the *terminal vertex* of this edge.

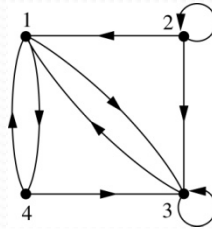
- An edge of the form  $(a,a)$  is called a *loop*.

**Example 7:** A drawing of the directed graph with vertices  $a$ ,  $b$ ,  $c$ , and  $d$ , and edges  $(a, b)$ ,  $(a, d)$ ,  $(b, b)$ ,  $(b, d)$ ,  $(c, a)$ ,  $(c, b)$ , and  $(d, b)$  is shown here.



# Examples of Digraphs Representing Relations

**Example 8:** What are the ordered pairs in the relation represented by this directed graph?

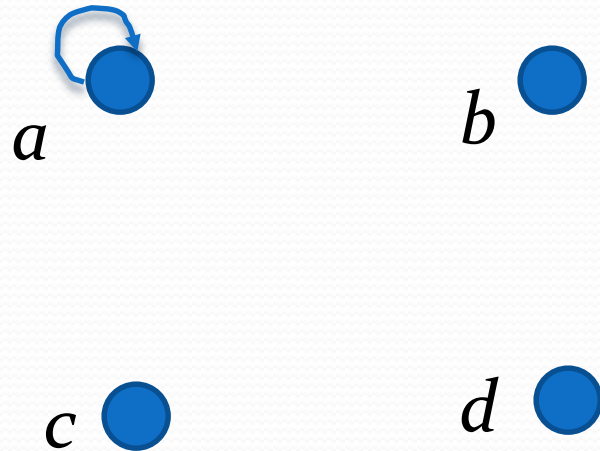


**Solution:** The ordered pairs in the relation are  $(1, 3)$ ,  $(1, 4)$ ,  $(2, 1)$ ,  $(2, 2)$ ,  $(2, 3)$ ,  $(3, 1)$ ,  $(3, 3)$ ,  $(4, 1)$ , and  $(4, 3)$

# Determining which Properties a Relation has from its Digraph

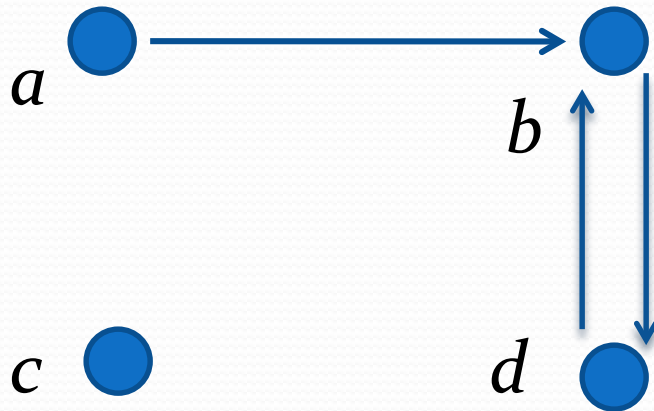
- *Reflexivity*: A loop must be present at all vertices.
- *Symmetry*: If  $(x,y)$  is an edge, then so is  $(y,x)$ .
- *Antisymmetry*: If  $(x,y)$  with  $x \neq y$  is an edge, then  $(y,x)$  is not an edge.
- *Transitivity*: If  $(x,y)$  and  $(y,z)$  are edges, then so is  $(x,z)$ .

# Determining which Properties a Relation has from its Digraph – Example 1



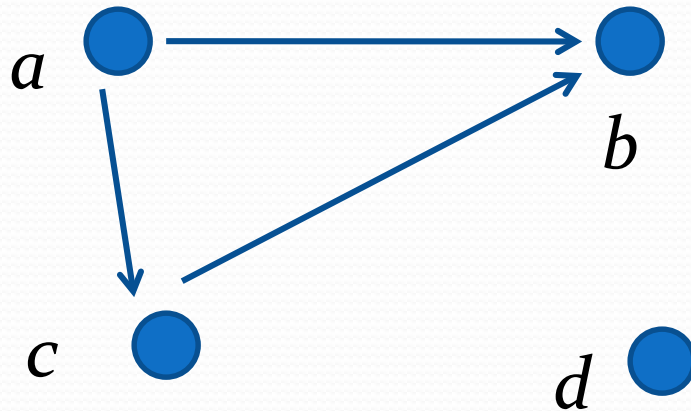
- *Reflexive?* No, not every vertex has a loop
- *Symmetric?* Yes (trivially), there is no edge from one vertex to another
- *Antisymmetric?* Yes (trivially), there is no edge from one vertex to another
- *Transitive?* Yes, (trivially) since there is no edge from one vertex to another

# Determining which Properties a Relation has from its Digraph – Example 2



- *Reflexive?* No, there are no loops
- *Symmetric?* No, there is an edge from  $a$  to  $b$ , but not from  $b$  to  $a$
- *Antisymmetric?* No, there is an edge from  $d$  to  $b$  and  $b$  to  $d$
- *Transitive?* No, there are edges from  $a$  to  $b$  and from  $b$  to  $d$ , but there is no edge from  $a$  to  $d$

# Determining which Properties a Relation has from its Digraph – Example 3



*Reflexive?*

No, there are no loops

*Symmetric?*

No, for example, there is no edge from  $c$  to  $a$

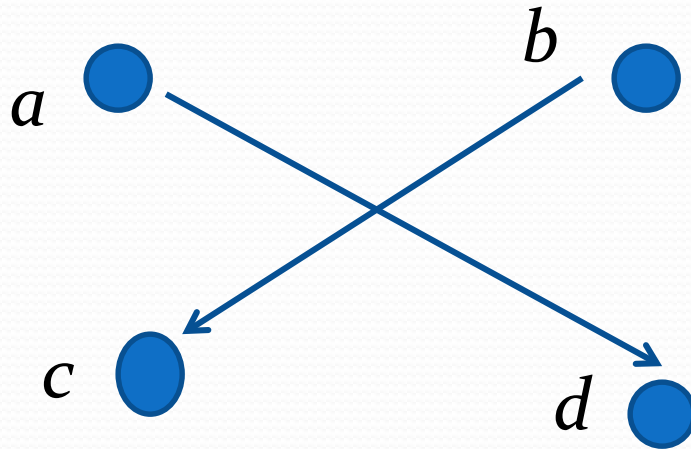
*Antisymmetric?*

Yes, whenever there is an edge from one vertex to another, there is not one going back

*Transitive?*

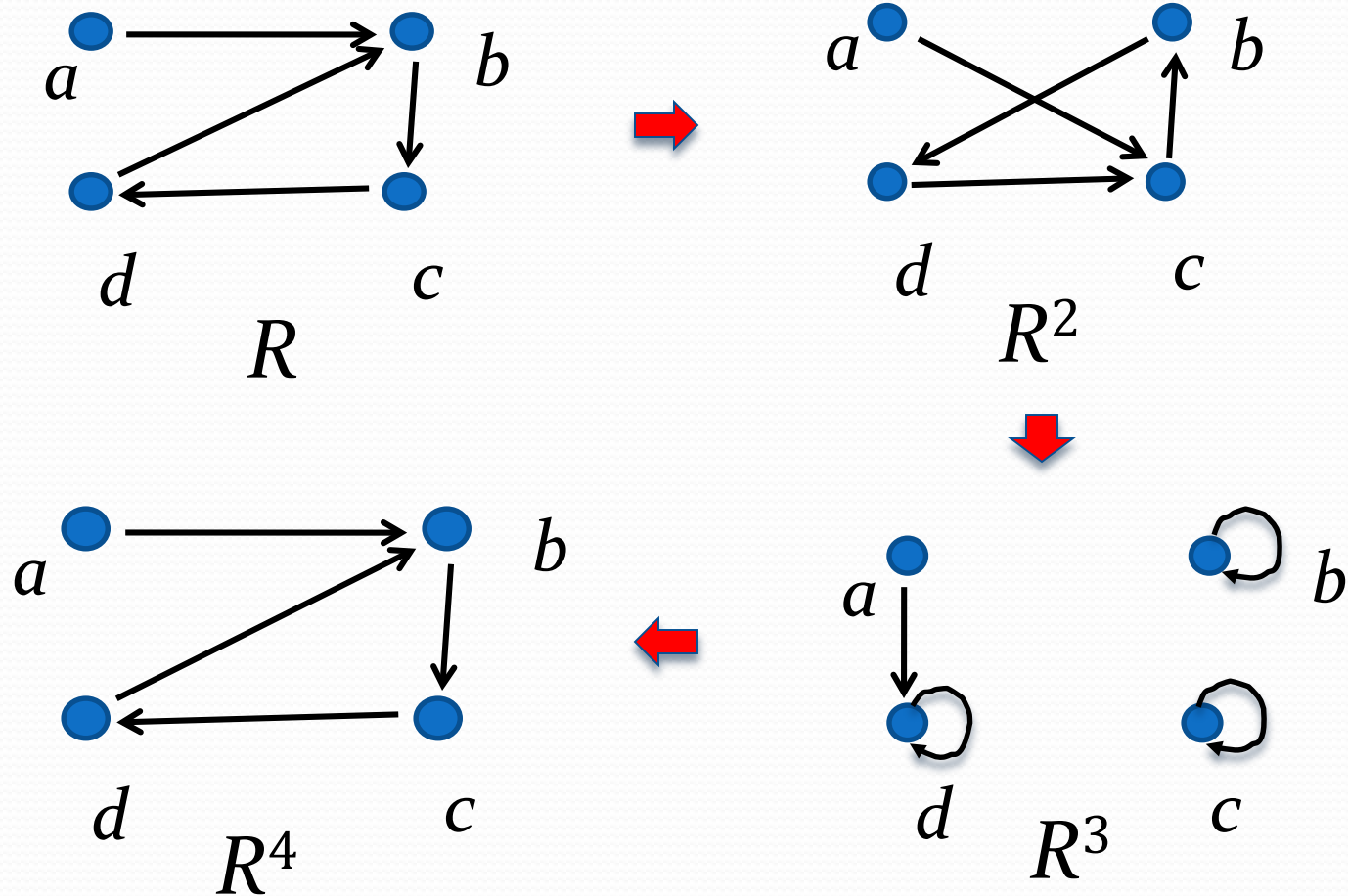
Yes

# Determining which Properties a Relation has from its Digraph – Example 4



- *Reflexive?* No, there are no loops
- *Symmetric?* No, for example, there is no edge from  $d$  to  $a$
- *Antisymmetric?* Yes, whenever there is an edge from one vertex to another, there is not one going back
- *Transitive?* Yes (trivially), there are no two edges where the first edge ends at the vertex where the second edge begins

# Example of the Powers of a Relation



The pair  $(x,y)$  is in  $R^n$  if there is a path of length  $n$  from  $x$  to  $y$  in  $R$  (following the direction of the arrows).

# Equivalence Relations

Section 9.5

# Section Summary

- Equivalence Relations
- Equivalence Classes
- Equivalence Classes and Partitions

# Equivalence Relations

**Definition 1:** A relation on a set  $A$  is called an *equivalence relation* if it is reflexive, symmetric, and transitive.

**Definition 2:** Two elements  $a$ , and  $b$  that are related by an equivalence relation are called *equivalent*. The notation  $a \sim b$  is often used to denote that  $a$  and  $b$  are equivalent elements with respect to a particular equivalence relation.

**Example:** Assume  $C$  is the set of all colors and a relation  $R$  on  $C$  such that  
$$R = \{ (a,b) \mid a \in C, b \in C, a \text{ and } b \text{ have the same color} \}.$$
 $R$  is an equivalence relation on  $C$ .

# Strings

**Example:** Suppose that  $R$  is the relation on the set of strings of English letters such that  $aRb$  if and only if  $l(a) = l(b)$ , where  $l(x)$  is the length of the string  $x$ . Is  $R$  an equivalence relation?

**Solution:** Show that all of the properties of an equivalence relation hold.

- *Reflexivity*: Because  $l(a) = l(a)$ , it follows that  $aRa$  for all strings  $a$ .
- *Symmetry*: Assume  $aRb$ . Since  $l(a) = l(b)$ ,  $l(b) = l(a)$  also holds and  $bRa$ .
- *Transitivity*: Suppose that  $aRb$  and  $bRc$ .  
Since  $l(a) = l(b)$ , and  $l(b) = l(c)$ ,  $l(a) = l(c)$  also holds and  $aRc$ .

**Yes**

# Congruence Modulo $m$

**Example:** Let  $m$  be an integer with  $m > 1$ . Show that the relation

$$R = \{(a,b) \mid a \equiv b \pmod{m}\}$$

is an equivalence relation on the set of integers.

**Solution:** Recall that  $a \equiv b \pmod{m}$  if and only if  $m$  divides  $a - b$ .

- *Reflexivity:*  $a \equiv a \pmod{m}$  since  $a - a = 0$  is divisible by  $m$  since  $0 = 0 \cdot m$ .
- *Symmetry:* Suppose that  $a \equiv b \pmod{m}$ .  
Then  $a - b$  is divisible by  $m$ , and so  $a - b = km$ , where  $k$  is an integer.  
It follows that  $b - a = (-k)m$ , so  $b \equiv a \pmod{m}$ .
- *Transitivity:* Suppose that  $a \equiv b \pmod{m}$  and  $b \equiv c \pmod{m}$ .  
Then  $m$  divides both  $a - b$  and  $b - c$ .  
Hence, there are integers  $k$  and  $l$  with  $a - b = km$  and  $b - c = lm$ .  
We obtain by adding the equations:  
$$a - c = (a - b) + (b - c) = km + lm = (k + l)m.$$
  
Therefore,  $a \equiv c \pmod{m}$ .

# Divides

**Example:** Show that the “divides” relation on the set of positive integers is not an equivalence relation.

**Solution:** The properties of reflexivity, and transitivity do hold, but the relation is not symmetric. Hence, “divides” is not an equivalence relation.

- *Reflexivity*:  $a \mid a$  for all  $a$ .
- *Not Symmetric*: For example,  $2 \mid 4$ , but  $4 \nmid 2$ . Hence, the relation is not symmetric.
- *Transitivity*: Suppose that  $a$  divides  $b$  and  $b$  divides  $c$ . Then there are positive integers  $k$  and  $l$  such that  $b = ak$  and  $c = bl$ . Hence,  $c = a(kl)$ , so  $a$  divides  $c$ . Therefore, the relation is transitive.

# Equivalence Classes

**Definition 3:** Let  $R$  be an equivalence relation on a set  $A$ . The set of all elements that are related to an element  $a$  of  $A$  is called the *equivalence class* of  $a$ . The equivalence class of  $a$  with respect to  $R$  is denoted by  $[a]_R$

$$[a]_R := \{s \in A \mid (a, s) \in R\} \equiv \{s \in A \mid s \sim a\}$$

When only one relation is under consideration, we can write  $[a]$ , without the subscript  $R$ , for this equivalence class.

- If  $b \in [a]_R$ , then  $b$  is called a representative of this equivalence class. Any element of a class can be used as a representative of the class.
- The equivalence classes of the relation “congruence modulo  $m$ ” are called the *congruence classes modulo  $m$* . The congruence class of an integer  $a$  modulo  $m$  is denoted by  $[a]_m$ , so  $[a]_m = \{\dots, a-2m, a-m, a+m, a+2m, \dots\}$ . For example,

$$[0]_4 = \{\dots, -8, -4, 0, 4, 8, \dots\}$$

$$[1]_4 = \{\dots, -7, -3, 1, 5, 9, \dots\}$$

$$[2]_4 = \{\dots, -6, -2, 2, 6, 10, \dots\}$$

$$[3]_4 = \{\dots, -5, -1, 3, 7, 11, \dots\}$$

# Equivalence Classes and Partitions

**Theorem 1:** let  $R$  be an equivalence relation on a set  $A$ . These statements for elements  $a$  and  $b$  of  $A$  are equivalent:

- (i)  $aRb$
- (ii)  $[a] = [b]$
- (iii)  $[a] \cap [b] \neq \emptyset$

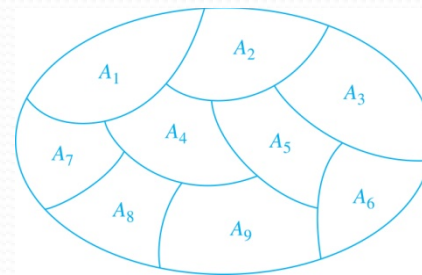
**Proof:** We show that (i) implies (ii). Assume that  $aRb$ . Now suppose that  $c \in [a]$ . Then  $aRc$ . Because  $aRb$  and  $R$  is symmetric,  $bRa$ . Because  $R$  is transitive and  $bRa$  and  $aRc$ , it follows that  $bRc$ . Hence,  $c \in [b]$ . Therefore,  $[a] \subseteq [b]$ . A similar argument (omitted here) shows that  $[b] \subseteq [a]$ . Since  $[a] \subseteq [b]$  and  $[b] \subseteq [a]$ , we have shown that  $[a] = [b]$ .

*(see text for proof that (ii) implies (iii) and (iii) implies (i))*

# Partition of a Set

**Definition:** A *partition* of a set  $S$  is a collection of disjoint nonempty subsets of  $S$  that have  $S$  as their union. In other words, the collection of subsets  $A_i$ , where  $i \in I$  (where  $I$  is an index set), forms a partition of  $S$  if and only if

- $A_i \neq \emptyset$  for  $i \in I$ ,
- $A_i \cap A_j = \emptyset$  when  $i \neq j$ ,
- and  $\bigcup_{i \in I} A_i = S$ .



A Partition of a Set

# An Equivalence Relation

## Partitions a Set

- Let  $R$  be an equivalence relation on a set  $A$ . The union of all the equivalence classes of  $R$  is all of  $A$ , since an element  $a$  of  $A$  is in its own equivalence class  $[a]_R$ .

In other words,

$$\bigcup_{a \in A} [a]_R = A.$$

- From Theorem 1, it follows that these **equivalence classes are either equal or disjoint**, so  $[a]_R \cap [b]_R = \emptyset$  when  $[a]_R \neq [b]_R$ .
- Therefore, the equivalence classes form a partition of  $A$ , because they split  $A$  into disjoint subsets.

# An Equivalence Relation

## Partitions a Set (*continued*)

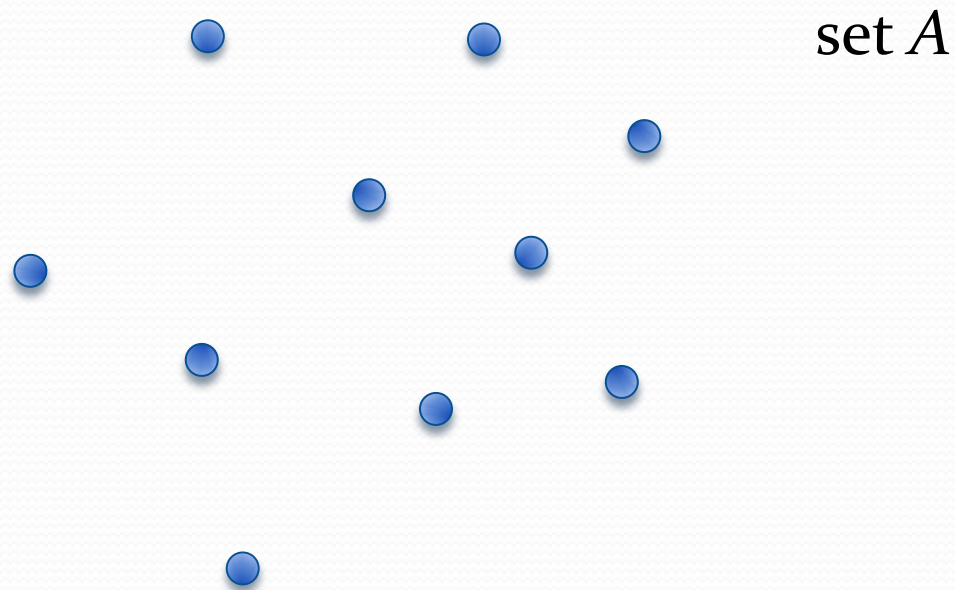
**Theorem 2:** Let  $R$  be an equivalence relation on a set  $S$ . Then the equivalence classes of  $R$  form a partition of  $S$ . Conversely, given a partition  $\{A_i \mid i \in I\}$  of the set  $S$ , there is an equivalence relation  $R$  that has the sets  $A_i$ ,  $i \in I$ , as its equivalence classes.

**Proof:** We have already shown the first part of the theorem.

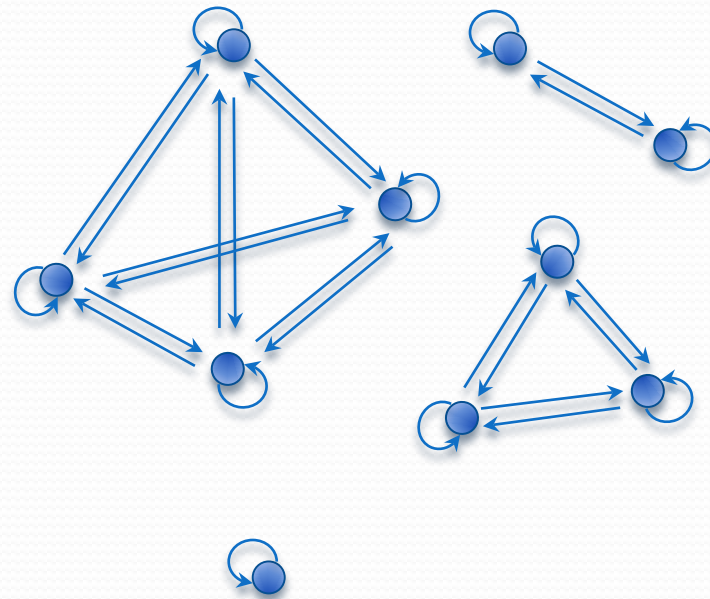
For the second part, assume that  $\{A_i \mid i \in I\}$  is a partition of  $S$ . Let  $R$  be the relation on  $S$  consisting of the pairs  $(x, y)$  where  $x$  and  $y$  belong to the same subset  $A_i$  in the partition. We must show that  $R$  satisfies the properties of an equivalence relation.

- *Reflexivity:* For every  $a \in S$ ,  $(a, a) \in R$ , because  $a$  is in the same subset as itself.
- *Symmetry:* If  $(a, b) \in R$ , then  $b$  and  $a$  are in the same subset of the partition, so  $(b, a) \in R$ .
- *Transitivity:* If  $(a, b) \in R$  and  $(b, c) \in R$ , then  $a$  and  $b$  are in the same subset of the partition, as are  $b$  and  $c$ . Since the subsets are disjoint and  $b$  belongs to both, the two subsets of the partition must be identical. Therefore,  $(a, c) \in R$  since  $a$  and  $c$  belong to the same subset of the partition.

# An Equivalence Relation digraph representation

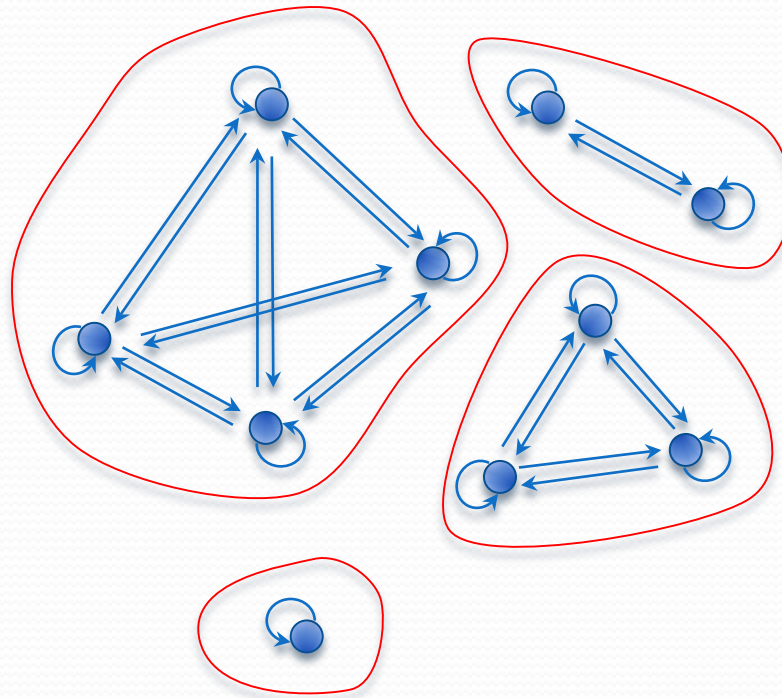


# An Equivalence Relation digraph representation



equivalence  
relation  $R$   
on set  $A$

# An Equivalence Relation digraph representation



equivalence  
relation  $R$   
on set  $A$

Digraph for equivalence relation  $R$  on finite set  $A$  is  
a union of **disjoint sub-graphs** (representing **disjoint equivalent classes**).  
Nodes in each distinct subgraph (equivalence class) are fully interconnected.

# Partial Orderings

Section 9.6

# Section Summary

- Partial Orderings and Partially-ordered Sets
- Lexicographic Orderings

# Partial Orderings

**Definition 1:** A relation  $R$  on a set  $S$  is called a *partial ordering*, or *partial order*, if it is reflexive, antisymmetric, and transitive.

A set together with a partial ordering  $R$  is called a *partially ordered set*, or *poset*, and is denoted by  $(S, R)$ . Members of  $S$  are called *elements* of the poset.

# Partial Orderings (*continued*)

**Example 1:** Show that the “**greater than or equal**” relation ( $\geq$ ) is a partial ordering on the set of integers.

- *Reflexivity*:  $a \geq a$  for every integer  $a$ .
- *Antisymmetry*: If  $a \geq b$  and  $b \geq a$ , then  $a = b$ .
- *Transitivity*: If  $a \geq b$  and  $b \geq c$ , then  $a \geq c$ .

These properties all follow from the order axioms for the integers.  
(See Appendix 1).

# Partial Orderings (*continued*)

**Example 2:** Show that the **divisibility** relation ( $|$ ) is a partial ordering on the set of positive integers.

- *Reflexivity*:  $a | a$  for all integers  $a$ .
  - *Antisymmetry*: If  $a$  and  $b$  are positive integers with  $a | b$  and  $b | a$ , then  $a = b$ .
  - *Transitivity*: Suppose that  $a$  divides  $b$  and  $b$  divides  $c$ . Then there are positive integers  $k$  and  $l$  such that  $b = ak$  and  $c = bl$ . Hence,  $c = a(kl)$ , so  $a$  divides  $c$ . Therefore, the relation is transitive.
- 
- $(\mathbb{Z}^+, |)$  is a poset.

# Partial Orderings (*continued*)

**Example 3:** Show that the **inclusion** relation ( $\subseteq$ ) is a partial ordering on the power set of a set  $S$ .

- *Reflexivity*:  $A \subseteq A$  whenever  $A$  is a subset of  $S$ .
- *Antisymmetry*: If  $A$  and  $B$  are positive integers with  $A \subseteq B$  and  $B \subseteq A$ , then  $A = B$ .
- *Transitivity*: If  $A \subseteq B$  and  $B \subseteq C$ , then  $A \subseteq C$ .

The properties all follow from the definition of set inclusion.

# Comparability

**Definition 2:** The elements  $a$  and  $b$  of a poset  $(S, \preceq)$  are *comparable* if either  $a \preceq b$  or  $b \preceq a$ . When  $a$  and  $b$  are elements of  $S$  so that neither  $a \preceq b$  nor  $b \preceq a$ , then  $a$  and  $b$  are called *incomparable*.

The symbol  $\preceq$  is used to denote the relation in any poset.

**Definition 3:** If  $(S, \preceq)$  is a poset and every two elements of  $S$  are comparable,  $S$  is called a *totally ordered* or *linearly ordered set*, and  $\preceq$  is called a *total order* or a *linear order*. (A totally ordered set is also called a *chain*.)

**Definition 4:**  $(S, \preceq)$  is a *well-ordered set* if it is a poset such that  $\preceq$  is a *total ordering* and every nonempty subset of  $S$  has a least element.

**Example:**  $(\mathbb{Z}, \leq)$  is a totally ordered set  
 $(\mathbb{Z}, |)$  is a partially ordered but not totally ordered set  
 $(\mathbb{N}, \leq)$  is a well-ordered set

# Lexicographic Order

**Definition:** Given two **partially ordered sets**  $(A_1, \preceq_1)$  and  $(A_2, \preceq_2)$ , the **lexicographic ordering** on  $A_1 \times A_2$  is defined by specifying that  $(a_1, a_2)$  is less than  $(b_1, b_2)$ , that is,  $(a_1, a_2) < (b_1, b_2)$ , either if  $a_1 <_1 b_1$  or if  $a_1 = b_1$  and  $a_2 <_2 b_2$ .

- This definition can be easily extended to a lexicographic ordering on strings.

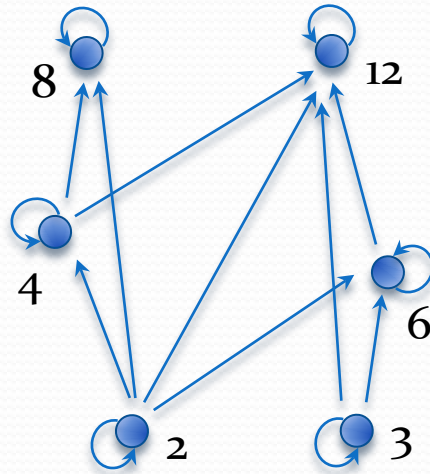
**Example:** Consider strings of lowercase English letters. A **lexicographic ordering** can be defined using the ordering of the letters in the alphabet.

**This is the same ordering as that used in dictionaries.**

- $discreet < discrete$ , because these strings differ in the seventh position and  $e < t$ .
- $discreet < discreetness$ , because the first eight letters agree, but the second string is longer.

# Partial Ordering Relation digraph representation

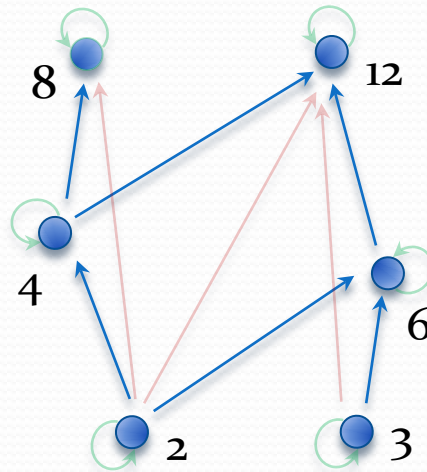
poset  $R = (X, |)$  for  
divisibility  $|$  on set  
 $X = \{2, 3, 4, 6, 8, 12\}$



# Partial Ordering Relation

## Hesse diagram

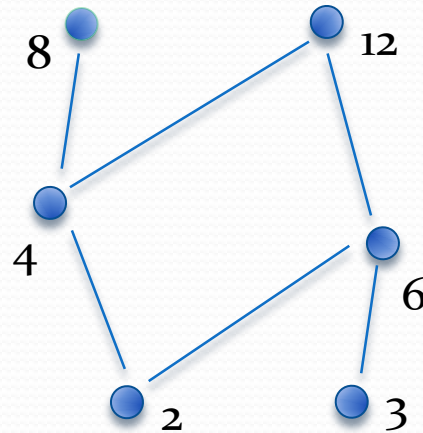
poset  $R = (X, |)$  for  
divisibility  $|$  on set  
 $X = \{2, 3, 4, 6, 8, 12\}$



- 1) Leave out all edges that are implied by **reflexivity** (loop)
- 2) Leave out all edges that are implied by **transitivity**

# Partial Ordering Relation

## Hesse diagram

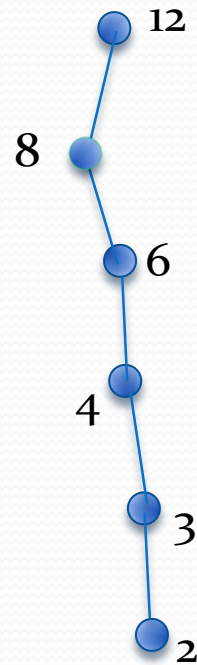


poset  $R = (X, |)$  for  
divisibility  $|$  on set  
 $X = \{2, 3, 4, 6, 8, 12\}$

Can also drop “direction” assuming that (partial) order is **upward**

# Partial Ordering Relation

## Hesse diagram



poset  $R = (X, \leq)$  for  
“less than or equal” on set  
 $X = \{2, 3, 4, 6, 8, 12\}$

Totally ordered sets are also called “chains”